

Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

TRI-CONTINENTAL CORP  
Form N-PX  
August 28, 2009

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, DC 20549

FORM N-PX

ANNUAL REPORT OF PROXY VOTING RECORD OF REGISTERED MANAGEMENT  
INVESTMENT COMPANY

Investment Company Act file number 811-00266

TRI-CONTINENTAL CORPORATION  
(Exact name of registrant as specified in charter)

50606 Ameriprise Financial Center, Minneapolis, MN 55474  
(Address of principal executive offices) (Zip code)

Scott R. Plummer - 5228 Ameriprise Financial Center, Minneapolis, MN 55474  
(Name and address of agent for service)

Registrant's telephone number, including area code: (612) 671-1947

Date of fiscal year end: 12/31

Date of reporting period: 7/1/08-6/30/09

Document Number: 269812

\*\*\*\*\* FORM N-Px REPORT \*\*\*\*\*

ICA File Number: 811-00266  
Reporting Period: 07/01/2008 - 06/30/2009  
Tri-Continental Corporation

===== TRI-CONTINENTAL CORPORATION =====

3M CO

Ticker: MMM Security ID: 88579Y101  
Meeting Date: MAY 12, 2009 Meeting Type: Annual  
Record Date: MAR 13, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Linda G. Alvarado	For	For	Management
1.2	Elect Director George W. Buckley	For	For	Management
1.3	Elect Director Vance D. Coffman	For	For	Management
1.4	Elect Director Michael L. Eskew	For	For	Management

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

1.5	Elect Director W. James Farrell	For	For	Management
1.6	Elect Director Herbert L. Henkel	For	For	Management
1.7	Elect Director Edward M. Liddy	For	For	Management
1.8	Elect Director Robert S. Morrison	For	For	Management
1.9	Elect Director Aulana L. Peters	For	For	Management
1.10	Elect Director Robert J. Ulrich	For	For	Management
2	Ratify Auditors	For	For	Management
3	Amend Bylaws-- Call Special Meetings	Against	For	Shareholder
4	Stock Retention/Holding Period	Against	For	Shareholder

---

### ABBOTT LABORATORIES

Ticker: ABT Security ID: 002824100  
 Meeting Date: APR 24, 2009 Meeting Type: Annual  
 Record Date: FEB 25, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director R.J. Alpern	For	For	Management
1.2	Elect Director R.S. Austin	For	For	Management
1.3	Elect Director W.M. Daley	For	For	Management
1.4	Elect Director W.J. Farrell	For	For	Management
1.5	Elect Director H.L. Fuller	For	For	Management
1.6	Elect Director W.A. Osborn	For	For	Management
1.7	Elect Director D.A.L. Owen	For	For	Management
1.8	Elect Director W.A. Reynolds	For	For	Management
1.9	Elect Director R.S. Roberts	For	For	Management
1.10	Elect Director S.C. Scott, III	For	For	Management
1.11	Elect Director W.D. Smithburg	For	For	Management
1.12	Elect Director G.F. Tilton	For	For	Management
1.13	Elect Director M.D. White	For	For	Management
2	Approve Omnibus Stock Plan	For	For	Management
3	Approve Nonqualified Employee Stock Purchase Plan	For	For	Management
4	Ratify Auditors	For	For	Management
5	Report on Animal Testing	Against	Abstain	Shareholder
6	Adopt Principles for Health Care Reform	Against	Abstain	Shareholder
7	Advisory Vote to Ratify Named Executive Officers' Compensation	Against	For	Shareholder

---

### ABERCROMBIE & FITCH CO.

Ticker: ANF Security ID: 002896207  
 Meeting Date: JUN 10, 2009 Meeting Type: Annual  
 Record Date: APR 15, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director James B. Bachmann	For	For	Management
1.2	Elect Director Michael S. Jeffries	For	For	Management
1.3	Elect Director John W. Kessler	For	Withhold	Management
2	Ratify Auditors	For	For	Management
3	Adopt Majority Voting for Uncontested Election of Directors	For	For	Management
4	Death Benefits/Golden Coffins	Against	For	Shareholder

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

ACTIVISION BLIZZARD, INC.

Ticker: ATVI Security ID: 00507V109  
 Meeting Date: SEP 24, 2008 Meeting Type: Annual  
 Record Date: JUL 28, 2008

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Philippe G. H. Capron	For	Withhold	Management
1.2	Elect Director Robert J. Corti	For	Withhold	Management
1.3	Elect Director Frederic R. Crepin	For	Withhold	Management
1.4	Elect Director Bruce L. Hack	For	Withhold	Management
1.5	Elect Director Brian G. Kelly	For	Withhold	Management
1.6	Elect Director Robert A. Kotick	For	Withhold	Management
1.7	Elect Director Jean-Bernard Levy	For	Withhold	Management
1.8	Elect Director Robert J. Morgado	For	Withhold	Management
1.9	Elect Director Douglas P. Morris	For	Withhold	Management
1.10	Elect Director Rene P. Penisson	For	Withhold	Management
1.11	Elect Director Richard Sarnoff	For	Withhold	Management
2	Approve Omnibus Stock Plan	For	For	Management
3	Adopt Policy and Report on Board Diversity	Against	Abstain	Shareholder
4	Advisory Vote to Ratify Named Executive Officers' Compensation	Against	Against	Shareholder

-----  
 ACTIVISION, INC.

Ticker: ATVI Security ID: 004930202  
 Meeting Date: JUL 8, 2008 Meeting Type: Special  
 Record Date: JUN 5, 2008

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Approve Merger Agreement	For	For	Management
2	Change Company Name	For	For	Management
3	Increase Authorized Common Stock	For	For	Management
4	Eliminate Class of Preferred Stock	For	For	Management
5	Amend Quorum Requirements	For	For	Management
6	Adopt Supermajority Vote Requirement for Amendments	For	Against	Management
7	Prohibit Board to Amend Bylaws Without Shareholder Consent	For	For	Management
8	Permit Directors Designated by Vivendi Certain Voting Powers	For	For	Management
9	Amend Certificate of Incorporation to Limit Certain Business Activities	For	For	Management
10	Amend Certificate of Incorporation to Establish Procedures Allocating Certain Corporate Opportunities	For	For	Management
11	Amend Certificate of Incorporation to Require Vivendi or Activision Blizzard to Acquire all Outstanding Shares	For	For	Management
12	Amend Certificate of Incorporation to Approve a Affiliate Transactions Provision	For	For	Management
13	Amend Certificate of Incorporation to Restrict Business Combination Provision	For	For	Management
14	Prohibit Board to Amend Bylaws Without Shareholder Consent	For	For	Management
15	Adjourn Meeting	For	For	Management

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

AFFILIATED COMPUTER SERVICES, INC.

Ticker: ACS Security ID: 008190100  
 Meeting Date: MAY 28, 2009 Meeting Type: Annual  
 Record Date: APR 3, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Darwin Deason	For	For	Management
1.2	Elect Director Lynn R. Blodgett	For	For	Management
1.3	Elect Director Robert Druskin	For	For	Management
1.4	Elect Director Kurt R. Krauss	For	For	Management
1.5	Elect Director Ted B. Miller, Jr.	For	For	Management
1.6	Elect Director Paul E. Sullivan	For	For	Management
1.7	Elect Director Frank Varasano	For	For	Management
2	Approve Executive Incentive Bonus Plan	For	For	Management
3	Ratify Auditors	For	For	Management

AFLAC INCORPORATED

Ticker: AFL Security ID: 001055102  
 Meeting Date: MAY 4, 2009 Meeting Type: Annual  
 Record Date: FEB 24, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Daniel P. Amos	For	For	Management
1.2	Elect Director John Shelby Amos, II	For	For	Management
1.3	Elect Director Paul S. Amos, II	For	For	Management
1.4	Elect Director Yoshiro Aoki	For	For	Management
1.5	Elect Director Michael H. Armacost	For	For	Management
1.6	Elect Director Kriss Cloninger, III	For	For	Management
1.7	Elect Director Joe Frank Harris	For	For	Management
1.8	Elect Director Elizabeth J. Hudson	For	For	Management
1.9	Elect Director Kenneth S. Janke, Sr.	For	For	Management
1.10	Elect Director Douglas W. Johnson	For	For	Management
1.11	Elect Director Robert B. Johnson	For	For	Management
1.12	Elect Director Charles B. Knapp	For	For	Management
1.13	Elect Director E. Stephen Purdom	For	For	Management
1.14	Elect Director Barbara K. Rimer	For	For	Management
1.15	Elect Director Marvin R. Schuster	For	For	Management
1.16	Elect Director David Gary Thompson	For	For	Management
1.17	Elect Director Robert L. Wright	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Ratify Auditors	For	For	Management

ALCOA INC.

Ticker: AA Security ID: 013817101  
 Meeting Date: MAY 8, 2009 Meeting Type: Annual  
 Record Date: FEB 11, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
---	----------	---------	-----------	---------

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

1.1	Elect Director Kathryn S. Fuller	For	For	Management
1.2	Elect Director Judith M. Gueron	For	For	Management
1.3	Elect Director Patricia F. Russo	For	For	Management
1.4	Elect Director Ernesto Zedillo	For	For	Management
2	Ratify Auditor	For	Against	Management
3	Approve Omnibus Stock Plan	For	Against	Management
4	Amend Vote Requirements to Amend Articles/Bylaws/Charter	Against	For	Shareholder

-----

ALLSTATE CORPORATION, THE

Ticker: ALL Security ID: 020002101  
 Meeting Date: MAY 19, 2009 Meeting Type: Annual  
 Record Date: MAR 20, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director F. Duane Ackerman	For	For	Management
1.2	Elect Director Robert D. Beyer	For	For	Management
1.3	Elect Director W. James Farrell	For	For	Management
1.4	Elect Director Jack M. Greenberg	For	For	Management
1.5	Elect Director Ronald T. LeMay	For	For	Management
1.6	Elect Director H. John Riley, Jr.	For	For	Management
1.7	Elect Director Joshua I. Smith	For	For	Management
1.8	Elect Director Judith A. Sprieser	For	Against	Management
1.9	Elect Director Mary Alice Taylor	For	For	Management
1.10	Elect Director Thomas J. Wilson	For	For	Management
2	Ratify Auditors	For	For	Management
3	Approve Executive Incentive Bonus Plan	For	For	Management
4	Approve Omnibus Stock Plan	For	For	Management
5	Amend Articles/Bylaws/Charter -- Call Special Meetings	Against	For	Shareholder
6	Advisory Vote to Ratify Named Executive Officers' Compensation	Against	For	Shareholder
7	Report on Political Contributions	Against	Against	Shareholder

-----

ALTERA CORP.

Ticker: ALTR Security ID: 021441100  
 Meeting Date: MAY 12, 2009 Meeting Type: Annual  
 Record Date: MAR 13, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Elect Director John P. Daane	For	For	Management
2	Elect Director Robert J. Finocchio, Jr.	For	For	Management
3	Elect Director Kevin McGarity	For	For	Management
4	Elect Director Gregory E. Myers	For	For	Management
5	Elect Director Krish A. Prabhu	For	For	Management
6	Elect Director John Shoemaker	For	For	Management
7	Elect Director Susan Wang	For	For	Management
8	Amend Omnibus Stock Plan	For	For	Management
9	Amend Qualified Employee Stock Purchase Plan	For	For	Management
10	Ratify Auditors	For	For	Management

-----

Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

ALTRIA GROUP, INC.

Ticker: MO Security ID: 02209S103  
 Meeting Date: MAY 19, 2009 Meeting Type: Annual  
 Record Date: MAR 30, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Elect Director Elizabeth E. Bailey	For	For	Management
2	Elect Director Gerald L. Baliles	For	For	Management
3	Elect Director Dinyar S. Devitre	For	For	Management
4	Elect Director Thomas F. Farrell	For	For	Management
5	Elect Director Robert E. R. Huntley	For	For	Management
6	Elect Director Thomas W. Jones	For	For	Management
7	Elect Director George Munoz	For	For	Management
8	Elect Director Nabil Y. Sakkab	For	For	Management
9	Elect Director Michael E. Szymanczyk	For	For	Management
10	Ratify Auditors	For	For	Management
11	Reduce Tobacco Harm to Health	Against	Abstain	Shareholder
12	Report on Marketing Practices on the Poor	Against	Abstain	Shareholder
13	Adopt Principles for Health Care Reform	Against	Abstain	Shareholder
14	Adopt Human Rights Protocols for Company and Suppliers	Against	Abstain	Shareholder
15	Advisory Vote to Ratify Named Executive Officers' Compensation	Against	For	Shareholder
16	Report on Political Contributions	Against	Against	Shareholder

AMERICAN EXPRESS CO.

Ticker: AXP Security ID: 025816109  
 Meeting Date: APR 27, 2009 Meeting Type: Annual  
 Record Date: FEB 27, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director D.F. Akerson	For	For	Management
1.2	Elect Director C. Barshefsky	For	For	Management
1.3	Elect Director U.M. Burns	For	For	Management
1.4	Elect Director K.I. Chenault	For	For	Management
1.5	Elect Director P. Chernin	For	For	Management
1.6	Elect Director J. Leschly	For	For	Management
1.7	Elect Director R.C. Levin	For	For	Management
1.8	Elect Director R.A. McGinn	For	For	Management
1.9	Elect Director E.D. Miller	For	For	Management
1.10	Elect Director S.S Reinemund	For	For	Management
1.11	Elect Director R.D. Walter	For	For	Management
1.12	Elect Director R.A. Williams	For	For	Management
2	Ratify Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against	Management
4	Provide for Cumulative Voting	Against	Against	Shareholder
5	Amend Bylaws-- Call Special Meetings	Against	For	Shareholder

AMGEN, INC.

Ticker: AMGN Security ID: 031162100

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

Meeting Date: MAY 6, 2009 Meeting Type: Annual

Record Date: MAR 9, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Elect Director David Baltimore	For	For	Management
2	Elect Director Frank J. Biondi, Jr.	For	For	Management
3	Elect Director Francois de Carbonnel	For	For	Management
4	Elect Director Jerry D. Choate	For	For	Management
5	Elect Director Vance D. Coffman	For	For	Management
6	Elect Director Frederick W. Gluck	For	For	Management
7	Elect Director Frank C. Herringer	For	For	Management
8	Elect Director Gilbert S. Omenn	For	For	Management
9	Elect Director Judith C. Pelham	For	For	Management
10	Elect Director J. Paul Reason	For	For	Management
11	Elect Director Leonard D. Schaeffer	For	For	Management
12	Elect Director Kevin W. Sharer	For	For	Management
13	Ratify Auditors	For	For	Management
14	Approve Omnibus Stock Plan	For	For	Management
15	Reduce Supermajority Vote Requirement	For	For	Management
16	Amend Articles/Bylaws/Charter -- Call Special Meetings	Against	For	Shareholder
17	Reincorporate in Another State [from Delaware to North Dakota ]	Against	Against	Shareholder

-----

AON CORP.

Ticker: AOC Security ID: 037389103

Meeting Date: MAY 15, 2009 Meeting Type: Annual

Record Date: MAR 18, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Elect Director Lester B. Knight	For	For	Management
2	Elect Director Gregory C. Case	For	For	Management
3	Elect Director Fulvio Conti	For	For	Management
4	Elect Director Edgar D. Jannotta	For	For	Management
5	Elect Director Jan Kalff	For	For	Management
6	Elect Director J. Michael Losh	For	Against	Management
7	Elect Director R. Eden Martin	For	For	Management
8	Elect Director Andrew J. McKenna	For	For	Management
9	Elect Director Robert S. Morrison	For	For	Management
10	Elect Director Richard B. Myers	For	For	Management
11	Elect Director Richard C. Notebaert	For	For	Management
12	Elect Director John W. Rogers, Jr.	For	For	Management
13	Elect Director Gloria Santona	For	For	Management
14	Elect Director Carolyn Y. Woo	For	For	Management
15	Ratify Auditors	For	For	Management

-----

APACHE CORP.

Ticker: APA Security ID: 037411105

Meeting Date: MAY 7, 2009 Meeting Type: Annual

Record Date: MAR 18, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Elect Director Frederick M. Bohlen	For	For	Management
2	Elect Director George D. Lawrence	For	For	Management

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

3	Elect Director Rodman D. Patton	For	For	Management
4	Elect Director Charles J. Pitman	For	For	Management

---

### AT&T INC

Ticker: T Security ID: 00206R102  
 Meeting Date: APR 24, 2009 Meeting Type: Annual  
 Record Date: FEB 25, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Randall L. Stephenson	For	For	Management
1.2	Elect Director William F. Aldinger III	For	For	Management
1.3	Elect Director Gilbert F. Amelio	For	For	Management
1.4	Elect Director Reuben V. Anderson	For	For	Management
1.5	Elect Director James H. Blanchard	For	For	Management
1.6	Elect Director August A. Busch III	For	For	Management
1.7	Elect Director Jaime Chico Pardo	For	For	Management
1.8	Elect Director James P. Kelly	For	For	Management
1.9	Elect Director Jon C. Madonna	For	For	Management
1.10	Elect Director Lynn M. Martin	For	For	Management
1.11	Elect Director John B. McCoy	For	For	Management
1.12	Elect Director Mary S. Metz	For	For	Management
1.13	Elect Director Joyce M. Roche	For	For	Management
1.14	Elect Director Laura D Andrea Tyson	For	For	Management
1.15	Elect Director Patricia P. Upton	For	For	Management
2	Ratify Auditors	For	For	Management
3	Increase Authorized Common Stock	For	Against	Management
4	Report on Political Contributions	Against	Against	Shareholder
5	Amend Articles/Bylaws/Charter -- Call Special Meetings	Against	For	Shareholder
6	Provide for Cumulative Voting	Against	Against	Shareholder
7	Require Independent Board Chairman	Against	For	Shareholder
8	Advisory Vote to Ratify Named Executive Officers' Compensation	Against	For	Shareholder
9	Exclude Pension Credits from Earnings Performance Measure	Against	For	Shareholder

---

### BAKER HUGHES INCORPORATED

Ticker: BHI Security ID: 057224107  
 Meeting Date: APR 23, 2009 Meeting Type: Annual  
 Record Date: FEB 26, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Larry D. Brady	For	For	Management
1.2	Elect Director Clarence P. Cazalot, Jr.	For	For	Management
1.3	Elect Director Chad C. Deaton	For	For	Management
1.4	Elect Director Edward P. Djerejian	For	For	Management
1.5	Elect Director Anthony G. Fernandes	For	For	Management
1.6	Elect Director Claire W. Gargalli	For	For	Management
1.7	Elect Director Pierre H. Jungels	For	For	Management
1.8	Elect Director James A. Lash	For	For	Management
1.9	Elect Director J. Larry Nichols	For	For	Management
1.10	Elect Director H. John Riley, Jr.	For	For	Management
1.11	Elect Director Charles L. Watson	For	For	Management
2	Ratify Auditors	For	For	Management



## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

3	Amend Qualified Employee Stock Purchase Plan	For	For	Management
4	Amend Articles/Bylaws/Charter -- Call Special Meetings	Against	For	Shareholder

-----

BANK OF AMERICA CORP.

