

Edgar Filing: HORIZON BANCORP /IN/ - Form 13F-HR

HORIZON BANCORP /IN/  
Form 13F-HR  
November 13, 2009

Form 13F Report for the Calendar Year or Quarter Ended: 9/30/2009

Check here if Amendment [ ]; Amendment Number: \_\_\_\_\_  
This Amendment (check only one): [ ] is a restatement  
[ ] adds new holdings entries

Institutional Investment Manager Filing this Report:

Name: Horizon Bancorp  
Address: 515 Franklin Square  
Michigan City, IN 46360

Form 13F File Number 28-6479

Person Signing this Report on Behalf of Reporting Manager:

Name: Mark E. Secor  
Title: Chief Financial Officer  
Phone: 219-873-2611

Signature, Place, and Date of Signing:

/s/ Mark E. Secor, Chief Financial Officer Michigan City, IN 11/12/2009  
-----  
Place Date

Report Type (check only one):

- 13F Holdings Report
- 13F Notice
- 13F Combination Report

List of Other Managers Reporting for this Manager: Horizon Trust & Investment Management

Report Summary:

Number of Other Included Managers: 1  
Form 13F Information Table Entry Total: 179  
Form 13F Information Table Value Total: \$47,121,238.00

List of Other Included Managers: Horizon Trust & Investment Management

NAME OF ISSUER (1)	TYPE (2)	CUSIP (3)	MARKET (4)	SHARES/PV (5)	INVESTMENT DIRECTION		
					SOLE	SHARED-DEFINED	SHARES OUTSTANDING
-----	-----	-----	-----	-----	-----	-----	-----

Edgar Filing: HORIZON BANCORP /IN/ - Form 13F-HR

Aflac Inc	Equity	001055102	498,177	11,656	5,604	6,052
AT&T Inc Com	Equity	00206R102	462,384	17,119	0	17,119
Aaron Rents, Inc. SC	Equity	002535201	1,980	75	0	75
Abbott Labs	Equity	002824100	159,541	3,225	0	3,225
ADR Alcatel - Lucent	Equity	013904305	1,051	234	0	234
Allied Capital Corp	Equity	01903Q108	1,228	400	0	400
Allstate Corp	Equity	020002101	10,013	327	0	327
Altria Group Incorporated	Equity	02209S103	106,789	5,996	0	5,996
American Electric & Power Co	Equity	025537101	7,097	229	0	229
American Express Co	Equity	025816109	185,399	5,469	0	5,469
American International Group	Equity	026874784	1,500	34	0	34
Ameriprise Financial Inc	Equity	03076C106	31,280	861	0	861
Ametek Inc	Equity	031100100	196,194	5,620	0	5,620
Apache Corp Co	Equity	037411105	18,366	200	0	200
Apple, Inc	Equity	037833100	18,535	100	0	100
Aspect Medical Systems Inc	Equity	045235108	1,653	138	0	138
Avanir Pharmaceuticals, Class A	Equity	05348P401	936	450	0	450
ADR AXA Sponsored	Equity	054536107	672	25	0	25
ADR BP Amoco PLC	Equity	055622104	943,661	17,728	0	17,728
Bank of America Corp	Equity	060505104	30,101	1,779	0	1,779
Berkshire Hathaway Inc Cl A	Equity	084670108	202,000	2	0	2
Berkshire Hathaway Inc Cl B	Equity	084670207	388,791	117	20	97
Best Buy Inc	Equity	086516101	3,752	100	0	100
BlackRock MuniVest II	Equity	09253T101	96,799	6,807	0	6,807
Blackrock Muniyield Insured	Equity	09254E103	9,506	745	0	745
Blackrock Muniyield Quality	Equity	09254F100	21,134	1,515	0	1,515
Boeing Co	Equity	097023105	10,830	200	0	200
Buffalo Wild Wings Inc	Equity	119848109	12,483	300	0	300
Burlington Northern Santa Fe Corp	Equity	12189T104	16,764	210	0	210
CBS Corp Cl B	Equity	124857202	2,410	200	0	200
CME Group Inc	Equity	12572Q105	8,629	28	0	28
CP Holdrs Dep Rcpts Cp	Equity	12616K106	9,800	100	0	100
CSX Corp	Equity	126408103	91,422	2,184	0	2,184
CVS/Caremark Corp	Equity	126650100	35,740	1,000	0	1,000
Campbell Soup Company	Equity	134429109	3,262	100	0	100
Caterpillar Inc	Equity	149123101	244,433	4,762	2,293	2,469
Celgene Corp	Equity	151020104	11,180	200	0	200
Chemical Financial	Equity	163731102	8,716	400	0	400
Chesapeake Energy Corp	Equity	165167107	568	20	0	20
Chevron Corp	Equity	166764100	811,776	11,526	4,074	7,452
Chubb Corp	Equity	171232101	10,082	200	0	200
Cisco Systems Incorporated	Equity	17275R102	270,828	11,505	5,141	6,364
Citigroup Inc	Equity	172967101	3,267	675	0	675
Clorox Company	Equity	189054109	57,526	978	0	978
Coca Cola Company	Equity	191216100	161,154	3,001	0	3,001
Comcast Corporation New Class A	Equity	20030N101	10,060	596	0	596
Conagra Foods	Equity	205887102	258,165	11,908	5,758	6,150
ConocoPhillips	Equity	20825C104	83,004	1,838	0	1,838
Crane Co Com	Equity	224399105	8,233	319	0	319
Cummins Inc	Equity	231021106	8,962	200	0	200
Deere & Co Inc	Equity	244199105	8,584	200	0	200
Dell Inc	Equity	24702R101	19,838	1,300	0	1,300
DirectTV Group Inc	Equity	25459L106	310,771	11,268	5,468	5,800
Disney Walt Co	Equity	254687106	8,238	300	0	300
Discover Financial Services	Equity	254709108	3,993	246	0	246