Ticker: BAC Security ID: 060505104  
 Meeting Date: DEC 5, 2008 Meeting Type: Special  
 Record Date: OCT 10, 2008

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Issue Shares in Connection with Acquisition	For	For	Management
2	Amend Omnibus Stock Plan	For	Against	Management
3	Increase Authorized Common Stock	For	For	Management
4	Adjourn Meeting	For	For	Management

-----

BANK OF AMERICA CORP.

Ticker: BAC Security ID: 060505104  
 Meeting Date: APR 29, 2009 Meeting Type: Annual  
 Record Date: MAR 4, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director William Barnet, III	For	For	Management
1.2	Elect Director Frank P. Bramble, Sr.	For	For	Management
1.3	Elect Director Virgis W. Colbert	For	For	Management
1.4	Elect Director John T. Collins	For	For	Management
1.5	Elect Director Gary L. Countryman	For	For	Management
1.6	Elect Director Tommy R. Franks	For	For	Management
1.7	Elect Director Charles K. Gifford	For	For	Management
1.8	Elect Director Kenneth D. Lewis	For	For	Management
1.9	Elect Director Monica C. Lozano	For	For	Management
1.10	Elect Director Walter E. Massey	For	For	Management
1.11	Elect Director Thomas J. May	For	For	Management
1.12	Elect Director Patricia E. Mitchell	For	For	Management
1.13	Elect Director Joseph W. Prueher	For	For	Management
1.14	Elect Director Charles O. Rossotti	For	For	Management
1.15	Elect Director Thomas M. Ryan	For	For	Management
1.16	Elect Director O. Temple Sloan, Jr.	For	For	Management
1.17	Elect Director Robert L. Tillman	For	For	Management
1.18	Elect Director Jackie M. Ward	For	For	Management
2	Ratify Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Report on Government Service of Employees	Against	Against	Shareholder
5	Advisory Vote to Ratify Named Executive Officers' Compensation	Against	Against	Shareholder
6	Provide for Cumulative Voting	Against	Against	Shareholder
7	Amend Articles/Bylaws/Charter -- Call Special Meetings	Against	For	Shareholder
8	Require Independent Board Chairman	Against	For	Shareholder
9	Report on Predatory Lending Policies	Against	Against	Shareholder
10	Adopt Principles for Health Care Reform	Against	Abstain	Shareholder

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

11	TARP Related Compensation	Against	Against	Shareholder
----	---------------------------	---------	---------	-------------

---

BB&T CORPORATION

Ticker: BBT Security ID: 054937107  
 Meeting Date: APR 28, 2009 Meeting Type: Annual  
 Record Date: FEB 25, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director John A. Allison IV	For	For	Management
1.2	Elect Director Jennifer S. Banner	For	For	Management
1.3	Elect Director Anna R. Cablik	For	Withhold	Management
1.4	Elect Director Nelle R. Chilton	For	For	Management
1.5	Elect Director Ronald E. Deal	For	Withhold	Management
1.6	Elect Director Tom D. Efird	For	For	Management
1.7	Elect Director Barry J. Fitzpatrick	For	For	Management
1.8	Elect Director L. Vincent Hackley	For	For	Management
1.9	Elect Director Jane P. Helm	For	For	Management
1.10	Elect Director John P. Howe III	For	For	Management
1.11	Elect Director Kelly S. King	For	For	Management
1.12	Elect Director James H. Maynard	For	For	Management
1.13	Elect Director Albert O. McCauley	For	For	Management
1.14	Elect Director J. Holmes Morrison	For	For	Management
1.15	Elect Director Nido R. Qubein	For	For	Management
1.16	Elect Director Thomas N. Thompson	For	For	Management
1.17	Elect Director Stephen T. Williams	For	For	Management
2	Amend Omnibus Stock Plan	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Ratify Auditors	For	For	Management
5	Require a Majority Vote for the Election of Directors	Against	For	Shareholder

---

BED BATH & BEYOND INC.

Ticker: BBY Security ID: 075896100  
 Meeting Date: JUN 30, 2009 Meeting Type: Annual  
 Record Date: MAY 5, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Warren Eisenberg	For	For	Management
1.2	Elect Director Leonard Feinstein	For	For	Management
1.3	Elect Director Steven H. Temares	For	For	Management
1.4	Elect Director Dean S. Adler	For	For	Management
1.5	Elect Director Stanley F. Barshay	For	For	Management
1.6	Elect Director Klaus Eppler	For	For	Management
1.7	Elect Director Patrick R. Gaston	For	For	Management
1.8	Elect Director Jordan Heller	For	For	Management
1.9	Elect Director Victoria A. Morrison	For	For	Management
1.10	Elect Director Fran Stoller	For	For	Management
2	Ratify Auditors	For	For	Management
3	Adopt Majority Voting for Uncontested Election of Directors	For	For	Management
4	Eliminate Supermajority Vote Requirement	For	For	Management
5	Eliminate Supermajority Vote	For	For	Management

Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

	Requirement			
6	Re-Approve Performance Goals Under Omnibus Stock Plan	For	For	Management
7	Prepare Sustainability Report	Against	Abstain	Shareholder

-----  
BMC SOFTWARE, INC.

Ticker: BMC Security ID: 055921100  
Meeting Date: JUL 22, 2008 Meeting Type: Annual  
Record Date: MAY 27, 2008

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director B. Garland Cupp	For	For	Management
1.2	Elect Director Robert E. Beauchamp	For	For	Management
1.3	Elect Director Jon E. Barfield	For	For	Management
1.4	Elect Director Gary Bloom	For	For	Management
1.5	Elect Director Meldon K. Gafner	For	For	Management
1.6	Elect Director P. Thomas Jenkins	For	For	Management
1.7	Elect Director Louis J. Lavigne, Jr.	For	For	Management
1.8	Elect Director Kathleen A. O'Neil	For	For	Management
1.9	Elect Director Tom C. Tinsley	For	For	Management
2	Ratify Auditors	For	For	Management

-----  
BURLINGTON NORTHERN SANTA FE CORP.

Ticker: BNI Security ID: 12189T104  
Meeting Date: APR 23, 2009 Meeting Type: Annual  
Record Date: FEB 27, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Alan L. Boeckmann	For	For	Management
1.2	Elect Director Donald G. Cook	For	For	Management
1.3	Elect Director Vilma S. Martinez	For	For	Management
1.4	Elect Director Marc F. Racicot	For	For	Management
1.5	Elect Director Roy S. Roberts	For	For	Management
1.6	Elect Director Matthew K. Rose	For	For	Management
1.7	Elect Director Marc J. Shapiro	For	For	Management
1.8	Elect Director J.C. Watts, Jr.	For	For	Management
1.9	Elect Director Robert H. West	For	For	Management
1.10	Elect Director J. Steven Whisler	For	For	Management
1.11	Elect Director Edward E. Whitacre, Jr.	For	For	Management
2	Ratify Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Against	For	Shareholder
4	Amend Bylaws-- Call Special Meetings	Against	For	Shareholder
5	Report on Political Contributions	Against	Against	Shareholder

-----  
C.H. ROBINSON WORLDWIDE, INC.

Ticker: CHRW Security ID: 12541W209  
Meeting Date: MAY 14, 2009 Meeting Type: Annual  
Record Date: MAR 16, 2009

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Elect Director James B. Stake	For	For	Management
2	Elect Director John P. Wiehoff	For	For	Management
3	Approve Increase in Size of Board	For	For	Management
4	Ratify Auditors	For	For	Management

---

### CAPITAL ONE FINANCIAL CORP.

Ticker: COF                      Security ID: 14040H105  
 Meeting Date: APR 23, 2009      Meeting Type: Annual  
 Record Date: FEB 23, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Richard D. Fairbank	For	For	Management
1.2	Elect Director E.R. Campbell	For	For	Management
1.3	Elect Director Bradford H. Warner	For	For	Management
1.4	Elect Director Stanley Westreich	For	For	Management
2	Ratify Auditors	For	For	Management
3	Amend Omnibus Stock Plan	For	For	Management
4	Advisory Vote on Executive Compensation	For	For	Management

---

### CARDINAL HEALTH, INC.

Ticker: CAH                      Security ID: 14149Y108  
 Meeting Date: JUN 23, 2009      Meeting Type: Special  
 Record Date: MAY 15, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Approve Stock Option Exchange Program	For	Against	Management

---

### CELGENE CORP.

Ticker: CELG                      Security ID: 151020104  
 Meeting Date: JUN 17, 2009      Meeting Type: Annual  
 Record Date: APR 21, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Sol J. Barer	For	For	Management
1.2	Elect Director Robert J. Hugin	For	For	Management
1.3	Elect Director Michael D. Casey	For	For	Management
1.4	Elect Director Rodman L. Drake	For	For	Management
1.5	Elect Director A. Hull Hayes, Jr.	For	For	Management
1.6	Elect Director Gilla Kaplan	For	For	Management
1.7	Elect Director James J. Loughlin	For	For	Management
1.8	Elect Director Ernest Mario	For	For	Management
1.9	Elect Director Walter L. Robb	For	For	Management
2	Ratify Auditors	For	For	Management
3	Amend Omnibus Stock Plan	For	Against	Management
4	Require a Majority Vote for the Election of Directors	Against	For	Shareholder

---

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

CEPHALON, INC.

Ticker: CEPH Security ID: 156708109  
 Meeting Date: MAY 12, 2009 Meeting Type: Annual  
 Record Date: MAR 17, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Frank Baldino, Jr., Ph.D.	For	For	Management
1.2	Elect Director William P. Egan	For	For	Management
1.3	Elect Director Martyn D. Greenacre	For	For	Management
1.4	Elect Director Vaughn M. Kailian	For	For	Management
1.5	Elect Director Kevin E. Moley	For	For	Management
1.6	Elect Director Charles A. Sanders, M.D.	For	For	Management
1.7	Elect Director Gail R. Wilensky, Ph.D.	For	For	Management
1.8	Elect Director Dennis L. Winger	For	For	Management
2	Amend Stock Option Plan	For	For	Management
3	Ratify Auditors	For	For	Management

CHARLES SCHWAB CORP., THE

Ticker: SCHW Security ID: 808513105  
 Meeting Date: MAY 14, 2009 Meeting Type: Annual  
 Record Date: MAR 16, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Nancy H. Bechtle	For	For	Management
1.2	Elect Director Walter W. Bettinger II	For	For	Management
1.3	Elect Director C. Preston Butcher	For	For	Management
2	Report on Political Contributions	Against	Against	Shareholder
3	Adopt a Policy in which the Company will not Make or Promise to Make Any Death Benefit Payments to Senior Executives	Against	For	Shareholder
4	Amend Corporate Executive Bonus Plan	Against	Against	Shareholder

CHESAPEAKE ENERGY CORP.

Ticker: CHK Security ID: 165167107  
 Meeting Date: JUN 12, 2009 Meeting Type: Annual  
 Record Date: APR 15, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Richard K. Davidson	For	Did Not Vote	Management
1.2	Elect Director V. Burns Hargis	For	Did Not Vote	Management
1.3	Elect Director Charles T. Maxwell	For	Did Not Vote	Management
2	Increase Authorized Common Stock	For	Did Not Vote	Management
3	Amend Omnibus Stock Plan	For	Did Not Vote	Management
4	Ratify Auditors	For	Did Not Vote	Management
5	Declassify the Board of Directors	Against	Did Not Vote	Shareholder
6	Require a Majority Vote for the Election of Directors	Against	Did Not Vote	Shareholder
7	Amend EEO Policy to Prohibit Discrimination based on Sexual Orientation and Gender Identity	Against	Did Not Vote	Shareholder

Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

CHEVRON CORPORATION

Ticker: CVX Security ID: 166764100  
 Meeting Date: MAY 27, 2009 Meeting Type: Annual  
 Record Date: APR 1, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director S. H. Armacost	For	For	Management
1.2	Elect Director L. F. Deily	For	For	Management
1.3	Elect Director R. E. Denham	For	For	Management
1.4	Elect Director R. J. Eaton	For	For	Management
1.5	Elect Director E. Hernandez	For	For	Management
1.6	Elect Director F. G. Jenifer	For	For	Management
1.7	Elect Director S. Nunn	For	For	Management
1.8	Elect Director D. J. O'Reilly	For	For	Management
1.9	Elect Director D. B. Rice	For	For	Management
1.10	Elect Director K. W. Sharer	For	For	Management
1.11	Elect Director C. R. Shoemate	For	For	Management
1.12	Elect Director R. D. Sugar	For	For	Management
1.13	Elect Director C. Ware	For	For	Management
1.14	Elect Director J. S. Watson	For	For	Management
2	Ratify Auditors	For	For	Management
3	Amend Executive Incentive Bonus Plan	For	For	Management
4	Amend Omnibus Stock Plan	For	For	Management
5	Amend Articles/Bylaws/Charter -- Call Special Meetings	Against	For	Shareholder
6	Advisory Vote to Ratify Named Executive Officers' Compensation	Against	For	Shareholder
7	Adopt Quantitative GHG Goals for Products and Operations	Against	Abstain	Shareholder
8	Adopt Guidelines for Country Selection	Against	Abstain	Shareholder
9	Adopt Human Rights Policy	Against	Abstain	Shareholder
10	Report on Market Specific Environmental Laws	Against	Abstain	Shareholder

CHUBB CORP., THE

Ticker: CB Security ID: 171232101  
 Meeting Date: APR 28, 2009 Meeting Type: Annual  
 Record Date: MAR 9, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Zoe Baird	For	For	Management
1.2	Elect Director Sheila P. Burke	For	For	Management
1.3	Elect Director James I. Cash, Jr.	For	For	Management
1.4	Elect Director Joel J. Cohen	For	For	Management
1.5	Elect Director John D. Finnegan	For	For	Management
1.6	Elect Director Klaus J. Mangold	For	For	Management
1.7	Elect Director Martin G. McGuinn	For	For	Management
1.8	Elect Director Lawrence M. Small	For	For	Management
1.9	Elect Director Jess Soderberg	For	For	Management
1.10	Elect Director Daniel E. Somers	For	For	Management
1.11	Elect Director Karen Hastie Williams	For	For	Management
1.12	Elect Director James M. Zimmerman	For	For	Management
1.13	Elect Director Alfred W. Zollar	For	For	Management

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

2	Approve Omnibus Stock Plan	For	Against	Management
3	Ratify Auditors	For	For	Management

---

CIGNA CORP.

Ticker: CI Security ID: 125509109  
 Meeting Date: APR 22, 2009 Meeting Type: Annual  
 Record Date: FEB 27, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director H. Edward Hanway	For	For	Management
1.2	Elect Director John M. Partridge	For	For	Management
1.3	Elect Director James E. Rogers	For	For	Management
1.4	Elect Director Eric C. Wiseman	For	For	Management
2	Ratify Auditors	For	For	Management

---

CISCO SYSTEMS, INC.

Ticker: CSCO Security ID: 17275R102  
 Meeting Date: NOV 13, 2008 Meeting Type: Annual  
 Record Date: SEP 15, 2008

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Elect Director Carol A. Bartz	For	For	Management
2	Elect Director M. Michele Burns	For	For	Management
3	Elect Director Michael D. Capellas	For	For	Management
4	Elect Director Larry R. Carter	For	For	Management
5	Elect Director John T. Chambers	For	For	Management
6	Elect Director Brian L. Halla	For	For	Management
7	Elect Director John L. Hennessy	For	For	Management
8	Elect Director Richard M. Kovacevich	For	For	Management
9	Elect Director Roderick C. McGeary	For	For	Management
10	Elect Director Michael K. Powell	For	For	Management
11	Elect Director Steven M. West	For	For	Management
12	Elect Director Jerry Yang	For	For	Management
13	Ratify Auditors	For	For	Management
14	Amend Bylaws to Establish a Board Committee on Human Rights	Against	Abstain	Shareholder
15	Report on Internet Fragmentation	Against	Abstain	Shareholder

---

CIT GROUP INC

Ticker: CIT Security ID: 125581108  
 Meeting Date: MAY 12, 2009 Meeting Type: Annual  
 Record Date: MAR 16, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Elect Director Michael A. Carpenter	For	For	Management
2	Elect Director William M. Freeman	For	For	Management
3	Elect Director Susan M. Lyne	For	For	Management
4	Elect Director Marianne Miller Parrs	For	For	Management
5	Elect Director Jeffrey M. Peek	For	For	Management
6	Elect Director John R. Ryan	For	For	Management

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

7	Elect Director Christopher H. Shays	For	For	Management
8	Elect Director Seymour Sternberg	For	For	Management
9	Elect Director Peter J. Tobin	For	For	Management
10	Elect Director Lois M. Van Deusen	For	For	Management
11	Ratify Auditors	For	For	Management
12	Amend Omnibus Stock Plan	For	Against	Management
13	Amend Qualified Employee Stock Purchase Plan	For	For	Management
14	Approve Issuance of Warrants/Convertible Debentures	For	For	Management
15	Advisory Vote to Ratify Named Executive Officer's Compensation	For	For	Management

---

### CITIGROUP INC.

Ticker: C Security ID: 172967101  
Meeting Date: APR 21, 2009 Meeting Type: Annual  
Record Date: FEB 27, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director C. Michael Armstrong	For	For	Management
1.2	Elect Director Alain J.P. Belda	For	For	Management
1.3	Elect Director John M. Deutch	For	For	Management
1.4	Elect Director Jerry A. Grundhofer	For	For	Management
1.5	Elect Director Andrew N. Liveris	For	For	Management
1.6	Elect Director Anne Mulcahy	For	For	Management
1.7	Elect Director Michael E. O'Neill	For	For	Management
1.8	Elect Director Vikram Pandit	For	For	Management
1.9	Elect Director Richard D. Parsons	For	For	Management
1.10	Elect Director Lawrence R. Ricciardi	For	For	Management
1.11	Elect Director Judith Rodin	For	For	Management
1.12	Elect Director Robert L. Ryan	For	For	Management
1.13	Elect Director Anthony M. Santomero	For	For	Management
1.14	Elect Director William S. Thompson, Jr.	For	For	Management
2	Ratify Auditors	For	For	Management
3	Approve Omnibus Stock Plan	For	For	Management
4	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
5	Disclose Prior Government Service	Against	Against	Shareholder
6	Report on Political Contributions	Against	Against	Shareholder
7	Report on Predatory Lending Policies	Against	Against	Shareholder
8	Require More Director Nominations Than Open Seats	Against	Against	Shareholder
9	Prepare Carbon Principles Report	Against	Abstain	Shareholder
10	Stock Retention/Holding Period	Against	Against	Shareholder
11	Disclose Information on Compensation Consultant	Against	For	Shareholder
12	Amend Articles/Bylaws/Charter -- Call Special Meetings	Against	For	Shareholder
13	Restore or Provide for Cumulative Voting	Against	Against	Shareholder

---

### CME GROUP INC.

Ticker: CME Security ID: 12572Q105  
Meeting Date: AUG 18, 2008 Meeting Type: Special



## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

Record Date: JUL 18, 2008

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Approve Increase in Size of Board	For	For	Management
2	Issue Shares in Connection with Acquisition	For	For	Management
3	Adjourn Meeting	For	For	Management

COCA-COLA COMPANY, THE

Ticker: KO Security ID: 191216100  
 Meeting Date: APR 22, 2009 Meeting Type: Annual  
 Record Date: FEB 23, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Herbert A. Allen	For	For	Management
1.2	Elect Director Ronald W. Allen	For	For	Management
1.3	Elect Director Cathleen P. Black	For	For	Management
1.4	Elect Director Barry Diller	For	Against	Management
1.5	Elect Director Alexis M. Herman	For	For	Management
1.6	Elect Director Muhtar Kent	For	For	Management
1.7	Elect Director Donald R. Keough	For	For	Management
1.8	Elect Director Maria Elena Lagomsino	For	For	Management
1.9	Elect Director Donald F. McHenry	For	For	Management
1.10	Elect Director Sam Nunn	For	For	Management
1.11	Elect Director James D. Robinson III	For	For	Management
1.12	Elect Director Peter V. Ueberroth	For	Against	Management
1.13	Elect Director Jacob Wallenberg	For	Against	Management
1.14	Elect Director James B. Williams	For	For	Management
2	Ratify Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Against	For	Shareholder
4	Require Independent Board Chairman	Against	For	Shareholder
5	Amend Bylaws to Establish a Board Committee on Human Rights	Against	Abstain	Shareholder
6	Performance-Based Awards	Against	Against	Shareholder

COMCAST CORP.

Ticker: CMCSA Security ID: 20030N101  
 Meeting Date: MAY 13, 2009 Meeting Type: Annual  
 Record Date: MAR 4, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director S. Decker Anstrom	For	For	Management
1.2	Elect Director Kenneth J. Bacon	For	For	Management
1.3	Elect Director Sheldon M. Bonovitz	For	For	Management
1.4	Elect Director Edward D. Breen	For	For	Management
1.5	Elect Director Julian A. Brodsky	For	For	Management
1.6	Elect Director Joseph J. Collins	For	For	Management
1.7	Elect Director J. Michael Cook	For	For	Management
1.8	Elect Director Gerald L. Hassell	For	For	Management
1.9	Elect Director Jeffrey A. Honickman	For	For	Management
1.10	Elect Director Brian L. Roberts	For	For	Management
1.11	Elect Director Ralph J. Roberts	For	For	Management
1.12	Elect Director Dr. Judith Rodin	For	For	Management

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

1.13	Elect Director Michael I. Sovern	For	For	Management
2	Ratify Auditors	For	For	Management
3	Amend Qualified Employee Stock Purchase Plan	For	For	Management
4	Amend Restricted Stock Plan	For	For	Management
5	Amend Stock Option Plan	For	For	Management
6	Increase Disclosure of Executive Compensation	Against	Against	Shareholder
7	Seek Shareholder Approval on Certain Future Death Benefit Arrangements	Against	For	Shareholder
8	Advisory Vote to Ratify Named Executive Officers' Compensation	Against	For	Shareholder
9	Adopt a Recapitalization Plan	Against	For	Shareholder

---

### COMERICA INC.

Ticker: CMA Security ID: 200340107  
Meeting Date: MAY 19, 2009 Meeting Type: Annual  
Record Date: MAR 20, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Elect Director Lillian Bauder	For	For	Management
2	Elect Director Richard G. Lindner	For	For	Management
3	Elect Director Robert S. Taubman	For	For	Management
4	Elect Director Reginald M. Turner, Jr.	For	For	Management
5	Ratify Auditors	For	For	Management
6	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
7	Declassify the Board of Directors	Against	For	Shareholder

---

### CONOCOPHILLIPS

Ticker: COP Security ID: 20825C104  
Meeting Date: MAY 13, 2009 Meeting Type: Annual  
Record Date: MAR 16, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Richard L. Armitage	For	For	Management
1.2	Elect Director Richard H. Auchinleck	For	For	Management
1.3	Elect Director James E. Copeland, Jr.	For	For	Management
1.4	Elect Director Kenneth M. Duberstein	For	For	Management
1.5	Elect Director Ruth R. Harkin	For	For	Management
1.6	Elect Director Harold W. McGraw III	For	For	Management
1.7	Elect Director James J. Mulva	For	For	Management
1.8	Elect Director Harald J. Norvik	For	For	Management
1.9	Elect Director William K. Reilly	For	For	Management
1.10	Elect Director Bobby S. Shackouls	For	For	Management
1.11	Elect Director Victoria J. Tschinkel	For	For	Management
1.12	Elect Director Kathryn C. Turner	For	For	Management
1.13	Elect Director William E. Wade, Jr.	For	For	Management
2	Ratify Auditors	For	Against	Management
3	Approve Omnibus Stock Plan	For	For	Management
4	Adopt Principles for Health Care Reform	Against	Abstain	Shareholder
5	Advisory Vote to Ratify Named Executive Officers' Compensation	Against	For	Shareholder
6	Report on Political Contributions	Against	Against	Shareholder

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

7	Adopt Quantitative GHG Goals for Products and Operations	Against	Abstain	Shareholder
8	Report on Environmental Impact of Oil Sands Operations in Canada	Against	Abstain	Shareholder
9	Require Director Nominee Qualifications	Against	Against	Shareholder

### CONSOL ENERGY, INC.

Ticker: CNX                      Security ID: 20854P109  
 Meeting Date: APR 28, 2009      Meeting Type: Annual  
 Record Date: MAR 5, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director John Whitmire	For	For	Management
1.2	Elect Director J. Brett Harvey	For	For	Management
1.3	Elect Director James E. Altmeyer, Sr.	For	Withhold	Management
1.4	Elect Director Philip W. Baxter	For	For	Management
1.5	Elect Director William E. Davis	For	For	Management
1.6	Elect Director Raj K. Gupta	For	For	Management
1.7	Elect Director Patricia A. Hammick	For	For	Management
1.8	Elect Director David C. Hardesty, Jr.	For	For	Management
1.9	Elect Director John T. Mills	For	For	Management
1.10	Elect Director William P. Powell	For	For	Management
1.11	Elect Director Joseph T. Williams	For	For	Management
2	Ratify Auditors	For	For	Management
3	Amend Omnibus Stock Plan	For	For	Management
4	Require a Majority Vote for the Election of Directors	Against	For	Shareholder
5	Provide Early Disclosure of Shareholder Proposal Voting Results	Against	Against	Shareholder

### CONSTELLATION ENERGY GROUP, INC.

Ticker: CEG                      Security ID: 210371100  
 Meeting Date: MAY 29, 2009      Meeting Type: Annual  
 Record Date: MAR 27, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Elect Director Yves C. de Balmann	For	For	Management
2	Elect Director Ann C. Berzin	For	For	Management
3	Elect Director James T. Brady	For	For	Management
4	Elect Director James R. Curtiss	For	For	Management
5	Elect Director Freeman A. Hrabowski, III	For	For	Management
6	Elect Director Nancy Lampton	For	For	Management
7	Elect Director Robert J. Lawless	For	For	Management
8	Elect Director Lynn M. Martin	For	For	Management
9	Elect Director Mayo A. Shattuck III	For	For	Management
10	Elect Director John L. Skolds	For	For	Management
11	Elect Director Michael D. Sullivan	For	For	Management
12	Ratify Auditors	For	For	Management

### CORNING INC.

Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

Ticker: GLW Security ID: 219350105  
 Meeting Date: APR 30, 2009 Meeting Type: Annual  
 Record Date: FEB 26, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director James B. Flaws	For	For	Management
1.2	Elect Director James R. Houghton	For	For	Management
1.3	Elect Director James J. O'Connor	For	For	Management
1.4	Elect Director Deborah D. Rieman	For	For	Management
1.5	Elect Director Peter F. Volanakis	For	For	Management
1.6	Elect Director Mark S. Wrighton	For	For	Management
2	Ratify Auditors	For	For	Management
3	Require a Majority Vote for the Election of Directors	Against	For	Shareholder
4	Declassify the Board of Directors	Against	For	Shareholder

-----  
 COVIDIEN LTD.

Ticker: COV Security ID: G2552X108  
 Meeting Date: MAR 18, 2009 Meeting Type: Annual  
 Record Date: JAN 6, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Craig Arnold as Director	For	For	Management
1b	Elect Robert H. Brust as Director	For	Against	Management
1c	Elect John M. Connors, Jr. as Director	For	For	Management
1d	Elect Christopher J. Coughlin as Director	For	For	Management
1e	Elect Timothy M. Donahue as Director	For	For	Management
1f	Elect Kathy J. Herbert as Director	For	For	Management
1g	Elect Randall J. Hogan, III as Director	For	For	Management
1h	Elect Richard J. Meelia as Director	For	For	Management
1i	Elect Dennis H. Reilley as Director	For	For	Management
1j	Elect Tadataka Yamada as Director	For	For	Management
1k	Elect Joseph A. Zaccagnino as Director	For	For	Management
2	Approve Amended and Restated 2007 Stock and Incentive Plan	For	For	Management
3	Approve Auditors and Authorize Board to Fix Their Remuneration	For	For	Management

-----  
 COVIDIEN LTD.

Ticker: COV Security ID: G2552X108  
 Meeting Date: MAY 28, 2009 Meeting Type: Court  
 Record Date: APR 17, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Approve Reincorporation from Bermuda to Ireland through Scheme of Arrangement	For	For	Management
2	Approve the Creation of Distributable Reserves of Covidien plc	For	For	Management
3	Adjourn Meeting	For	For	Management

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

CSX CORP.

Ticker: CSX Security ID: 126408103  
 Meeting Date: MAY 6, 2009 Meeting Type: Annual  
 Record Date: MAR 6, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director D. M. Alvarado	For	For	Management
1.2	Elect Director A. Behring	For	For	Management
1.3	Elect Director Sen. J. B. Breaux	For	For	Management
1.4	Elect Director S. T. Halverson	For	For	Management
1.5	Elect Director E. J. Kelly, III	For	For	Management
1.6	Elect Director G. H. Lamphere	For	For	Management
1.7	Elect Director J. D. McPherson	For	For	Management
1.8	Elect Director T. T. O'Toole	For	For	Management
1.9	Elect Director D. M. Ratcliffe	For	For	Management
1.10	Elect Director D. J. Shepard	For	For	Management
1.11	Elect Director M. J. Ward	For	For	Management
2	Ratify Auditors	For	For	Management

CUMMINS , INC.

Ticker: CMI Security ID: 231021106  
 Meeting Date: MAY 12, 2009 Meeting Type: Annual  
 Record Date: MAR 16, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Elect Director Robert J. Bernhard	For	For	Management
2	Elect Director Robert J. Darnall	For	For	Management
3	Elect Director Robert K. Herdman	For	For	Management
4	Elect Director Alexis M. Herman	For	For	Management
5	Elect Director N. Thomas Linebarger	For	For	Management
6	Elect Director William I. Miller	For	For	Management
7	Elect Director Georgia R. Nelson	For	For	Management
8	Elect Director Theodore M. Solso	For	For	Management
9	Elect Director Carl Ware	For	For	Management
10	Ratify Auditors	For	For	Management
11	Amend Omnibus Stock Plan	For	For	Management
12	Approve Executive Incentive Bonus Plan	For	For	Management
13	Adopt and Implement ILO-based Human Rights Policy	Against	Abstain	Shareholder

DAVITA INC.

Ticker: DVA Security ID: 23918K108  
 Meeting Date: JUN 15, 2009 Meeting Type: Annual  
 Record Date: APR 20, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Charles G. Berg	For	For	Management
1.2	Elect Director Willard W. Brittain, Jr.	For	For	Management
1.3	Elect Director Paul J. Diaz	For	For	Management
1.4	Elect Director Peter T. Grauer	For	For	Management
1.5	Elect Director John M. Nehra	For	For	Management

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

1.6	Elect Director William L. Roper	For	For	Management
1.7	Elect Director Kent J. Thiry	For	For	Management
1.8	Elect Director Roger J. Valine	For	For	Management
1.9	Elect Director Richard C. Vaughan	For	For	Management
2	Amend Executive Incentive Bonus Plan	For	For	Management
3	Ratify Auditors	For	Against	Management

### DELTA AIR LINES, INC.

Ticker: DAL Security ID: 247361702  
 Meeting Date: SEP 25, 2008 Meeting Type: Special  
 Record Date: JUL 29, 2008

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Issue Shares in Connection with Acquisition	For	For	Management
2	Amend Omnibus Stock Plan	For	Against	Management

### DIRECTV GROUP, INC, THE

Ticker: DTV Security ID: 25459L106  
 Meeting Date: JUN 2, 2009 Meeting Type: Annual  
 Record Date: APR 3, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Chase Carey	For	For	Management
1.2	Elect Director Mark Carleton	For	For	Management
1.3	Elect Director Peter Lund	For	For	Management
1.4	Elect Director Haim Saban	For	For	Management
2	Ratify Auditors	For	Against	Management
3	Adopt Principles for Health Care Reform	Against	Abstain	Shareholder
4	Declassify the Board of Directors	Against	For	Shareholder

### DISCOVER FINANCIAL SERVICES

Ticker: DFS Security ID: 254709108  
 Meeting Date: APR 21, 2009 Meeting Type: Annual  
 Record Date: FEB 23, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Jeffrey S. Aronin	For	For	Management
1.2	Elect Director Mary K. Bush	For	Against	Management
1.3	Elect Director Gregory C. Case	For	For	Management
1.4	Elect Director Robert M. Devlin	For	For	Management
1.5	Elect Director Cynthia A. Glassman	For	For	Management
1.6	Elect Director Richard H. Lenny	For	For	Management
1.7	Elect Director Thomas G. Maheras	For	For	Management
1.8	Elect Director Michael H. Moskow	For	For	Management
1.9	Elect Director David W. Nelms	For	For	Management
1.10	Elect Director E. Follin Smith	For	For	Management
1.11	Elect Director Lawrence A. Weinbach	For	For	Management
2	Amend Omnibus Stock Plan	For	For	Management
3	Ratify Auditors	For	For	Management

Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

DOVER CORPORATION

Ticker: DOV Security ID: 260003108  
 Meeting Date: MAY 7, 2009 Meeting Type: Annual  
 Record Date: MAR 10, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director D. H. Benson	For	For	Management
1.2	Elect Director R. W. Cremin	For	For	Management
1.3	Elect Director T. J. Derosa	For	For	Management
1.4	Elect Director J-P. M. Ergas	For	For	Management
1.5	Elect Director P. T. Francis	For	For	Management
1.6	Elect Directors K. C. Graham	For	For	Management
1.7	Elect Director J. L. Koley	For	For	Management
1.8	Elect Director R. A. Livingston	For	For	Management
1.9	Elect Director R. K. Lochridge	For	For	Management
1.10	Elect Director B. G. Rethore	For	For	Management
1.11	Elect Director M. B. Stubbs	For	For	Management
1.12	Elect Director M. A. Winston	For	For	Management
2	Amend Omnibus Stock Plan	For	For	Management
3	Amend Executive Incentive Bonus Plan	For	For	Management
4	Report on Climate Change	Against	Abstain	Shareholder
5	Ratify Auditors	For	For	Management

DOW CHEMICAL COMPANY, THE

Ticker: DOW Security ID: 260543103  
 Meeting Date: MAY 14, 2009 Meeting Type: Annual  
 Record Date: MAR 16, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Elect Director Arnold A. Allemang	For	For	Management
2	Elect Director Jacqueline K. Barton	For	For	Management
3	Elect Director James A. Bell	For	For	Management
4	Elect Director Jeff M. Fettig	For	For	Management
5	Elect Director Barbara H. Franklin	For	For	Management
6	Elect Director John B. Hess	For	For	Management
7	Elect Director Andrew N. Liveris	For	For	Management
8	Elect Director Geoffery E. Merszei	For	For	Management
9	Elect Director Dennis H. Reilley	For	For	Management
10	Elect Director James M. Ringler	For	For	Management
11	Elect Director Ruth G. Shaw	For	For	Management
12	Elect Director Paul G. Stern	For	For	Management
13	Ratify Auditors	For	For	Management
14	Restore or Provide for Cumulative Voting	Against	Against	Shareholder
15	Amend Articles/Bylaws/Charter -- Call Special Meetings	Against	For	Shareholder
16	Stock Retention/Holding Period	Against	Against	Shareholder
17	Advisory Vote to Ratify Named Executive Officers' Compensation	Against	For	Shareholder
18	Report on Environmental Remediation in Midland Area	Against	Abstain	Shareholder

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

E.I. DU PONT DE NEMOURS & CO.

Ticker: DD Security ID: 263534109  
 Meeting Date: APR 29, 2009 Meeting Type: Annual  
 Record Date: MAR 4, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Elect Director Samuel W. Bodman	For	For	Management
2	Elect Director Richard H. Brown	For	For	Management
3	Elect Director Robert A. Brown	For	For	Management
4	Elect Director Bertrand P. Collomb	For	For	Management
5	Elect Director Curtis J. Crawford	For	For	Management
6	Elect Director Alexander M. Cutler	For	For	Management
7	Elect Director John T. Dillon	For	For	Management
8	Elect Director Eleuthere I. Du Pont	For	For	Management
9	Elect Director Marillyn Hewson	For	For	Management
10	Elect Director Charles O. Holliday, Jr.	For	For	Management
11	Elect Director Lois D. Juliber	For	For	Management
12	Elect Director Ellen J. Kullman	For	For	Management
13	Elect Director William K. Reily	For	For	Management
14	Ratify Auditors	For	For	Management
15	Advisory Vote to Ratify Named Executive Officers' Compensation	Against	Abstain	Shareholder

ELI LILLY AND CO.

Ticker: LLY Security ID: 532457108  
 Meeting Date: APR 20, 2009 Meeting Type: Annual  
 Record Date: FEB 13, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Martin S. Feldstein	For	For	Management
1.2	Elect Director J. Erik Fyrwald	For	For	Management
1.3	Elect Director Ellen R. Marram	For	For	Management
1.4	Elect Director Douglas R. Oberhelman	For	For	Management
2	Ratify Auditors	For	For	Management
3	Declassify the Board of Directors	For	For	Management
4	Amend Executive Incentive Bonus Plan	For	For	Management
5	Reduce Supermajority Vote Requirement	Against	For	Shareholder
6	Amend Vote Requirements to Amend Articles/Bylaws/Charter	Against	For	Shareholder
7	Advisory Vote to Ratify Named Executive Officers' Compensation	Against	For	Shareholder

ENERGIZER HOLDINGS, INC.