Edgar Filing: HORIZON BANCORP /IN/ - Form 13F-HR

Du Pont E I De Nemours & Co	Equity	263534109	246,578	7,672	2,426	5,246
Eldorado Gold Corp	Equity	284902103	2,850	250	0	250
Exxon Mobil Corporation	Equity	30231G102	810,902	11,819	0	11,819
Family DLR Stores	Equity	307000109	2,006	76	0	76
Fifth Third Bancorp	Equity	316773100	4,204	415	0	415
First Midwest Bancorp Inc	Equity	320867104	2,254	200	0	200
First Solar Inc	Equity	336433107	15,286	100	0	100
1st Source Corp	Equity	336901103	8,150	500	0	500
FIRSTBANK CORP MICH	Equity	33761G104	11,000	2,000	0	2,000
Fluor Corp	Equity	343412102	175,687	3,455	2,019	1,436
Ford Motor Co	Equity	345370860	7,210	1,000	0	1,000
General Electric Co	Equity	369604103	475,162	28,938	6,885	22,053
General Mills Inc	Equity	370334104	154,512	2,400	0	2,400
Harley Davidson Inc	Equity	412822108	11,500	500	0	500
Hartford Financial Services Group	Equity	416515104	26,500	1,000	0	1,000
Hewlett-Packard Co	Equity	428236103	26,107	553	0	553
Honeywell Inc	Equity	438516106	22,290	600	0	600
Horizon Bancorp Ind	Equity	440407104	10,924,039	638,833	10,064	628,769
ITT Industries Incorporated	Equity	450911102	52,150	1,000	0	1,000
Illinois Tool Works Incorporated	Equity	452308109	15,034	352	0	352
Intel Corp	Equity	458140100	83,720	4,278	0	4,278
Intercontinentalexchange Inc	Equity	45865V100	2,430	25	0	25
International Business Machines	Equity	459200101	660,726	5,524	1,843	3,681
Investment Grade Municipal	Equity	461368102	50,190	3,500	0	3,500
iShares S&P Global						
Telecommunications	Equity	464287275	13,996	260	0	260
iShares S&P 500 Value Index	Equity	464287408	5,631	110	0	110
iShares S&P North Amer Technology	Equity	464287549	1,286,878	26,188	12,511	13,677
iShares Dow Jones US Technology	Equity	464287721	13,767	265	0	265
iShares S&P SmallCap 600 Index	Equity	464287804	7,851	150	0	150
iShares Dow Jones US Consumer						
Goods	Equity	464287812	12,943	245	0	245
iShares S&P SmallCap 600 Growth	Equity	464287887	8,109	150	0	150
JP Morgan Chase and Company	Equity	46625H100	178,873	4,082	0	4,082
Johnson & Johnson	Equity	478160104	687,570	11,292	3,754	7,538
Johnson Controls Incorporated	Equity	478366107	253,197	9,906	4,529	5,377
Kansas City Southern Industries						
Inc New	Equity	485170302	5,563	210	0	210
Kimco Rlty Corp	Equity	49446R109	7,824	600	0	600
Kinross Gold Corp	Equity	496902404	2,582	119	0	119
Kraft Foods Incorporated Class A	Equity	50075N104	2,627	100	0	100
L-3 Communication Holdings						
Corporation	Equity	502424104	536,618	6,681	2,852	3,829
Laboratory Corp Of America						
Holdings	Equity	50540R409	442,752	6,739	3,287	3,452
Lakeland Finl Corp	Equity	511656100	10,325	500	0	500
LaPorte Bancorp Inc	Equity	516650108	30,755	6,614	0	6,614
Leap Wireless International Inc.	Equity	521863100	0	58	0	58
Lennar Corp	Equity	526057104	7,125	500	0	500
Lilly, Eli & Company	Equity	532457108	20,677	626	0	626
Lincoln National Corporation	Equity	534187109	25,910	1,000	0	1,000
Lowe's Company	Equity	548661107	4,188	200	0	200
Macatawa Bank Corp	Equity	554225102	3,986	1,533	0	1,533
Manulife Finl Corp	Equity	56501R106	50,256	2,400	0	2,400
Marathon Oil Corporation	Equity	565849106	347,072	10,880	5,347	5,533
McDonalds Corporation	Equity	580135101	68,484	1,200	0	1,200