Ticker: ENR Security ID: 29266R108  
 Meeting Date: JAN 26, 2009 Meeting Type: Annual  
 Record Date: NOV 21, 2008

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Bill G. Armstrong	For	For	Management
1.2	Elect Director J. Patrick Mulcahy	For	For	Management
1.3	Elect Director Pamela M. Nicholson	For	For	Management



## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

2	Approve Omnibus Stock Plan	For	For	Management
---	----------------------------	-----	-----	------------

---

### ENERGY CONVERSION DEVICES, INC.

Ticker: ENER    Security ID: 292659109  
Meeting Date: NOV 18, 2008                          Meeting Type: Annual  
Record Date: OCT 1, 2008

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Joseph A. Avila	For	For	Management
1.2	Elect Director Alan E. Barton	For	For	Management
1.3	Elect Director Christopher P. Belden	For	For	Management
1.4	Elect Director Robert I. Frey	For	For	Management
1.5	Elect Director William J. Ketelhut	For	For	Management
1.6	Elect Director Mark D. Morelli	For	For	Management
1.7	Elect Director Stephen Rabinowitz	For	For	Management
1.8	Elect Director George A Schreiber, Jr.	For	For	Management
2	Ratify Auditors	For	For	Management

---

### ENSCO INTERNATIONAL, INC.

Ticker: ESV    Security ID: 26874Q100  
Meeting Date: MAY 28, 2009                          Meeting Type: Annual  
Record Date: MAR 31, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Gerald W. Haddock	For	For	Management
1.2	Elect Director Paul E. Rowsey, III	For	For	Management
1.3	Elect Director C. Christopher Gaut	For	For	Management
2	Amend Omnibus Stock Plan	For	Against	Management
3	Ratify Auditors	For	For	Management

---

### EOG RESOURCES, INC.

Ticker: EOG    Security ID: 26875P101  
Meeting Date: APR 29, 2009                          Meeting Type: Annual  
Record Date: MAR 5, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director George A. Alcorn	For	For	Management
1.2	Elect Director Charles R. Crisp	For	For	Management
1.3	Elect Director James C. Day	For	For	Management
1.4	Elect Director Mark G. Papa	For	For	Management
1.5	Elect Director H. Leighton Steward	For	For	Management
1.6	Elect Director Donald F. Textor	For	For	Management
1.7	Elect Director Frank G. Wisner	For	For	Management
2	Ratify Auditors	For	For	Management

---

### EQUITY RESIDENTIAL

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

Ticker: EQR Security ID: 29476L107  
 Meeting Date: JUN 11, 2009 Meeting Type: Annual  
 Record Date: MAR 31, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Trustee John W. Alexander	For	For	Management
1.2	Elect Trustee Charles L. Atwood	For	For	Management
1.3	Elect Trustee Boone A. Knox	For	For	Management
1.4	Elect Trustee John E. Neal	For	For	Management
1.5	Elect Trustee David J. Neithercut	For	For	Management
1.6	Elect Trustee Sheli Z. Rosenberg	For	For	Management
1.7	Elect Trustee Gerald A. Spector	For	For	Management
1.8	Elect Trustee B. Joseph White	For	For	Management
1.9	Elect Trustee Samuel Zell	For	For	Management
2	Ratify Auditors	For	For	Management
3	Require a Majority Vote for the Election of Directors	Against	For	Shareholder

### EXELON CORPORATION

Ticker: EXC Security ID: 30161N101  
 Meeting Date: APR 28, 2009 Meeting Type: Annual  
 Record Date: MAR 2, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director John A. Canning, Jr.	For	For	Management
1.2	Elect Director M. Walter D'Alessio	For	For	Management
1.3	Elect Director Bruce DeMars	For	For	Management
1.4	Elect Director Nelson A. Diaz	For	For	Management
1.5	Elect Director Rosemarie B. Greco	For	For	Management
1.6	Elect Director Paul L. Joskow	For	For	Management
1.7	Elect Director John M. Palms	For	For	Management
1.8	Elect Director John W. Rogers, Jr.	For	For	Management
1.9	Elect Director John W. Rowe	For	For	Management
1.10	Elect Director Stephen D. Steinour	For	For	Management
2	Approve Executive Incentive Bonus Plan	For	For	Management
3	Ratify Auditors	For	For	Management
4	Report on Global Warming	Against	Abstain	Shareholder

### EXXON MOBIL CORP.

Ticker: XOM Security ID: 30231G102  
 Meeting Date: MAY 27, 2009 Meeting Type: Annual  
 Record Date: APR 6, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director M.J. Boskin	For	For	Management
1.2	Elect Director L.R. Faulkner	For	For	Management
1.3	Elect Director K.C. Frazier	For	For	Management
1.4	Elect Director .W. George	For	For	Management
1.5	Elect Director R.C. King	For	For	Management
1.6	Elect Director M.C. Nelson	For	For	Management
1.7	Elect Director S.J. Palmisano	For	For	Management
1.8	Elect Director S.S Reinemund	For	For	Management
1.9	Elect Director R.W. Tillerson	For	For	Management
1.10	Elect Director E.E. Whitacre, Jr.	For	For	Management

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

2	Ratify Auditors	For	For	Management
3	Provide for Cumulative Voting	Against	Against	Shareholder
4	Amend Articles/Bylaws/Charter -- Call Special Meetings	Against	For	Shareholder
5	Reincorporate in Another State [from New Jersey to North Dakota]	Against	Against	Shareholder
6	Require Independent Board Chairman	Against	For	Shareholder
7	Advisory Vote to Ratify Named Executive Officers' Compensation	Against	For	Shareholder
8	Compare CEO Compensation to Average U.S. per Capita Income	Against	Against	Shareholder
9	Review Anti-discrimination Policy on Corporate Sponsorships and Executive Perks	Against	Against	Shareholder
10	Amend EEO Policy to Prohibit Discrimination based on Sexual Orientation and Gender Identity	Against	Abstain	Shareholder
11	Adopt Quantitative GHG Goals for Products and Operations	Against	Abstain	Shareholder
12	Report on Climate Change Impacts on Emerging Countries	Against	Against	Shareholder
13	Adopt Policy to Increase Renewable Energy	Against	Against	Shareholder

---

### FIFTH THIRD BANCORP

Ticker: FITB                      Security ID: 316773100  
Meeting Date: APR 21, 2009      Meeting Type: Annual  
Record Date: FEB 27, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Darryl F. Allen	For	For	Management
1.2	Elect Director John F. Barrett	For	For	Management
1.3	Elect Director Ulysses L. Bridgeman, Jr.	For	For	Management
1.4	Elect Director James P. Hackett	For	For	Management
1.5	Elect Director Gary R. Heminger	For	For	Management
1.6	Elect Director Kevin T. Kabat	For	For	Management
1.7	Elect Director Mitchel D. Livingston	For	For	Management
1.8	Elect Director Hendrik G. Meijer	For	For	Management
1.9	Elect Director John J. Schiff, Jr.	For	For	Management
1.10	Elect Director Dudley S. Taft	For	For	Management
1.11	Elect Director Thomas W. Traylor	For	For	Management
1.12	Elect Director Marsha C. Williams	For	For	Management
2	Amend Articles of Incorporation	For	For	Management
3	Amend Nonqualified Employee Stock Purchase Plan	For	For	Management
4	Ratify Auditors	For	For	Management
5	Advisory Vote on Executive Compensation	For	For	Management
6	Prepare Sustainability Report	Against	Against	Shareholder
7	Require a Majority Vote for the Election of Directors	Against	For	Shareholder
8	Hire Advisor/Maximize Shareholder Value	Against	Against	Shareholder

---

### FIRSTENERGY CORP

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

Ticker: FE Security ID: 337932107  
 Meeting Date: MAY 19, 2009 Meeting Type: Annual  
 Record Date: MAR 23, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Paul T. Addison	For	For	Management
1.2	Elect Director Anthony J. Alexander	For	For	Management
1.3	Elect Director Michael J. Anderson	For	For	Management
1.4	Elect Director Dr. Carol A. Cartwright	For	For	Management
1.5	Elect Director William T. Cottle	For	For	Management
1.6	Elect Director Robert B. Heisler, Jr.	For	For	Management
1.7	Elect Director Ernest J. Novak, Jr.	For	For	Management
1.8	Elect Director Catherine A. Rein	For	For	Management
1.9	Elect Director George M. Smart	For	For	Management
1.10	Elect Director Wes M. Taylor	For	For	Management
1.11	Elect Director Jesse T. Williams, Sr.	For	For	Management
2	Ratify Auditors	For	For	Management
3	Adopt Simple Majority Vote	Against	For	Shareholder
4	Amend Bylaws to Reduce the Percentage of Shares Required to Call Special Shareholder Meeting	Against	For	Shareholder
5	Establish Shareholder Proponent Engagement Process	Against	Against	Shareholder
6	Require a Majority Vote for the Election of Directors	Against	For	Shareholder

### FLIR SYSTEMS, INC.

Ticker: FLIR Security ID: 302445101  
 Meeting Date: MAY 1, 2009 Meeting Type: Annual  
 Record Date: FEB 27, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Earl R. Lewis	For	For	Management
1.2	Elect Director Steven E. Wynne	For	For	Management
2	Approve Qualified Employee Stock Purchase Plan	For	For	Management
3	Ratify Auditors	For	For	Management

### FLUOR CORP.

Ticker: FLR Security ID: 343412102  
 Meeting Date: MAY 6, 2009 Meeting Type: Annual  
 Record Date: MAR 10, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Peter K. Barker	For	For	Management
1.2	Elect Director Alan L. Boeckmann	For	For	Management
1.3	Elect Director Vilma S. Martinez	For	For	Management
1.4	Elect Director Dean R. O'Hare	For	For	Management
2	Ratify Auditors	For	For	Management

### FOREST LABORATORIES, INC.

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

Ticker: FRX Security ID: 345838106  
 Meeting Date: AUG 11, 2008 Meeting Type: Annual  
 Record Date: JUN 19, 2008

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Howard Solomon	For	Withhold	Management
1.2	Elect Director L.S. Olanoff	For	Withhold	Management
1.3	Elect Director Nesli Basgoz	For	Withhold	Management
1.4	Elect Director William J. Candee, III	For	Withhold	Management
1.5	Elect Director George S. Cohan	For	Withhold	Management
1.6	Elect Director Dan L. Goldwasser	For	Withhold	Management
1.7	Elect Director Kenneth E. Goodman	For	Withhold	Management
1.8	Elect Director Lester B. Salans	For	Withhold	Management
2	Amend Articles of Incorporation	For	For	Management
3	Ratify Auditors	For	For	Management

### FOSTER WHEELER LTD

Ticker: FWLT Security ID: G36535139  
 Meeting Date: JAN 27, 2009 Meeting Type: Court  
 Record Date: DEC 15, 2008

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	APPROVAL OF THE SCHEME OF ARRANGEMENT (THE REDOMESTICATION).	For	For	Management
2	APPROVAL OF THE MOTION TO ADJOURN THE MEETING TO A LATER DATE TO SOLICIT ADDITIONAL PROXIES IF THERE ARE INSUFFICIENT VOTES AT THE TIME OF THE MEETING TO APPROVE THE SCHEME OF ARRANGEMENT.	For	For	Management

### FREEPORT-MCMORAN COPPER & GOLD INC.

Ticker: FCX Security ID: 35671D857  
 Meeting Date: JUN 11, 2009 Meeting Type: Annual  
 Record Date: APR 14, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Richard C. Adkerson	For	For	Management
1.2	Elect Director Robert J. Allison, Jr.	For	For	Management
1.3	Elect Director Robert A. Day	For	For	Management
1.4	Elect Director Gerald J. Ford	For	For	Management
1.5	Elect Director H. Devon Graham, Jr.	For	For	Management
1.6	Elect Director J. Bennett Johnston	For	For	Management
1.7	Elect Director Charles C. Krulak	For	For	Management
1.8	Elect Director Bobby Lee Lackey	For	For	Management
1.9	Elect Director Jon C. Madonna	For	For	Management
1.10	Elect Director Dustin E. McCoy	For	For	Management
1.11	Elect Director Gabrielle K. McDonald	For	For	Management
1.12	Elect Director James R. Moffett	For	For	Management
1.13	Elect Director B.M. Rankin, Jr.	For	For	Management
1.14	Elect Director J. Stapleton Roy	For	For	Management
1.15	Elect Director Stephen H. Siegele	For	For	Management
1.16	Elect Director J. Taylor Wharton	For	For	Management

Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

2	Ratify Auditors	For	For	Management
3	Approve Executive Incentive Bonus Plan	For	For	Management
4	Request Director Nominee Environmental Qualifications	Against	Against	Shareholder

-----  
FRONTIER COMMUNICATIONS CORP.

Ticker: FTR Security ID: 35906A108  
Meeting Date: MAY 14, 2009 Meeting Type: Annual  
Record Date: MAR 18, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Kathleen Q. Abernathy	For	For	Management
1.2	Elect Director Leroy T. Barnes, Jr.	For	For	Management
1.3	Elect Director Peter C.B. Bynoe	For	For	Management
1.4	Elect Director Michael T. Dugan	For	For	Management
1.5	Elect Director Jeri B. Finard	For	For	Management
1.6	Elect Director Lawton Wehle Fitt	For	For	Management
1.7	Elect Director William M. Kraus	For	For	Management
1.8	Elect Director Howard L. Schrott	For	For	Management
1.9	Elect Director Lorraine D. Segil	For	For	Management
1.10	Elect Director David H. Ward	For	For	Management
1.11	Elect Director Myron A. Wick, III	For	For	Management
1.12	Elect Director Mary Agnes Wilderotter	For	For	Management
2	Approve Omnibus Stock Plan	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Against	For	Shareholder
4	Ratify Auditors	For	For	Management

-----  
GANNETT CO., INC.

Ticker: GCI Security ID: 364730101  
Meeting Date: APR 28, 2009 Meeting Type: Annual  
Record Date: MAR 2, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Craig A. Dubow	For	For	Management
1.2	Elect Director Howard D. Elias	For	For	Management
1.3	Elect Director Marjorie Magner	For	For	Management
1.4	Elect Director Scott K. McCune	For	For	Management
1.5	Elect Director Duncan M. McFarland	For	For	Management
1.6	Elect Director Donna E. Shalala	For	For	Management
1.7	Elect Director Neal Shapiro	For	For	Management
1.8	Elect Director Karen Hastie Williams	For	For	Management
2	Ratify Auditors	For	For	Management
3	Adopt Anti Gross-up Policy	Against	For	Shareholder

-----  
GAP, INC., THE

Ticker: GPS Security ID: 364760108  
Meeting Date: MAY 19, 2009 Meeting Type: Annual  
Record Date: MAR 23, 2009

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Adrian D. P. Bellamy	For	For	Management
1.2	Elect Director Domenico De Sole	For	For	Management
1.3	Elect Director Donald G. Fisher	For	For	Management
1.4	Elect Director Robert J. Fisher	For	For	Management
1.5	Elect Director Bob L. Martin	For	For	Management
1.6	Elect Director Jorge P. Montoya	For	For	Management
1.7	Elect Director Glenn K. Murphy	For	For	Management
1.8	Elect Director James M. Schneider	For	For	Management
1.9	Elect Director Mayo A. Shattuck III	For	For	Management
1.10	Elect Director Kneeland C. Youngblood	For	For	Management
2	Ratify Auditors	For	For	Management

---

### GENERAL DYNAMICS CORP.

Ticker: GD Security ID: 369550108  
 Meeting Date: MAY 6, 2009 Meeting Type: Annual  
 Record Date: MAR 9, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Elect Director Nicholas D. Chabraja	For	For	Management
2	Elect Director James S. Crown	For	For	Management
3	Elect Director William P. Fricks	For	For	Management
4	Elect Director Jay L. Johnson	For	For	Management
5	Elect Director George A. Joulwan	For	For	Management
6	Elect Director Paul G. Kaminski	For	For	Management
7	Elect Director John M. Keane	For	For	Management
8	Elect Director Deborah J. Lucas	For	For	Management
9	Elect Director Lester L. Lyles	For	For	Management
10	Elect Director J. Christopher Reyes	For	For	Management
11	Elect Director Robert Walmsley	For	For	Management
12	Approve Omnibus Stock Plan	For	For	Management
13	Approve Nonqualified Employee Stock Purchase Plan	For	Against	Management
14	Ratify Auditors	For	For	Management
15	Report on Space-based Weapons Program	Against	Abstain	Shareholder
16	Adopt a Policy in which the Company will not Make or Promise to Make Any Death Benefit Payments to Senior Executives	Against	For	Shareholder

---

### GENERAL ELECTRIC CO.

Ticker: GE Security ID: 369604103  
 Meeting Date: APR 22, 2009 Meeting Type: Annual  
 Record Date: FEB 23, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director James I. Cash, Jr.	For	For	Management
1.2	Elect Director William M. Castell	For	For	Management
1.3	Elect Director Ann M. Fudge	For	For	Management
1.4	Elect Director Susan Hockfield	For	For	Management
1.5	Elect Director Jeffrey R. Immelt	For	For	Management
1.6	Elect Director Andrea Jung	For	For	Management
1.7	Elect Director Alan G. (A.G.) Lafley	For	Against	Management
1.8	Elect Director Robert W. Lane	For	For	Management

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

1.9	Elect Director Ralph S. Larsen	For	For	Management
1.10	Elect Director Rochelle B. Lazarus	For	For	Management
1.11	Elect Director James J. Mulva	For	For	Management
1.12	Elect Director Sam Nunn	For	For	Management
1.13	Elect Director Roger S. Penske	For	For	Management
1.14	Elect Director Robert J. Swieringa	For	For	Management
1.15	Elect Director Douglas A. Warner III	For	For	Management
2	Ratify Auditors	For	For	Management
3	Provide for Cumulative Voting	Against	Against	Shareholder
4	Advisory Vote to Ratify Named Executive Officers' Compensation	Against	For	Shareholder
5	Evaluate the Potential Conversion of the Corporation's Business Units into Four or More Public Corporations and Distribute New Shares	Against	Against	Shareholder
6	Adopt Policy to Cease the Payments of Dividends or Equivalent Payments to Senior Executives for Shares Not Owned	Against	Against	Shareholder
7	Submit Severance Agreement (Change in Control) to shareholder Vote	Against	Against	Shareholder

### GENUINE PARTS CO.

Ticker: GPC Security ID: 372460105  
 Meeting Date: APR 20, 2009 Meeting Type: Annual  
 Record Date: FEB 12, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Mary B. Bullock	For	For	Management
1.2	Elect Director Jean Douville	For	For	Management
1.3	Elect Director Thomas C. Gallagher	For	For	Management
1.4	Elect Director George C. 'Jack' Guynn	For	For	Management
1.5	Elect Director John D. Johns	For	For	Management
1.6	Elect Director Michael M.E. Johns	For	For	Management
1.7	Elect Director J. Hicks Lanier	For	Withhold	Management
1.8	Elect Director Wendy B. Needham	For	For	Management
1.9	Elect Director Jerry W. Nix	For	For	Management
1.10	Elect Director Larry L. Prince	For	For	Management
1.11	Elect Director Gary W. Rollins	For	Withhold	Management
2	Ratify Auditors	For	For	Management

### GILEAD SCIENCES, INC.

Ticker: GILD Security ID: 375558103  
 Meeting Date: MAY 6, 2009 Meeting Type: Annual  
 Record Date: MAR 9, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Paul Berg	For	For	Management
1.2	Elect Director John F. Cogan	For	For	Management
1.3	Elect Director Etienne F. Davignon	For	For	Management
1.4	Elect Director James M. Denny	For	For	Management
1.5	Elect Director Carla A. Hills	For	For	Management
1.6	Elect Director John W. Madigan	For	For	Management
1.7	Elect Director John C. Martin	For	For	Management
1.8	Elect Director Gordon E. Moore	For	For	Management



## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

1.9	Elect Director Nicholas G. Moore	For	For	Management
1.10	Elect Director Richard J. Whitley	For	For	Management
1.11	Elect Director Gayle E. Wilson	For	For	Management
2	Ratify Auditors	For	For	Management
3	Amend Omnibus Stock Plan	For	Against	Management

### GOLDMAN SACHS GROUP, INC., THE

Ticker: GS Security ID: 38141G104  
 Meeting Date: MAY 8, 2009 Meeting Type: Annual  
 Record Date: MAR 9, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Elect Director Lloyd C. Blankfein	For	For	Management
2	Elect Director John H. Bryan	For	For	Management
3	Elect Director Gary D. Cohn	For	For	Management
4	Elect Director Claes Dahlback	For	For	Management
5	Elect Director Stephen Friedman	For	For	Management
6	Elect Director William W. George	For	For	Management
7	Elect Director Rajat K. Gupta	For	Against	Management
8	Elect Director James A. Johnson	For	For	Management
9	Elect Director Lois D. Juliber	For	For	Management
10	Elect Director Lakshmi N. Mittal	For	Against	Management
11	Elect Director James J. Schiro	For	For	Management
12	Elect Director Ruth J. Simmons	For	For	Management
13	Ratify Auditors	For	For	Management
14	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
15	Provide for Cumulative Voting	Against	Against	Shareholder
16	Reduce Supermajority Vote Requirement	Against	For	Shareholder
17	Amend By-Laws to Establish Board U.S. Economic Security Committee	Against	Against	Shareholder
18	Report on Political Contributions	Against	Against	Shareholder

### HALLIBURTON CO.

Ticker: HAL Security ID: 406216101  
 Meeting Date: MAY 20, 2009 Meeting Type: Annual  
 Record Date: MAR 23, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Alan M. Bennett	For	For	Management
1.2	Elect Director James R. Boyd	For	For	Management
1.3	Elect Director Milton Carroll	For	For	Management
1.4	Elect Director S. Malcolm Gillis	For	For	Management
1.5	Elect Director James T. Hackett	For	For	Management
1.6	Elect Director David J. Lesar	For	For	Management
1.7	Elect Director Robert A. Malone	For	For	Management
1.8	Elect Director J. Landis Martin	For	For	Management
1.9	Elect Director Jay A. Precourt	For	For	Management
1.10	Elect Director Debra L. Reed	For	For	Management
2	Ratify Auditors	For	For	Management
3	Amend Omnibus Stock Plan	For	Against	Management
4	Amend Qualified Employee Stock Purchase Plan	For	For	Management
5	Review and Asses Human Rights Policies	Against	Abstain	Shareholder

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

6	Report on Political Contributions	Against	Against	Shareholder
7	Adopt Low Carbon Energy Policy	Against	Abstain	Shareholder
8	Disclose Information on Compensation Consultant	Against	For	Shareholder
9	Amend Articles/Bylaws/Charter -- Call Special Meetings	Against	For	Shareholder
10	Establish Independent Director Committee to Review and Report on Financial Misconducts and Human Rights Abuses	Against	Abstain	Shareholder

### HARTFORD FINANCIAL SERVICES GROUP, INC., THE

Ticker:           HIG                           Security ID: 416515104  
Meeting Date: MAR 26, 2009   Meeting Type: Special  
Record Date:   FEB 5, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Approve Conversion of Securities	For	For	Management

### HARTFORD FINANCIAL SERVICES GROUP, INC., THE

Ticker:           HIG                           Security ID: 416515104  
Meeting Date: MAY 27, 2009   Meeting Type: Annual  
Record Date:   MAR 30, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Robert B. Allardice, III	For	For	Management
1.2	Elect Director Ramani Ayer	For	For	Management
1.3	Elect Director Trevor Fetter	For	For	Management
1.4	Elect Director Edward J. Kelly, III	For	For	Management
1.5	Elect Director Paul G. Kirk, Jr.	For	For	Management
1.6	Elect Director Gail J. McGovern	For	For	Management
1.7	Elect Director Michael G. Morris	For	For	Management
1.8	Elect Director Charles B. Strauss	For	For	Management
1.9	Elect Director H. Patrick Swygert	For	For	Management
2	Ratify Auditors	For	For	Management
3	Increase Authorized Common Stock	For	For	Management
4	Amend Qualified Employee Stock Purchase Plan	For	For	Management

### HASBRO, INC.

Ticker:           HAS                           Security ID: 418056107  
Meeting Date: MAY 21, 2009   Meeting Type: Annual  
Record Date:   MAR 27, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Basil L. Anderson	For	For	Management
1.2	Elect Director Alan R. Batkin	For	For	Management
1.3	Elect Director Frank J. Biondi, Jr.	For	For	Management
1.4	Elect Director Kenneth A. Bronfin	For	For	Management
1.5	Elect Director John M. Connors, Jr.	For	For	Management

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

1.6	Elect Director Michael W.O. Garrett	For	For	Management
1.7	Elect Director E. Gordon Gee	For	For	Management
1.8	Elect Director Brian Goldner	For	For	Management
1.9	Elect Director Jack M. Greenberg	For	For	Management
1.10	Elect Director Alan G. Hassenfeld	For	For	Management
1.11	Elect Director Tracy A. Leinbach	For	For	Management
1.12	Elect Director Edward M. Philip	For	For	Management
1.13	Elect Director Paula Stern	For	For	Management
1.14	Elect Director Alfred J. Verrecchia	For	For	Management
2	Amend Omnibus Stock Plan	For	For	Management
3	Approve Executive Incentive Bonus Plan	For	For	Management
4	Ratify Auditors	For	For	Management

---

### HESS CORPORATION

Ticker: HES Security ID: 42809H107  
 Meeting Date: MAY 6, 2009 Meeting Type: Annual  
 Record Date: MAR 16, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director J.B. Hess	For	For	Management
1.2	Elect Director S.W. Bodman	For	For	Management
1.3	Elect Director R. Lavizzo-Mourey	For	For	Management
1.4	Elect Director C.G. Matthews	For	For	Management
1.5	Elect Director E.H. von Metzsch	For	For	Management
2	Ratify Auditors	For	For	Management

---

### HOME DEPOT, INC.

Ticker: HD Security ID: 437076102  
 Meeting Date: MAY 28, 2009 Meeting Type: Annual  
 Record Date: MAR 30, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Elect Director F. Duane Ackerman	For	For	Management
2	Elect Director David H. Batchelder	For	For	Management
3	Elect Director Francis S. Blake	For	For	Management
4	Elect Director Ari Bousbib	For	For	Management
5	Elect Director Gregory D. Brenneman	For	For	Management
6	Elect Director Albert P. Carey	For	For	Management
7	Elect Director Armando Codina	For	For	Management
8	Elect Director Bonnie G. Hill	For	For	Management
9	Elect Director Karen L. Katen	For	For	Management
10	Ratify Auditors	For	For	Management
11	Approve Right to Call Special Meetings	For	For	Management
12	Provide for Cumulative Voting	Against	Against	Shareholder
13	Call Special Meetings	Against	For	Shareholder
14	Prepare Employment Diversity Report	Against	Abstain	Shareholder
15	Advisory Vote to Ratify Named Executive Officers' Compensation	Against	For	Shareholder
16	Assess Energy Use and Establish Reduction Targets	Against	Abstain	Shareholder

---

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

ILLINOIS TOOL WORKS INC.

Ticker: ITW Security ID: 452308109  
 Meeting Date: MAY 8, 2009 Meeting Type: Annual  
 Record Date: MAR 10, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director William F. Aldinger	For	For	Management
1.2	Elect Director Marvin D. Brailsford	For	For	Management
1.3	Elect Director Susan Crown	For	For	Management
1.4	Elect Director Don H. Davis, Jr.	For	For	Management
1.5	Elect Director Robert C. McCormack	For	For	Management
1.6	Elect Director Robert S. Morrison	For	For	Management
1.7	Elect Director James A. Skinner	For	For	Management
1.8	Elect Director Harold B. Smith	For	For	Management
1.9	Elect Director David B. Speer	For	For	Management
1.10	Elect Director Pamela B. Strobel	For	For	Management
2	Ratify Auditors	For	For	Management
3	Submit SERP to Shareholder Vote	Against	Against	Shareholder

IMCLONE SYSTEMS INC.

Ticker: IMCL Security ID: 45245W109  
 Meeting Date: SEP 10, 2008 Meeting Type: Annual  
 Record Date: JUL 18, 2008

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director John E. Celentano	For	For	Management
1.2	Elect Director Alexander J. Denner	For	For	Management
1.3	Elect Director Thomas F. Deuel	For	For	Management
1.4	Elect Director Jules Haimovitz	For	For	Management
1.5	Elect Director Carl C. Icahn	For	For	Management
1.6	Elect Director John H. Johnson	For	For	Management
1.7	Elect Director Peter S. Liebert	For	For	Management
1.8	Elect Director Richard C. Mulligan	For	For	Management
1.9	Elect Director David Sidransky	For	For	Management
1.10	Elect Director Charles Woler	For	For	Management
2	Ratify Auditors	For	For	Management
3	Approve Qualified Employee Stock Purchase Plan	For	For	Management

INGERSOLL-RAND COMPANY LIMITED

Ticker: IR Security ID: G4776G101  
 Meeting Date: JUN 3, 2009 Meeting Type: Annual  
 Record Date: APR 6, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect A.C. Berzin as Director	For	For	Management
1b	Elect J. L. Cohon as Director	For	For	Management
1c	Elect G. D. Forsee as Director	For	For	Management
1d	Elect P. C. Godsoe as Director	For	For	Management
1e	Elect E. E. Hagenlocker as Director	For	For	Management
1f	Elect H.L. Henkel as Director	For	For	Management
1g	Elect C. J. Horner as Director	For	For	Management
1h	Elect T. E. Martin as Director	For	For	Management

Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

1i	Elect P. Nachtigal as Director	For	For	Management
1j	Elect O. R. Smith as Director	For	For	Management
1k	Elect R. J. Swift as Director	For	For	Management
1l	Elect T. L. White as Director	For	For	Management
2	Approve an Advisory Proposal Relating to Executive Pay-For-Performance Compensation Policies and Procedures	For	Against	Management
3	Increase Number of Shares Reserved Under 2007 Incentive Stock Plan	For	For	Management
4	Approve PricewaterhouseCoopers LLP as independent auditors of the company and authorize Board to Fix Their Remuneration	For	For	Management

-----

INGERSOLL-RAND COMPANY LIMITED

Ticker: IR Security ID: G4776G101  
 Meeting Date: JUN 3, 2009 Meeting Type: Court  
 Record Date: APR 6, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Approve Scheme of Arrangement	For	For	Management
2	Approve the Creation of Distributable Reserves of Ingersoll-Rand Company Limited	For	For	Management
3	Adjourn Meeting	For	For	Management

-----

INTEL CORPORATION

Ticker: INTC Security ID: 458140100  
 Meeting Date: MAY 20, 2009 Meeting Type: Annual  
 Record Date: MAR 23, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Elect Director Charlene Barshefsky	For	For	Management
2	Elect Director Susan L. Decker	For	For	Management
3	Elect Director John J. Donahoe	For	For	Management
4	Elect Director Reed E. Hundt	For	For	Management
5	Elect Director Paul S. Otellini	For	For	Management
6	Elect Director James D. Plummer	For	For	Management
7	Elect Director David S. Pottruck	For	For	Management
8	Elect Director Jane E. Shaw	For	For	Management
9	Elect Director John L. Thornton	For	For	Management
10	Elect Director Frank D. Yeary	For	For	Management
11	Elect Director David B. Yoffie	For	For	Management
12	Ratify Auditors	For	For	Management
13	Amend Omnibus Stock Plan	For	For	Management
14	Approve Stock Option Exchange Program	For	For	Management
15	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
16	Provide for Cumulative Voting	Against	Against	Shareholder
17	Adopt Policy on Human Rights to Water	Against	Abstain	Shareholder

-----

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

INTERNATIONAL BUSINESS MACHINES CORP.

Ticker: IBM Security ID: 459200101  
 Meeting Date: APR 28, 2009 Meeting Type: Annual  
 Record Date: FEB 27, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director A. J. P. Belda	For	For	Management
1.2	Elect Director C. Black	For	For	Management
1.3	Elect Director W. R. Brody	For	For	Management
1.4	Elect Director K. I. Chenault	For	For	Management
1.5	Elect Director M. L. Eskew	For	For	Management
1.6	Elect Director S. A. Jackson	For	Against	Management
1.7	Elect Director T. Nishimuro	For	For	Management
1.8	Elect Director J. W. Owens	For	For	Management
1.9	Elect Director S. J. Palmisano	For	For	Management
1.10	Elect Director J. E. Spero	For	For	Management
1.11	Elect Director S. Taurel	For	For	Management
1.12	Elect Director L. H. Zambrano	For	For	Management
2	Ratify Auditors	For	For	Management
3	Approve Executive Incentive Bonus Plan	For	For	Management
4	Provide for Cumulative Voting	Against	Against	Shareholder
5	Review Executive Compensation	Against	For	Shareholder
6	Advisory Vote to Ratify Named Executive Officers' Compensation	Against	For	Shareholder

JOHNSON & JOHNSON

Ticker: JNJ Security ID: 478160104  
 Meeting Date: APR 23, 2009 Meeting Type: Annual  
 Record Date: FEB 24, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Mary Sue Coleman	For	For	Management
1.2	Elect Director James G. Cullen	For	For	Management
1.3	Elect Director Michael M.E. Johns	For	For	Management
1.4	Elect Director Arnold G. Langbo	For	For	Management
1.5	Elect Director Susan L. Lindquist	For	For	Management
1.6	Elect Director Leo F. Mullin	For	For	Management
1.7	Elect Director William D. Perez	For	For	Management
1.8	Elect Director Charles Prince	For	For	Management
1.9	Elect Director David Satcher	For	For	Management
1.10	Elect Director William C. Weldon	For	For	Management
2	Ratify Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Against	For	Shareholder

JPMORGAN CHASE & CO.

Ticker: JPM Security ID: 46625H100  
 Meeting Date: MAY 19, 2009 Meeting Type: Annual  
 Record Date: MAR 20, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Crandall C. Bowles	For	For	Management
1.2	Elect Director Stephen B. Burke	For	For	Management

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

1.3	Elect Director David M. Cote	For	For	Management
1.4	Elect Director James S. Crown	For	For	Management
1.5	Elect Director James Dimon	For	For	Management
1.6	Elect Director Ellen V. Futter	For	For	Management
1.7	Elect Director William H. Gray, III	For	For	Management
1.8	Elect Director Laban P. Jackson, Jr.	For	For	Management
1.9	Elect Director David C. Novak	For	For	Management
1.10	Elect Director Lee R. Raymond	For	For	Management
1.11	Elect Director William C. Weldon	For	For	Management
2	Ratify Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Disclose Prior Government Service	Against	Against	Shareholder
5	Provide for Cumulative Voting	Against	Against	Shareholder
6	Amend Articles/Bylaws/Charter -- Call Special Meetings	Against	For	Shareholder
7	Report on Predatory Lending Policies	Against	Against	Shareholder
8	Amend Key Executive Performance Plan	Against	Against	Shareholder
9	Stock Retention/Holding Period	Against	Against	Shareholder
10	Prepare Carbon Principles Report	Against	Abstain	Shareholder

---

### KELLOGG CO.

Ticker: K Security ID: 487836108  
 Meeting Date: APR 24, 2009 Meeting Type: Annual  
 Record Date: MAR 2, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director John T. Dillon	For	For	Management
1.2	Elect Director James M. Jenness	For	For	Management
1.3	Elect Director Donald R. Knauss	For	For	Management
1.4	Elect Director Robert A. Steele	For	For	Management
2	Ratify Auditors	For	For	Management
3	Approve Omnibus Stock Plan	For	For	Management
4	Approve Non-Employee Director Restricted Stock Plan	For	For	Management
5	Require a Majority Vote for the Election of Directors	Against	For	Shareholder
6	Declassify the Board of Directors	Against	For	Shareholder

---

### KEYCORP

Ticker: KEY Security ID: 493267108  
 Meeting Date: MAY 21, 2009 Meeting Type: Annual  
 Record Date: MAR 24, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director William G. Bares	For	For	Management
1.2	Elect Director Carol A. Cartwright	For	For	Management
1.3	Elect Director Kristen L. Manos	For	For	Management
1.4	Elect Director Thomas C. Stevens	For	For	Management
2	Require Majority Vote for Election of Directors	For	For	Management
3	Amend Votes Per Share of Existing Stock	For	For	Management
4	Ratify Auditors	For	For	Management
5	Advisory Vote to Ratify Named Executive	For	For	Management

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

### Officer's Compensation

#### KIMBERLY-CLARK CORP.

Ticker: KMB Security ID: 494368103  
 Meeting Date: APR 30, 2009 Meeting Type: Annual  
 Record Date: MAR 2, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director John R. Alm	For	For	Management
1.2	Elect Director Dennis R. Beresford	For	For	Management
1.3	Elect Director John F. Bergstrom	For	For	Management
1.4	Elect Director Abelardo E. Bru	For	For	Management
1.5	Elect Director Robert W. Decherd	For	For	Management
1.6	Elect Director Thomas J. Falk	For	For	Management
1.7	Elect Director Mae C. Jemison	For	For	Management
1.8	Elect Director Ian C. Read	For	For	Management
1.9	Elect Director G. Craig Sullivan	For	For	Management
2	Ratify Auditors	For	For	Management
3	Provide Right to Call Special Meeting	For	For	Management
4	Amend Omnibus Stock Plan	For	For	Management
5	Provide for Cumulative Voting	Against	Against	Shareholder

#### KING PHARMACEUTICALS INC.

Ticker: KG Security ID: 495582108  
 Meeting Date: JUN 4, 2009 Meeting Type: Annual  
 Record Date: MAR 30, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director R. Charles Moyer	For	For	Management
1.2	Elect Director D. Gregory Rooker	For	For	Management
1.3	Elect Director Ted G. Wood	For	For	Management
1.4	Elect Director E.W. Deavenport, Jr.	For	For	Management
1.5	Elect Director Elizabeth M. Greetham	For	For	Management
2	Ratify Auditors	For	For	Management
3	Require a Majority Vote for the Election of Directors	Against	For	Shareholder

#### KOHL'S CORP.

Ticker: KSS Security ID: 500255104  
 Meeting Date: MAY 14, 2009 Meeting Type: Annual  
 Record Date: MAR 11, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Elect Director Peter Boneparth	For	For	Management
2	Elect Director Steven A. Burd	For	For	Management
3	Elect Director John F. Herma	For	For	Management
4	Elect Director Dale E. Jones	For	For	Management
5	Elect Director William S. Kellogg	For	For	Management
6	Elect Director Kevin Mansell	For	For	Management
7	Elect Director R. Lawrence Montgomery	For	For	Management



Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

8	Elect Director Frank V. Sica	For	For	Management
9	Elect Director Peter M. Sommerhauser	For	For	Management
10	Elect Director Stephanie A. Streeter	For	For	Management
11	Elect Director Stephen E. Watson	For	For	Management
12	Ratify Auditors	For	For	Management
13	Require a Majority Vote for the Election of Directors	Against	For	Shareholder

-----

LEGETT & PLATT, INC.