Edgar Filing: HORIZON BANCORP /IN/ - Form 13F-HR

McGraw Hill Companies Incorporated	Equity	580645109	15,939	634	0	634
Medtronic Incorporated	Equity	585055106	3,680	100	0	100
Merck & Company, Incorporated	Equity	589331107	12,652	400	0	400
Microsoft Corporation	Equity	594918104	408,022	15,864	6,919	8,945
Monsanto Company	Equity	61166W101	15,480	200	0	200
Morgan Stanley	Equity	617446448	15,286	495	0	495
National Oil Well Varco	Equity	637071101	315,884	7,324	4,435	2,889
Nisource Incorporated	Equity	65473P105	10,640	766	0	766
ADR Nokia Corporation	Equity	654902204	4,386	300	0	300
Norfolk Southern Corporation	Equity	655844108	4,311	100	0	100
Northern Trust Corporation	Equity	665859104	2,908	50	0	50
Nustar Energy LP	Equity	67058H102	5,288	102	0	102
Nuveen Municipal Advantage Fund Inc	Equity	67062H106	28,540	2,000	0	2,000
Nuveen Insured Quality Municipal Fund	Equity	67062N103	38,931	2,850	0	2,850
Nuveen Insd Dividend Advantage	Equity	67071L106	59,452	4,143	0	4,143
Nuveen Multi-Strategy Income & Growth Fund	Equity	67073B106	3,718	538	0	538
Officemax Inc	Equity	67622P101	2,516	200	0	200
Old Second Bancorp Inc Ill	Equity	680277100	2,865	500	0	500
Omnicom Group	Equity	681919106	288,428	7,808	3,656	4,152
Oracle Corporation	Equity	68389X105	108,368	5,200	0	5,200
PNC Financial Services Group	Equity	693475105	1,020	21	0	21
Pactiv Corporation	Equity	695257105	13,025	500	0	500
Patterson Cos Inc	Equity	703395103	3,870	142	0	142
Paychex Incorporated	Equity	704326107	286,869	9,875	4,619	5,256
Pepsico Incorporated	Equity	713448108	520,608	8,875	3,328	5,547
Pfizer Incorporated	Equity	717081103	12,992	785	0	785
Philip Morris Intl Inc	Equity	718172109	276,892	5,681	0	5,681
Plum Creek Timber	Equity	729251108	34,501	1,126	0	1,126
Potash Corp Sask Inc	Equity	73755L107	18,068	200	0	200
PowerShares WilderHill Clean Energy	Equity	73935X500	191,288	17,614	9,318	8,296
Procter & Gamble	Equity	742718109	69,504	1,200	0	1,200
Qwest Communications	Equity	749121109	1,459	383	0	383
Research In Motion	Equity	760975102	242,115	3,580	1,895	1,685
ADR Royal Dutch Shell PLC	Equity	780259206	168,711	2,950	0	2,950
SPDR Gold Shares	Equity	78463V107	47,151	477	0	477
Sara Lee Corporation	Equity	803111103	947	85	0	85
Schering Plough Corporation	Equity	806605101	33,900	1,200	0	1,200
Energy Select Sector SPDR	Equity	81369Y506	867,627	16,091	6,932	9,159
Utilities Select Sector SPDR	Equity	81369Y886	692,276	23,603	11,295	12,308
Southwest Airlines Company	Equity	844741108	14,573	1,518	0	1,518
Starbucks Corporation	Equity	855244109	6,195	300	0	300
Stryker Corporation	Equity	863667101	54,516	1,200	0	1,200
Ameritrade Hldg Corp	Equity	87236Y108	300,496	15,308	9,523	5,785
Tjx Cos Inc New	Equity	872540109	353,965	9,528	4,624	4,904
Tenneco Automotive Inc	Equity	880349105	1,304	100	0	100
ADR Teva Pharmaceutical Ind	Equity	881624209	442,906	8,760	4,638	4,122
Tupperware Corp	Equity	899896104	8,703	218	0	218
Union Pacific Corporation	Equity	907818108	11,670	200	0	200
United Parcel Service	Equity	911312106	2,824	50	0	50
Vanguard Consumer Discretionary ETF	Equity	92204A108	362,268	8,288	4,307	3,981