Ticker: LEG Security ID: 524660107  
 Meeting Date: MAY 7, 2009 Meeting Type: Annual  
 Record Date: MAR 5, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Elect Director Ralph W. Clark	For	For	Management
2	Elect Director R. Ted Enloe, III	For	For	Management
3	Elect Director Richard T. Fisher	For	For	Management
4	Elect Director Karl G. Glassman	For	For	Management
5	Elect Director David S. Haffner	For	For	Management
6	Elect Director Joseph W. McClanathan	For	For	Management
7	Elect Director Judy C. Odom	For	For	Management
8	Elect Director Maurice E. Purnell, Jr.	For	For	Management
9	Elect Director Phoebe A. Wood	For	For	Management
10	Ratify Auditors	For	For	Management
11	Approve Executive Incentive Bonus Plan	For	For	Management
12	Amend EEO Policy to Prohibit Discrimination based on Sexual Orientation and Gender Identity	Against	Abstain	Shareholder

-----

LEXMARK INTERNATIONAL, INC.

Ticker: L XK Security ID: 529771107  
 Meeting Date: APR 23, 2009 Meeting Type: Annual  
 Record Date: FEB 27, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Michael J. Maples	For	For	Management
1.2	Elect Director Stephen R. Hardis	For	For	Management
1.3	Elect Director William R. Fields	For	For	Management
1.4	Elect Director Robert Holland, Jr.	For	For	Management
2	Ratify Auditors	For	For	Management
3	Amend Omnibus Stock Plan	For	Against	Management
4	Advisory Vote to Ratify Named Executive Officers' Compensation	Against	For	Shareholder

-----

LINCOLN NATIONAL CORP.

Ticker: LNC Security ID: 534187109  
 Meeting Date: MAY 14, 2009 Meeting Type: Annual  
 Record Date: MAR 9, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
---	----------	---------	-----------	---------

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

1.1	Elect Director George W. Henderson, III	For	For	Management
1.2	Elect Director Eric G. Johnson	For	For	Management
1.3	Elect Director M. Leanne Lachman	For	For	Management
1.4	Elect Director Isaiah Tidwell	For	For	Management
2	Ratify Auditors	For	For	Management
3	Approve Omnibus Stock Plan	For	For	Management
4	Require a Majority Vote for the Election of Directors	Against	For	Shareholder

### LOWE'S COMPANIES, INC.

Ticker:           LOW                           Security ID: 548661107  
Meeting Date: MAY 29, 2009   Meeting Type: Annual  
Record Date: MAR 27, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Peter C. Browning	For	For	Management
1.2	Elect Director Marshall O. Larsen	For	For	Management
1.3	Elect Director Stephen F. Page	For	For	Management
1.4	Elect Director O. Temple Sloan, Jr.	For	For	Management
2	Amend Omnibus Stock Plan	For	For	Management
3	Ratify Auditors	For	For	Management
4	Eliminate Supermajority Vote Requirement	For	For	Management
5	Reincorporate in Another State from Delaware to North Dakota	Against	Against	Shareholder
6	Adopt Principles for Health Care Reform	Against	Abstain	Shareholder
7	Require Independent Board Chairman	Against	For	Shareholder

### MACROVISION SOLUTIONS CORP.

Ticker:           MVSN                           Security ID: 55611C108  
Meeting Date: JUL 15, 2008   Meeting Type: Special  
Record Date: JUN 9, 2008

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Approve Omnibus Stock Plan	For	Against	Management
2	Approve Qualified Employee Stock Purchase Plan	For	For	Management

### MARATHON OIL CORP.

Ticker:           MRO                           Security ID: 565849106  
Meeting Date: APR 29, 2009   Meeting Type: Annual  
Record Date: MAR 2, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Charles F. Bolden, Jr.	For	For	Management
1.2	Elect Director Gregory H. Boyce	For	For	Management
1.3	Elect Director Clarence P. Cazalot, Jr.	For	For	Management
1.4	Elect Director David A. Daberko	For	For	Management
1.5	Elect Director William L. Davis	For	For	Management
1.6	Elect Director Shirley Ann Jackson	For	Against	Management

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

1.7	Elect Director Philip Lader	For	For	Management
1.8	Elect Director Charles R. Lee	For	For	Management
1.9	Elect Director Michael E. J. Phelps	For	For	Management
1.10	Elect Director Dennis H. Reilley	For	For	Management
1.11	Elect Director Seth E. Schofield	For	For	Management
1.12	Elect Director John W. Snow	For	For	Management
1.13	Elect Director Thomas J. Usher	For	For	Management
2	Ratify Auditors	For	For	Management
3	Amend Bylaws-- Call Special Meetings	Against	For	Shareholder
4	Advisory Vote to Ratify Named Executive Officers' Compensation	Against	For	Shareholder

### MARSH & MCLENNAN COMPANIES, INC.

Ticker: MMC Security ID: 571748102  
 Meeting Date: MAY 21, 2009 Meeting Type: Annual  
 Record Date: MAR 23, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Leslie M. Baker, Jr.	For	For	Management
1.2	Elect Director Gwendolyn S. King	For	For	Management
1.3	Elect Director Marc D. Oken	For	For	Management
1.4	Elect Director David A. Olsen	For	For	Management
2	Ratify Auditors	For	For	Management
3	Reincorporate in Another State from Delaware to North Dakota	Against	Against	Shareholder
4	Amend Articles/Bylaws/Charter -- Call Special Meetings	Against	For	Shareholder
5	Report on Political Contributions	Against	Against	Shareholder

### MARSHALL & ILSLEY CORPORATION

Ticker: MI Security ID: 571837103  
 Meeting Date: APR 28, 2009 Meeting Type: Annual  
 Record Date: MAR 2, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Andrew N. Baur	For	For	Management
1.2	Elect Director Jon F. Chait	For	For	Management
1.3	Elect Director John W. Daniels, Jr.	For	Withhold	Management
1.4	Elect Director Mark F. Furlong	For	For	Management
1.5	Elect Director Ted D. Kellner	For	For	Management
1.6	Elect Director Dennis J. Kuester	For	For	Management
1.7	Elect Director David J. Lubar	For	For	Management
1.8	Elect Director Katharine C. Lyall	For	For	Management
1.9	Elect Director John A. Mellows	For	For	Management
1.10	Elect Director San W. Orr, Jr.	For	For	Management
1.11	Elect Director Robert J. O'Toole	For	For	Management
1.12	Elect Director Peter M. Platten, III	For	For	Management
1.13	Elect Director John S. Shiely	For	For	Management
1.14	Elect Director George E. Wardeberg	For	For	Management
1.15	Elect Director James B. Wigdale	For	For	Management
2	Approve Qualified Employee Stock Purchase Plan	For	For	Management
3	Approve Omnibus Stock Plan	For	For	Management
4	Ratify Auditors	For	For	Management

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

5	Advisory Vote to Ratify Named Executive Officers' Compensation		For	Management
6	Require a Majority Vote for the Election of Directors	Against	For	Shareholder

---

### MARVELL TECHNOLOGY GROUP LTD

Ticker: MRVL                      Security ID: G5876H105  
 Meeting Date: JUL 11, 2008      Meeting Type: Annual  
 Record Date: MAY 23, 2008

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	ELECTION OF CLASS 1 DIRECTOR: JOHN G. KASSAKIAN	For	Against	Management
2	ELECTION OF CLASS 2 DIRECTOR: KUO WEI (HERBERT) CHANG	For	Against	Management
3	ELECTION OF CLASS 2 DIRECTOR: JUERGEN GROMER, PH.D.	For	Against	Management
4	ELECTION OF CLASS 2 DIRECTOR: ARTURO KRUEGER	For	Against	Management
5	RATIFY AUDITORS	For	For	Management

---

### MASCO CORPORATION

Ticker: MAS                      Security ID: 574599106  
 Meeting Date: MAY 12, 2009      Meeting Type: Annual  
 Record Date: MAR 16, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Thomas G. Denomme	For	For	Management
1.2	Elect Director Richard A. Manoogian	For	For	Management
1.3	Elect Director Mary Ann Van Lokeren	For	For	Management
2	Ratify Auditors	For	For	Management
3	Amend Omnibus Stock Plan	For	For	Management
4	Amend Omnibus Stock Plan	For	For	Management

---

### MASTERCARD INCORPORATED

Ticker: MA                      Security ID: 57636Q104  
 Meeting Date: JUN 9, 2009      Meeting Type: Annual  
 Record Date: APR 13, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Richard Haythornthwaite	For	For	Management
1.2	Elect Director David R. Carlucci	For	For	Management
1.3	Elect Director Robert W. Selander	For	For	Management
2	Amend Certificate of Incorporation to Increase Size of Board and Amend Director Qualifications	For	For	Management
3	Ratify Auditors	For	For	Management

---

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

MATTEL, INC.

Ticker: MAT Security ID: 577081102  
 Meeting Date: MAY 13, 2009 Meeting Type: Annual  
 Record Date: MAR 20, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Michael J. Dolan	For	For	Management
1.2	Elect Director Robert A. Eckert	For	For	Management
1.3	Elect Director Frances D. Fergusson	For	For	Management
1.4	Elect Director Tully M. Friedman	For	For	Management
1.5	Elect Director Dominic Ng	For	For	Management
1.6	Elect Director Vasant M. Prabhu	For	For	Management
1.7	Elect Director Andrea L. Rich	For	For	Management
1.8	Elect Director Ronald L. Sargent	For	For	Management
1.9	Elect Director Dean A. Scarborough	For	For	Management
1.10	Elect Director Christopher A. Sinclair	For	For	Management
1.11	Elect Director G. Craig Sullivan	For	For	Management
1.12	Elect Director Kathy Brittain White	For	For	Management
2	Ratify Auditors	For	For	Management
3	Report on Product Safety and Working Conditions	Against	Against	Shareholder
4	Amend Articles/Bylaws/Charter -- Call Special Meetings	Against	For	Shareholder

MCDONALD'S CORP.

Ticker: MCD Security ID: 580135101  
 Meeting Date: MAY 27, 2009 Meeting Type: Annual  
 Record Date: MAR 30, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Elect Director Robert A. Eckert	For	For	Management
2	Elect Director Enrique Hernandez, Jr.	For	For	Management
3	Elect Director Jeanne P. Jackson	For	For	Management
4	Elect Director Andrew J. McKenna	For	For	Management
5	Ratify Auditors	For	For	Management
6	Amend Omnibus Stock Plan	For	For	Management
7	Approve Executive Incentive Bonus Plan	For	For	Management
8	Advisory Vote to Ratify Named Executive Officers' Compensation	Against	For	Shareholder
9	Phase out Sales of Eggs from Battery Cage Hens	Against	Abstain	Shareholder

MEMC ELECTRONIC MATERIALS, INC.

Ticker: WFR Security ID: 552715104  
 Meeting Date: APR 21, 2009 Meeting Type: Annual  
 Record Date: FEB 26, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Robert J. Boehlke	For	For	Management
1.2	Elect Director C. Douglas Marsh	For	For	Management
1.3	Elect Director Michael McNamara	For	For	Management
2	Ratify Auditors	For	For	Management

Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

MERCK & CO., INC.

Ticker: MRK Security ID: 589331107  
 Meeting Date: APR 28, 2009 Meeting Type: Annual  
 Record Date: FEB 27, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Elect Director Leslie A. Brun	For	For	Management
2	Elect Director Thomas R. Cech	For	For	Management
3	Elect Director Richard T. Clark	For	For	Management
4	Elect Director Thomas H. Glocer	For	For	Management
5	Elect Director Steven F. Goldstone	For	For	Management
6	Elect Director William B. Harrison, Jr.	For	For	Management
7	Elect Director Harry R. Jacobson	For	For	Management
8	Elect Director William N. Kelley	For	For	Management
9	Elect Director Rochelle B. Lazarus	For	For	Management
10	Elect Director Carlos E. Represas	For	For	Management
11	Elect Director Thomas E. Shenk	For	For	Management
12	Elect Director Anne M. Tatlock	For	For	Management
13	Elect Director Samuel O. Thier	For	For	Management
14	Elect Director Wendell P. Weeks	For	For	Management
15	Elect Director Peter C. Wendell	For	For	Management
16	Ratify Auditors	For	For	Management
17	Fix Number of Directors	For	For	Management
18	Amend Bylaws-- Call Special Meetings	Against	For	Shareholder
19	Require Independent Lead Director	Against	For	Shareholder
20	Advisory Vote to Ratify Named Executive Officers' Compensation	Against	For	Shareholder

METLIFE, INC

Ticker: MET Security ID: 59156R108  
 Meeting Date: APR 28, 2009 Meeting Type: Annual  
 Record Date: MAR 2, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director C. Robert Henrikson	For	For	Management
1.2	Elect Director John M. Keane	For	For	Management
1.3	Elect Director Catherine R. Kinney	For	For	Management
1.4	Elect Director Hugh B. Price	For	For	Management
1.5	Elect Director Kenton J. Sicchitano	For	For	Management
2	Amend Omnibus Stock Plan	For	For	Management
3	Ratify Auditors	For	For	Management

MICROSOFT CORP.

Ticker: MSFT Security ID: 594918104  
 Meeting Date: NOV 19, 2008 Meeting Type: Annual  
 Record Date: SEP 5, 2008

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Elect Director Steven A. Ballmer	For	Against	Management

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

2	Elect Director James I. Cash, Jr.	For	Against	Management
3	Elect Director Dina Dublon	For	Against	Management
4	Elect Director William H. Gates, III	For	Against	Management
5	Elect Director Raymond V. Gilmartin	For	Against	Management
6	Elect Director Reed Hastings	For	Against	Management
7	Elect Director David F. Marquardt	For	Against	Management
8	Elect Director Charles H. Noski	For	Against	Management
9	Elect Director Helmut Panke	For	Against	Management
10	Approve Executive Incentive Bonus Plan	For	For	Management
11	Amend Non-Employee Director Omnibus Stock Plan	For	Against	Management
12	Ratify Auditors	For	For	Management
13	Adopt Policies to Protect Freedom of Access to the Internet	Against	Abstain	Shareholder
14	Amend Bylaws to Establish a Board Committee on Human Rights	Against	Abstain	Shareholder
15	Report on Charitable Contributions	Against	Abstain	Shareholder

---

### MORGAN STANLEY

Ticker: MS Security ID: 617446448  
 Meeting Date: FEB 9, 2009 Meeting Type: Special  
 Record Date: DEC 15, 2008

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Approve Conversion of Securities	For	For	Management
2	Adjourn Meeting	For	For	Management

---

### MORGAN STANLEY

Ticker: MS Security ID: 617446448  
 Meeting Date: APR 29, 2009 Meeting Type: Annual  
 Record Date: MAR 4, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Roy J. Bostock	For	Against	Management
1.2	Elect Director Erskine B. Bowles	For	For	Management
1.3	Elect Director Howard J. Davies	For	For	Management
1.4	Elect Director Nobuyuki Hirano	For	For	Management
1.5	Elect Director C. Robert Kidder	For	For	Management
1.6	Elect Director John J. Mack	For	For	Management
1.7	Elect Director Donald T. Nicolaisen	For	For	Management
1.8	Elect Director Charles H. Noski	For	For	Management
1.9	Elect Director Hutham S. Olayan	For	For	Management
1.10	Elect Director Charles E. Phillips, Jr.	For	For	Management
1.11	Elect Director Griffith Sexton	For	For	Management
1.12	Elect Director Laura D. Tyson	For	For	Management
2	Ratify Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Amend Omnibus Stock Plan	For	For	Management
5	Amend Articles/Bylaws/Charter -- Call Special Meetings	Against	For	Shareholder
6	Require Independent Board Chairman	Against	For	Shareholder

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

MOTOROLA, INC.

Ticker: MOT Security ID: 620076109  
 Meeting Date: MAY 4, 2009 Meeting Type: Annual  
 Record Date: MAR 9, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director G. Brown	For	For	Management
1.2	Elect Director D. Dorman	For	For	Management
1.3	Elect Director W. Hambrecht	For	For	Management
1.4	Elect Director S. Jha	For	For	Management
1.5	Elect Director J. Lewent	For	For	Management
1.6	Elect Director K. Meister	For	For	Management
1.7	Elect Director T. Meredith	For	For	Management
1.8	Elect Director S. Scott III	For	For	Management
1.9	Elect Director R. Sommer	For	For	Management
1.10	Elect Director J. Stengel	For	For	Management
1.11	Elect Director A. Vinciquerra	For	For	Management
1.12	Elect Director D. Warner III	For	For	Management
1.13	Elect Director J. White	For	For	Management
2	Adjust Par Value of Common Stock	For	For	Management
3	Approve Repricing of Options	For	Against	Management
4	Amend Qualified Employee Stock Purchase Plan	For	For	Management
5	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against	Management
6	Ratify Auditors	For	For	Management
7	Provide for Cumulative Voting	Against	Against	Shareholder
8	Amend Bylaws-- Call Special Meetings	Against	For	Shareholder
9	Amend Human Rights Policies	Against	Against	Shareholder

MURPHY OIL CORP.

Ticker: MUR Security ID: 626717102  
 Meeting Date: MAY 13, 2009 Meeting Type: Annual  
 Record Date: MAR 16, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Frank W. Blue	For	For	Management
1.2	Elect Director Claiborne P. Deming	For	For	Management
1.3	Elect Director Robert A. Hermes	For	For	Management
1.4	Elect Director James V. Kelley	For	For	Management
1.5	Elect Director R. Madison Murphy	For	For	Management
1.6	Elect Director William C. Nolan, Jr.	For	For	Management
1.7	Elect Director Ivar B. Ramberg	For	For	Management
1.8	Elect Director Neal E. Schmale	For	For	Management
1.9	Elect Director David J. H. Smith	For	For	Management
1.10	Elect Directors Caroline G. Theus	For	For	Management
1.11	Elect Director David M. Wood	For	For	Management
2	Amend EEO Policy to Prohibit Discrimination based on Sexual Orientation and Gender Identity	Against	Abstain	Shareholder
3	Ratify Auditors	For	For	Management



## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

NABORS INDUSTRIES, LTD.

Ticker: NBR Security ID: G6359F103  
 Meeting Date: JUN 2, 2009 Meeting Type: Annual  
 Record Date: APR 3, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Eugene M. Isenberg as Director	For	For	Management
1.2	Elect William T. Comfort as Director	For	For	Management
2	Approve PricewaterhouseCoopers LLP as Auditors and Authorize Board to Fix their Remuneration	For	For	Management
3	Pay for Superior Performance	Against	For	Shareholder
4	Adopt a Policy in which the Company will not Make or Promise to Make Any Death Benefit Payments to Senior Executives	Against	For	Shareholder

NATIONAL OILWELL VARCO, INC.

Ticker: NOV Security ID: 637071101  
 Meeting Date: MAY 13, 2009 Meeting Type: Annual  
 Record Date: MAR 23, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Merrill A. Miller, Jr.	For	For	Management
1.2	Elect Director Greg L. Armstrong	For	For	Management
1.3	Elect Director David D. Harrison	For	For	Management
2	Ratify Auditors	For	For	Management
3	Amend Omnibus Stock Plan	For	For	Management

NOBLE CORPORATION

Ticker: NE Security ID: G65422100  
 Meeting Date: MAR 17, 2009 Meeting Type: Special  
 Record Date: FEB 10, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Change Country of Incorporation to Switzerland Through a Scheme of Arrangement	For	For	Management
2	Adjourn Meeting	For	For	Management

NOBLE CORPORATION

Ticker: NE Security ID: H5833N103  
 Meeting Date: MAY 28, 2009 Meeting Type: Annual  
 Record Date: APR 14, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Approval Of The Payment Of A Dividend Through A Reduction Of Thepar Value Of The Shares In An Amount Equal To Swiss	For	Did Not Vote	Management

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

	Francs 0.25		
2.1	Director Julie H. Edwards	For	Did Not Vote Management
2.2	Director Marc E. Leland	For	Did Not Vote Management
2.3	Director David W. Williams	For	Did Not Vote Management
3	Approval Of The Appointment Of Pricewaterhousecoopers Llp Asnoble Corporation S Independent Registered Public Accountingfirm For 2009	For	Did Not Vote Management
4	Amend Articles Re: (Non-Routine)	For	Did Not Vote Management

---

### NOBLE ENERGY, INC.

Ticker: NBL Security ID: 655044105  
 Meeting Date: APR 28, 2009 Meeting Type: Annual  
 Record Date: MAR 10, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Jeffrey L. Berenson	For	For	Management
1.2	Elect Director Michael A. Cawley	For	For	Management
1.3	Elect Director Edward F. Cox	For	For	Management
1.4	Elect Director Charles D. Davidson	For	For	Management
1.5	Elect Director Thomas J. Edelman	For	For	Management
1.6	Elect Director Eric P. Grubman	For	For	Management
1.7	Elect Director Kirby L. Hedrick	For	For	Management
1.8	Elect Director Scott D. Urban	For	For	Management
1.9	Elect Director William T. Van Kleeef	For	For	Management
2	Ratify Auditors	For	For	Management
3	Amend Omnibus Stock Plan	For	Against	Management

---

### NORFOLK SOUTHERN CORP.

Ticker: NSC Security ID: 655844108  
 Meeting Date: MAY 14, 2009 Meeting Type: Annual  
 Record Date: MAR 6, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Daniel A. Carp	For	For	Management
1.2	Elect Director Steven F. Leer	For	For	Management
1.3	Elect Director Michael D. Lockhart	For	For	Management
1.4	Elect Director Charles W. Moorman	For	For	Management
2	Ratify Auditors	For	For	Management
3	Report on Political Contributions	Against	Against	Shareholder

---

### NORTHWEST AIRLINES CORP.

Ticker: NWA Security ID: 667280408  
 Meeting Date: SEP 25, 2008 Meeting Type: Annual  
 Record Date: JUL 31, 2008

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Roy J. Bostock	For	For	Management
1.2	Elect Director David A. Brandon	For	For	Management
1.3	Elect Director Michael J. Durham	For	For	Management

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

1.4	Elect Director John M. Engler	For	For	Management
1.5	Elect Director Mickey P. Foret	For	For	Management
1.6	Elect Director Robert L. Friedman	For	For	Management
1.7	Elect Director Doris Kearns Goodwin	For	For	Management
1.8	Elect Director Jeffrey G. Katz	For	For	Management
1.9	Elect Director James J. Postl	For	For	Management
1.10	Elect Director Rodney E. Slater	For	For	Management
1.11	Elect Director Douglas M. Steenland	For	For	Management
1.12	Elect Director William S. Zoller	For	For	Management
2	Approve Reorganization	For	For	Management
3	Ratify Auditors	For	For	Management
4	Amend Omnibus Stock Plan	For	For	Management
5	Adjourn Meeting	For	For	Management

---

### NUCOR CORP.

Ticker: NUE Security ID: 670346105  
Meeting Date: MAY 14, 2009 Meeting Type: Annual  
Record Date: MAR 16, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Clayton C. Daley, Jr.	For	For	Management
1.2	Elect Director Harvey B. Gantt	For	For	Management
1.3	Elect Director Bernard L. Kasriel	For	For	Management
1.4	Elect Director Christopher J. Kearney	For	For	Management
2	Ratify Auditors	For	For	Management
3	Require a Majority Vote for the Election of Directors	Against	For	Shareholder
4	Declassify the Board of Directors	Against	For	Shareholder
5	Review and Assess Human Rights Policies	Against	Abstain	Shareholder
6	Adopt Principles for Health Care Reform	Against	Abstain	Shareholder

---

### OCCIDENTAL PETROLEUM CORP.

Ticker: OXY Security ID: 674599105  
Meeting Date: MAY 1, 2009 Meeting Type: Annual  
Record Date: MAR 11, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Spencer Abraham	For	For	Management
1.2	Elect Director Ronald W. Burkle	For	For	Management
1.3	Elect Director John S. Chalsty	For	For	Management
1.4	Elect Director Edward P. Djerejian	For	For	Management
1.5	Elect Director John E. Feick	For	For	Management
1.6	Elect Director Ray R. Irani	For	For	Management
1.7	Elect Director Irvin W. Maloney	For	For	Management
1.8	Elect Director Avedick B. Poladian	For	For	Management
1.9	Elect Director Rodolfo Segovia	For	For	Management
1.10	Elect Director Aziz D. Syriani	For	For	Management
1.11	Elect Director Rosemary Tomich	For	For	Management
1.12	Elect Director Walter L. Weisman	For	For	Management
2	Ratify Auditors	For	For	Management
3	Provide Right to Call Special Meeting	For	For	Management
4	Report on Host Country Social and Environmental Laws	Against	Abstain	Shareholder

Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

ORACLE CORP.

Ticker: ORCL Security ID: 68389X105  
 Meeting Date: OCT 10, 2008 Meeting Type: Annual  
 Record Date: AUG 12, 2008

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Jeffrey O. Henley	For	Withhold	Management
1.2	Elect Director Lawrence J. Ellison	For	Withhold	Management
1.3	Elect Director Donald L. Lucas	For	Withhold	Management
1.4	Elect Director Michael J. Boskin	For	Withhold	Management
1.5	Elect Director Jack F. Kemp	For	Withhold	Management
1.6	Elect Director Jeffrey S. Berg	For	Withhold	Management
1.7	Elect Director Safra A. Catz	For	Withhold	Management
1.8	Elect Director Hector Garcia-Molina	For	Withhold	Management
1.9	Elect Director H. Raymond Bingham	For	Withhold	Management
1.10	Elect Director Charles E. Phillips, Jr.	For	Withhold	Management
1.11	Elect Director Naomi O. Seligman	For	Withhold	Management
1.12	Elect Director George H. Conrades	For	Withhold	Management
1.13	Elect Director Bruce R. Chizen	For	Withhold	Management
2	Approve Executive Incentive Bonus Plan	For	For	Management
3	Ratify Auditors	For	For	Management
4	Advisory Vote to Ratify Named Executive Officers' Compensation	Against	Against	Shareholder

PEABODY ENERGY CORP.

Ticker: BTU Security ID: 704549104  
 Meeting Date: MAY 7, 2009 Meeting Type: Annual  
 Record Date: MAR 13, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Gregory H. Boyce	For	For	Management
1.2	Elect Director William E. James	For	For	Management
1.3	Elect Director Robert B. Karn III	For	For	Management
1.4	Elect Director M. Frances Keeth	For	For	Management
1.5	Elect Director Henry E. Lentz	For	For	Management
2	Ratify Auditors	For	For	Management
3	Amend Omnibus Stock Plan	For	For	Management

PEOPLE'S UNITED FINANCIAL, INC.

Ticker: PBCT Security ID: 712704105  
 Meeting Date: MAY 7, 2009 Meeting Type: Annual  
 Record Date: MAR 9, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director George P. Carter	For	For	Management
1.2	Elect Director Jerry Franklin	For	For	Management
1.3	Elect Director Eunice S. Groark	For	For	Management
1.4	Elect Director James A. Thomas	For	For	Management
2	Ratify Auditors	For	For	Management

Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

PEPSICO, INC.

Ticker: PEP Security ID: 713448108  
 Meeting Date: MAY 6, 2009 Meeting Type: Annual  
 Record Date: MAR 6, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Elect Director S.L. Brown	For	For	Management
2	Elect Director I.M. Cook	For	For	Management
3	Elect Director D. Dublon	For	For	Management
4	Elect Director V.J. Dzau	For	For	Management
5	Elect Director R.L. Hunt	For	For	Management
6	Elect Director A. Ibarguen	For	For	Management
7	Elect Director A.C. Martinez	For	For	Management
8	Elect Director I.K. Nooyi	For	For	Management
9	Elect Director S.P. Rockefeller	For	For	Management
10	Elect Director J.J. Schiro	For	Against	Management
11	Elect Director L.G. Trotter	For	For	Management
12	Elect Director D.Vasella	For	For	Management
13	Elect Director M.D. White	For	For	Management
14	Ratify Auditors	For	For	Management
15	Amend Executive Incentive Bonus Plan	For	For	Management
16	Report on Recycling	Against	Abstain	Shareholder
17	Report on Genetically Engineered Products	Against	Abstain	Shareholder
18	Report on Charitable Contributions	Against	Against	Shareholder
19	Advisory Vote to Ratify Named Executive Officers' Compensation	Against	For	Shareholder

PFIZER INC.

Ticker: PFE Security ID: 717081103  
 Meeting Date: APR 23, 2009 Meeting Type: Annual  
 Record Date: FEB 24, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Dennis A. Ausiello	For	For	Management
1.2	Elect Director Michael S. Brown	For	For	Management
1.3	Elect Director M. Anthony Burns	For	For	Management
1.4	Elect Director Robert N. Burt	For	For	Management
1.5	Elect Director W. Don Cornwell	For	For	Management
1.6	Elect Director William H. Gray, III	For	For	Management
1.7	Elect Director Constance J. Horner	For	For	Management
1.8	Elect Director James M. Kilts	For	For	Management
1.9	Elect Director Jeffrey B. Kindler	For	For	Management
1.10	Elect Director George A. Lorch	For	For	Management
1.11	Elect Director Dana G. Mead	For	For	Management
1.12	Elect Director Suzanne Nora Johnson	For	For	Management
1.13	Elect Director Stephen W. Sanger	For	For	Management
1.14	Elect Director William C. Steere, Jr.	For	For	Management
2	Ratify Auditors	For	For	Management
3	Amend Omnibus Stock Plan	For	For	Management
4	Limit/Prohibit Executive Stock-Based Awards	Against	Against	Shareholder
5	Advisory Vote to Ratify Named Executive Officers' Compensation	Against	For	Shareholder

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

6	Provide for Cumulative Voting	Against	Against	Shareholder
7	Amend Bylaws -- Call Special Meetings	Against	For	Shareholder

-----

PG&E CORP.

Ticker:           PCG                           Security ID: 69331C108  
Meeting Date: MAY 13, 2009   Meeting Type: Annual  
Record Date: MAR 16, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Elect Director David R. Andrews	For	For	Management
2	Elect Director C. Lee Cox	For	For	Management
3	Elect Director Peter A. Darbee	For	For	Management
4	Elect Director Maryellen C. Herringer	For	For	Management
5	Elect Director Roger H. Kimmel	For	For	Management
6	Elect Director Richard A. Meserve	For	For	Management
7	Elect Director Forrest E. Miller	For	For	Management
8	Elect Director Barbara L. Rambo	For	For	Management
9	Elect Director Barry Lawson Williams	For	For	Management
10	Ratify Auditors	For	For	Management
11	Advisory Vote to Ratify Named Executive Officers' Compensation	Against	Against	Shareholder
12	Reincorporate in Another State [California to North Dakota ]	Against	Against	Shareholder

-----

PPG INDUSTRIES, INC.

Ticker:           PPG                           Security ID: 693506107  
Meeting Date: APR 16, 2009   Meeting Type: Annual  
Record Date: FEB 20, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Charles E. Bunch	For	For	Management
1.2	Elect Director Robert Ripp	For	For	Management
1.3	Elect Director Thomas J. Usher	For	For	Management
1.4	Elect Director David R. Whitwam	For	For	Management
2	Ratify Auditors	For	For	Management

-----

PROGRESSIVE CORP., THE

Ticker:           PGR                           Security ID: 743315103  
Meeting Date: APR 24, 2009   Meeting Type: Annual  
Record Date: FEB 27, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Roger N. Farah	For	For	Management
1.2	Elect Director Stephen R. Hardis	For	For	Management
1.3	Elect Director Norman S. Matthews	For	For	Management
1.4	Elect Director Bradley T. Sheares	For	For	Management
2	Require Advance Notice for Shareholder Proposals	For	For	Management
3	Amend Nomination Procedures for the Board	For	For	Management

Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

4 Ratify Auditors For For Management

PRUDENTIAL FINANCIAL INC

Ticker: PRU Security ID: 744320102  
 Meeting Date: MAY 12, 2009 Meeting Type: Annual  
 Record Date: MAR 13, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Thomas J. Baltimore, Jr.	For	For	Management
1.2	Elect Director Frederic K. Becker	For	For	Management
1.3	Elect Director Gordon M. Bethune	For	For	Management
1.4	Elect Director Gaston Caperton	For	For	Management
1.5	Elect Director Gilbert F. Casellas	For	For	Management
1.6	Elect Director James G. Cullen	For	For	Management
1.7	Elect Director William H. Gray, III	For	For	Management
1.8	Elect Director Mark B. Grier	For	For	Management
1.9	Elect Director Jon F. Hanson	For	For	Management
1.10	Elect Director Constance J. Horner	For	For	Management
1.11	Elect Director Karl J. Krapek	For	For	Management
1.12	Elect Director Christine A. Poon	For	For	Management
1.13	Elect Director John R. Strangfield	For	For	Management
1.14	Elect Director James A. Unruh	For	For	Management
2	Ratify Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Against	For	Shareholder
4	Require Independent Board Chairman	Against	For	Shareholder

PUBLIC STORAGE

Ticker: PSA Security ID: 74460D109  
 Meeting Date: MAY 7, 2009 Meeting Type: Annual  
 Record Date: MAR 9, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Trustee B. Wayne Hughes	For	For	Management
1.2	Elect Trustee Ronald L. Havner, Jr.	For	For	Management
1.3	Elect Trustee Dann V. Angeloff	For	For	Management
1.4	Elect Trustee William C. Baker	For	Withhold	Management
1.5	Elect Trustee John T. Evans	For	For	Management
1.6	Elect Director Tamara Hughes Gustavson	For	For	Management
1.7	Elect Trustee Uri P. Harkham	For	For	Management
1.8	Elect Trustee B. Wayne Hughes, Jr	For	For	Management
1.9	Elect Director Harvey Lenkin	For	For	Management
1.10	Elect Trustee Gary E. Pruitt	For	For	Management
1.11	Elect Trustee Daniel C. Staton	For	For	Management
2	Ratify Auditors	For	For	Management

QUALCOMM INC

Ticker: QCOM Security ID: 747525103  
 Meeting Date: MAR 3, 2009 Meeting Type: Annual  
 Record Date: JAN 2, 2009

Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Barbara T. Alexander	For	For	Management
1.2	Elect Director Stephen M. Bennett	For	For	Management
1.3	Elect Director Donald G. Cruickshank	For	For	Management
1.4	Elect Director Raymond V. Dittamore	For	For	Management
1.5	Elect Director Thomas W. Horton	For	For	Management
1.6	Elect Director Irwin Mark Jacobs	For	For	Management
1.7	Elect Director Paul E. Jacobs	For	For	Management
1.8	Elect Director Robert E. Kahn	For	For	Management
1.9	Elect Director Sherry Lansing	For	For	Management
1.10	Elect Director Duane A. Nelles	For	For	Management
1.11	Elect Director Marc I. Stern	For	For	Management
1.12	Elect Director Brent Scowcroft	For	For	Management
2	Ratify Auditors	For	For	Management

QUEST DIAGNOSTICS INCORPORATED

Ticker: DGX Security ID: 74834L100  
 Meeting Date: MAY 14, 2009 Meeting Type: Annual  
 Record Date: MAR 16, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Jenne K. Britell	For	For	Management
1.2	Elect Director Gail R. Wilensky	For	For	Management
1.3	Elect Director John B. Ziegler	For	For	Management
2	Amend Omnibus Stock Plan	For	For	Management
3	Amend Non-Employee Director Omnibus Stock Plan	For	For	Management
4	Ratify Auditors	For	For	Management

REPUBLIC SERVICES, INC.

Ticker: RSG Security ID: 760759100  
 Meeting Date: MAY 14, 2009 Meeting Type: Annual  
 Record Date: MAR 16, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director James E. O'Connor	For	For	Management
1.2	Elect Director John W. Croghan	For	For	Management
1.3	Elect Director James W. Crownover	For	For	Management
1.4	Elect Director William J. Flynn	For	For	Management
1.5	Elect Director David I. Foley	For	For	Management
1.6	Elect Director Nolan Lehmann	For	For	Management
1.7	Elect Director W. Lee Nutter	For	For	Management
1.8	Elect Director Ramon A. Rodriguez	For	For	Management
1.9	Elect Director Allan C. Sorensen	For	For	Management
1.10	Elect Director John M. Trani	For	For	Management
1.11	Elect Director Michael W. Wickham	For	For	Management
2	Ratify Auditors	For	For	Management
3	Approve Executive Incentive Bonus Plan	For	For	Management
4	Approve Qualified Employee Stock Purchase Plan	For	For	Management



## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

RESEARCH IN MOTION LTD

Ticker: RIM Security ID: 760975102  
 Meeting Date: JUL 15, 2008 Meeting Type: Annual  
 Record Date: MAY 27, 2008

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Elect James Balsillie, Mike Lazaridis, James Estill, David Kerr, Roger Martin, John Richardson, Barbara Stymiest and John Wetmore as Directors	For	For	Management
2	Approve Ernst & Young LLP as Auditors and Authorize Board to Fix Their Remuneration	For	For	Management

RITE AID CORP.