Edgar Filing: HORIZON BANCORP /IN/ - Form 13F-HR

Vanguard Consumer Staples ETF	Equity	92204A207	896,556	13,952	7,138	6,814
Vanguard Financials ETF	Equity	92204A405	1,009,089	33,794	16,300	17,494
Vanguard Health Care ETF	Equity	92204A504	862,783	16,802	8,179	8,623
Vanguard Industrials ETF	Equity	92204A603	444,364	8,968	4,047	4,921
Vanguard Materials ETF	Equity	92204A801	425,688	6,689	3,445	3,244
Vanguard Telecom Services ETF	Equity	92204A884	615,219	11,276	5,469	5,807
Verizon Communications	Equity	92343V104	26,214	866	0	866
ADR Vodafone Group	Equity	92857W209	5,490	244	0	244
Vulcan Materials Company	Equity	929160109	2,704	50	0	50
Wal-Mart Stores	Equity	931142103	406,023	8,271	2,653	5,618
Walgreen Company	Equity	931422109	536,795	14,326	3,896	10,430
Wellpoint Inc	Equity	94973V107	10,893	230	0	230
Wells Fargo & Company New	Equity	949746101	1,091,017	38,716	12,166	26,550
Whirlpool Corp	Equity	963320106	155,311	2,220	105	2,115
Wizzard Software Corporation	Equity	97750V109	2,934	6,520	5,520	1,000
Wyeth	Equity	983024100	10,172,749	209,402	0	209,402
Zebra Technologies Corp Cl A	Equity	989207105	17,088	659	0	659
Accenture PLC	Equity	G1151C101	285,190	7,652	3,629	4,023
Esprit Hldgs Ltd Shs	Equity	G3122U145	3,355	500	0	500
OpenTV Corp Cl A	Equity	G67543101	26,651	19,312	0	19,312
Seagate Technology Shs	Equity	G7945J104	1,521	100	0	100
Transocean Ltd	Equity	H8817H100	17,106	200	0	200
Sinovac Biotech Ltd Shs	Equity	P8696W104	1,658	200	0	200
			-----	-----	-----	-----
			\$47,121,238.00	1,544,694	231,911	1,312,783
			=====	=====	=====	=====