Ticker: RAD Security ID: 767754104  
 Meeting Date: DEC 2, 2008 Meeting Type: Special  
 Record Date: OCT 28, 2008

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Approve Reverse Stock Split	For	For	Management
2	Reduce Authorized Common	For	For	Management

SCHERING-PLOUGH CORP.

Ticker: SGP Security ID: 806605101  
 Meeting Date: MAY 18, 2009 Meeting Type: Annual  
 Record Date: APR 6, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Thomas J. Colligan	For	For	Management
1.2	Elect Director Fred Hassan	For	For	Management
1.3	Elect Director C. Robert Kidder	For	For	Management
1.4	Elect Director Eugene R. McGrath	For	For	Management
1.5	Elect Director Antonio M. Perez	For	For	Management
1.6	Elect Director Patricia F. Russo	For	For	Management
1.7	Elect Director Jack L. Stahl	For	For	Management
1.8	Elect Director Craig B. Thompson	For	For	Management
1.9	Elect Director Kathryn C. Turner	For	For	Management
1.10	Elect Director Robert F.W. van Oordt	For	For	Management
1.11	Elect Director Arthur F. Weinbach	For	For	Management
2	Ratify Auditors	For	For	Management
3	Provide for Cumulative Voting	Against	Against	Shareholder
4	Amend Articles/Bylaws/Charter -- Call Special Meetings	Against	For	Shareholder

SHERWIN-WILLIAMS CO., THE

Ticker: SHW Security ID: 824348106  
 Meeting Date: APR 15, 2009 Meeting Type: Annual

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

Record Date: FEB 27, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Arthur F. Anton	For	For	Management
1.2	Elect Director James C. Boland	For	For	Management
1.3	Elect Director Christopher M. Connor	For	For	Management
1.4	Elect Director David F. Hodnik	For	For	Management
1.5	Elect Director Susan J. Kropf	For	For	Management
1.6	Elect Director Gary E. McCullough	For	For	Management
1.7	Elect Director A. Malachi Mixon, III	For	For	Management
1.8	Elect Director Curtis E. Moll	For	For	Management
1.9	Elect Director Richard K. Smucker	For	For	Management
2	Ratify Auditors	For	For	Management
3	Require a Majority Vote for the Election of Directors	Against	For	Shareholder

### SLM CORPORATION (SALLIE MAE)

Ticker: SLM Security ID: 78442P106

Meeting Date: MAY 22, 2009 Meeting Type: Annual

Record Date: MAR 23, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Elect Director Ann Torre Bates	For	For	Management
2	Elect Director William M. Diefenderfer, III	For	For	Management
3	Elect Director Diane Suitt Gilleland	For	For	Management
4	Elect Director Earl A. Goode	For	For	Management
5	Elect Director Ronald F. Hunt	For	For	Management
6	Elect Director Albert L. Lord	For	For	Management
7	Elect Director Michael E. Martin	For	For	Management
8	Elect Director Barry A. Munitz	For	For	Management
9	Elect Director Howard H. Newman	For	For	Management
10	Elect Director A. Alexander Porter, Jr.	For	For	Management
11	Elect Director Frank C. Puleo	For	For	Management
12	Elect Director Wolfgang Schoellkopf	For	For	Management
13	Elect Director Steven L. Shapiro	For	For	Management
14	Elect Director J. Terry Strange	For	For	Management
15	Elect Director Anthony P. Terracciano	For	For	Management
16	Elect Director Barry L. Williams	For	For	Management
17	Approve Non-Employee Director Omnibus Stock Plan	For	For	Management
18	Approve Omnibus Stock Plan	For	For	Management
19	Ratify Auditors	For	For	Management

### SOUTHERN COMPANY

Ticker: SO Security ID: 842587107

Meeting Date: MAY 27, 2009 Meeting Type: Annual

Record Date: MAR 30, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Juanita Powell Baranco	For	For	Management
1.2	Elect Director Francis S. Blake	For	For	Management
1.3	Elect Director Jon A. Boscia	For	For	Management
1.4	Elect Director Thomas F. Chapman	For	For	Management

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

1.5	Elect Director H. William Habermeyer, Jr.	For	For	Management
1.6	Elect Director Veronica M. "Ronee" Hagen	For	For	Management
1.7	Elect Director Warren A. Hood, Jr.	For	For	Management
1.8	Elect Director Donald M. James	For	For	Management
1.9	Elect Director J. Neal Purcell	For	For	Management
1.10	Elect Director David M. Ratcliffe	For	For	Management
1.11	Elect Director William G. Smith, Jr.	For	For	Management
1.12	Elect Director Gerald J. St. Pe	For	For	Management
2	Ratify Auditors	For	For	Management
3	Adopt Majority Voting and Eliminate Cumulative Voting for Uncontested Election of Directors	For	For	Management
4	Eliminate Cumulative Voting	For	For	Management
5	Report on Reducing GHG Emissions	Against	Abstain	Shareholder
6	Submit SERP to Shareholder Vote	Against	Against	Shareholder

---

### SOUTHWEST AIRLINES CO.

Ticker: LUV Security ID: 844741108  
 Meeting Date: MAY 20, 2009 Meeting Type: Annual  
 Record Date: MAR 24, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director David W. Biegler	For	For	Management
1.2	Elect Director C. Webb Crockett	For	Withhold	Management
1.3	Elect Director William H. Cunningham	For	Withhold	Management
1.4	Elect Director John G. Denison	For	For	Management
1.5	Elect Director Travis C. Johnson	For	For	Management
1.6	Elect Director Gary C. Kelly	For	For	Management
1.7	Elect Director Nancy B. Loeffler	For	For	Management
1.8	Elect Director John T. Montford	For	For	Management
1.9	Elect Director Daniel D. Villanueva	For	For	Management
2	Amend Qualified Employee Stock Purchase Plan	For	For	Management
3	Ratify Auditors	For	For	Management
4	Reincorporate in Another State from Texas to North Dakota	Against	Against	Shareholder
5	Adopt Principles for Health Care Reform	Against	Abstain	Shareholder

---

### SOUTHWESTERN ENERGY CO.

Ticker: SWN Security ID: 845467109  
 Meeting Date: MAY 19, 2009 Meeting Type: Annual  
 Record Date: MAR 23, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Lewis E. Epley, Jr.	For	For	Management
1.2	Elect Director Robert L. Howard	For	For	Management
1.3	Elect Director Harold M. Korell	For	For	Management
1.4	Elect Director Vello A. Kuuskraa	For	For	Management
1.5	Elect Director Kenneth R. Mourton	For	For	Management
1.6	Elect Director Charles E. Scharlau	For	For	Management
2	Ratify Auditors	For	For	Management

Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

-----  
SPECTRA ENERGY CORP.

Ticker: SE Security ID: 847560109  
Meeting Date: MAY 7, 2009 Meeting Type: Annual  
Record Date: MAR 9, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Declassify the Board of Directors	For	For	Management
2.1	Elect Director Gregory L. Ebel	For	For	Management
2.2	Elect Director Peter B. Hamilton	For	For	Management
2.3	Elect Director Michael E. J. Phelps	For	For	Management
3	Ratify Auditors	For	For	Management

-----  
SPRINT NEXTEL CORPORATION

Ticker: S Security ID: 852061100  
Meeting Date: MAY 12, 2009 Meeting Type: Annual  
Record Date: MAR 13, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Robert R. Bennett	For	For	Management
1.2	Elect Director Gordon M. Bethune	For	For	Management
1.3	Elect Director Larry C. Glasscock	For	For	Management
1.4	Elect Director James H. Hance, Jr.	For	For	Management
1.5	Elect Director Daniel R. Hesse	For	For	Management
1.6	Elect Director V. Janet Hill	For	For	Management
1.7	Elect Director Frank Ianna	For	For	Management
1.8	Elect Director Sven-Christer Nilsson	For	For	Management
1.9	Elect Director William R. Nuti	For	For	Management
1.10	Elect Director Rodney O'Neal	For	For	Management
2	Ratify Auditors	For	For	Management
3	Amend Qualified Employee Stock Purchase Plan	For	For	Management
4	Amend Bylaws-- Call Special Meetings	Against	For	Shareholder
5	Report on Political Contributions	Against	Against	Shareholder

-----  
STATE STREET CORP. (BOSTON)

Ticker: STT Security ID: 857477103  
Meeting Date: MAY 20, 2009 Meeting Type: Annual  
Record Date: MAR 13, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director K. Burnes	For	For	Management
1.2	Elect Director P. Coym	For	For	Management
1.3	Elect Director P. de Saint-Aignan	For	For	Management
1.4	Elect Director A. Fawcett	For	For	Management
1.5	Elect Director D. Gruber	For	For	Management
1.6	Elect Director L. Hill	For	For	Management
1.7	Elect Director R. Kaplan	For	For	Management
1.8	Elect Director C. LaMantia	For	For	Management
1.9	Elect Director R. Logue	For	For	Management
1.10	Elect Director R. Sergel	For	For	Management

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

1.11	Elect Director R. Skates	For	For	Management
1.12	Elect Director G. Summe	For	For	Management
1.13	Elect Director R. Weissman	For	For	Management
2	Adopt Majority Voting for Uncontested Election of Directors	For	For	Management
3	Amend Omnibus Stock Plan	For	For	Management
4	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against	Management
5	Ratify Auditors	For	For	Management
6	Limit Auditor from Providing Non-Audit Services	Against	Against	Shareholder

---

### SUNOCO, INC.

Ticker: SUN Security ID: 86764P109  
 Meeting Date: MAY 7, 2009 Meeting Type: Annual  
 Record Date: FEB 9, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director R. J. Darnall	For	For	Management
1.2	Elect Director G. W. Edwards	For	For	Management
1.3	Elect Director L. L. Elsenhans	For	For	Management
1.4	Elect Director U. O. Fairbairn	For	For	Management
1.5	Elect Director T. P. Gerrity	For	For	Management
1.6	Elect Director R. B. Greco	For	For	Management
1.7	Elect Director J. P. Jones, III	For	For	Management
1.8	Elect Director J. G. Kaiser	For	For	Management
1.9	Elect Director J. W. Rowe	For	For	Management
1.10	Elect Director J. K. Wulff	For	For	Management
2	Amend Non-Employee Director Stock Option Plan	For	For	Management
3	Ratify Auditors	For	For	Management

---

### SUNTRUST BANKS, INC.

Ticker: STI Security ID: 867914103  
 Meeting Date: APR 28, 2009 Meeting Type: Annual  
 Record Date: FEB 18, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Alston D. Correll	For	For	Management
1.2	Elect Director Patricia C. Frist	For	For	Management
1.3	Elect Director Blake P. Garrett, Jr.	For	For	Management
1.4	Elect Director David H. Hughes	For	For	Management
1.5	Elect Director M. Douglas Ivester	For	For	Management
1.6	Elect Director G. Gilmer Minor, III	For	For	Management
1.7	Elect Director James M. Wells III	For	For	Management
1.8	Elect Director Karen Hastie Williams	For	For	Management
2	Ratify Auditors	For	For	Management
3	Approve Omnibus Stock Plan	For	For	Management
4	Advisory Vote on Executive Compensation	For	For	Management

---

### TORCHMARK CORP.

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

Ticker: TMK Security ID: 891027104  
 Meeting Date: APR 30, 2009 Meeting Type: Annual  
 Record Date: MAR 2, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director David L. Boren	For	For	Management
1.2	Elect Director M. Jane Buchan	For	For	Management
1.3	Elect Director Robert W. Ingram	For	For	Management
1.4	Elect Director Paul J. Zucconi	For	For	Management
2	Ratify Auditors	For	For	Management
3	Adopt Majority Voting for Uncontested Election of Directors	For	For	Management

### TRANSOCEAN INC.

Ticker: RIG Security ID: G90073100  
 Meeting Date: DEC 8, 2008 Meeting Type: Special  
 Record Date: OCT 27, 2008

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	APPROVAL OF THE MERGER TRANSACTION TO BE EFFECTED BY THE SCHEMES OF ARRANGEMENT, ATTACHED TO THE ACCOMPANYING PROXY STATEMENT AS ANNEX B.	For	For	Management
2	APPROVAL OF THE MOTION TO ADJOURN THE MEETING TO A LATER DATE TO SOLICIT ADDITIONAL PROXIES IF THERE ARE INSUFFICIENT VOTES AT THE TIME OF THE MEETING TO APPROVE THE MERGER TRANSACTION.	For	For	Management

### TRAVELERS COMPANIES, INC., THE

Ticker: TRV Security ID: 89417E109  
 Meeting Date: MAY 5, 2009 Meeting Type: Annual  
 Record Date: MAR 6, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Alan L. Beller	For	For	Management
1.2	Elect Director John H. Dasburg	For	For	Management
1.3	Elect Director Janet M. Dolan	For	For	Management
1.4	Elect Director Kenneth M. Duberstein	For	For	Management
1.5	Elect Director Jay S. Fishman	For	For	Management
1.6	Elect Director Lawrence G. Graev	For	For	Management
1.7	Elect Director Patricia L. Higgins	For	For	Management
1.8	Elect Director Thomas R. Hodgson	For	For	Management
1.9	Elect Director Cleve L. Killingsworth, Jr.	For	For	Management
1.10	Elect Director Robert I. Lipp	For	For	Management
1.11	Elect Director Blythe J. McGarvie	For	For	Management
1.12	Elect Director Laurie J. Thomsen	For	For	Management
2	Ratify Auditors	For	For	Management
3	Amend Omnibus Stock Plan	For	For	Management
4	Report on Political Contributions	Against	Against	Shareholder

Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

-----  
 TYCO ELECTRONICS LTD.

Ticker: TEL Security ID: G9144P105  
 Meeting Date: JUN 22, 2009 Meeting Type: Annual  
 Record Date: APR 3, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Reelect Pierre R. Brondeau as Director	For	Withhold	Management
1.2	Reelect Ram Charan as Director	For	For	Management
1.3	Reelect Juergen W. Gromer as Director	For	For	Management
1.4	Reelect Robert M. Hernandez as Director	For	For	Management
1.5	Reelect Thomas J. Lynch as Director	For	For	Management
1.6	Reelect Daniel J. Phelan as Director	For	For	Management
1.7	Reelect Frederic M. Poses as Director	For	For	Management
1.8	Reelect Lawrence S. Smith as Director	For	Withhold	Management
1.9	Reelect Paula A. Sneed as Director	For	For	Management
1.10	Reelect David P. Steiner as Director	For	For	Management
1.11	Reelect John C. Van Scoter as Director	For	For	Management
2	Approve Amended and Restated Tyco Electronics Ltd. 2007 Stock and Incentive Plan	For	For	Management
3	Approve Deloitte & Touche LLP as Auditors and Authorize Board to Fix Their Remuneration	For	For	Management

-----  
 TYCO ELECTRONICS LTD.

Ticker: TEL Security ID: G9144P105  
 Meeting Date: JUN 22, 2009 Meeting Type: Special  
 Record Date: APR 6, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Change Country of Incorporation from Bermuda to Switzerland	For	For	Management
2	Amend Bye-laws Re: Eliminate Certain Supermajority Vote Requirements	For	For	Management
3	Amend Bye-laws Re: Increase Registered Share Capital	For	For	Management
4	Approve Dividend of USD 0.16 Per Share Through a Reduction in Share Capital	For	For	Management
5	Approve Swiss Law as the Authoritative Governing Legislation	For	For	Management
6	Change Company Name to Tyco Electronics Ltd.	For	For	Management
7	Amend Corporate Purpose	For	For	Management
8	Adopt New Articles of Association	For	For	Management
9	Approve Schaffhausen, Switzerland as Principal Place of Business	For	For	Management
10	Appoint PricewaterhouseCoopers AG, Zurich as Special Auditor	For	For	Management
11	Ratify Deloitte AG as Auditors	For	For	Management
12	Approve Additional Provisions to the Articles of Association Re: Limit the Number of Shares that may be Registered	For	Against	Management
13	Approve Additional Provisions to the	For	Against	Management

Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

Articles of Association Re:  
 Supermajority Vote and Amendment to the  
 Provisions in Proposal 12

14	Adjourn Meeting	For	For	Management
----	-----------------	-----	-----	------------

-----

TYCO INTERNATIONAL LTD.

Ticker: TYC Security ID: G9143X208  
 Meeting Date: MAR 12, 2009 Meeting Type: Annual  
 Record Date: JAN 6, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Edward D. Breen as Director	For	For	Management
1.2	Elect Timothy M. Donahue as Director	For	For	Management
1.3	Elect Brian Duperreault as Director	For	For	Management
1.4	Elect Bruce S. Gordon as Director	For	For	Management
1.5	Elect Rajiv Gupta as Director	For	Withhold	Management
1.6	Elect John A. Krol as Director	For	For	Management
1.7	Elect Brendan R. O' Neil as Director	For	Withhold	Management
1.8	Elect William S. Stavropoulos as Director	For	For	Management
1.9	Elect Sandra Wijnberg as Director	For	For	Management
1.10	Elect Jerome B. York as Director	For	Withhold	Management
1.11	Elect David Yost as Director	For	For	Management
2	Approve Auditors and Authorize Board to Fix Their Remuneration	For	For	Management
3	Amend 2004 Stock and Incentive Plan	For	For	Management

-----

TYCO INTERNATIONAL LTD.

Ticker: TYC Security ID: G9143X208  
 Meeting Date: MAR 12, 2009 Meeting Type: Special  
 Record Date: JAN 16, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Change of Domicile	For	For	Management
2	Increase Authorized Capital	For	For	Management
3	Change Company Name to Tyco International Ltd.	For	For	Management
4	Amend Corporate Purpose	For	For	Management
5	Adopt New Articles of Association	For	For	Management
6	Confirmation of Swiss Law as the Authoritative Governing Legislation	For	For	Management
7	Approve Schaffhausen, Switzerland as Our Principal Place of Business	For	For	Management
8	Appoint PriceWaterhouseCoopers AG, Zurich as Special Auditor	For	For	Management
9	Ratify Deloitte AG as Auditors	For	For	Management
10	Approve Dividends in the Form of a Capital Reduction	For	For	Management
11	Adjourn Meeting	For	For	Management

-----

UNION PACIFIC CORP.



## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

Ticker: UNP Security ID: 907818108  
 Meeting Date: MAY 14, 2009 Meeting Type: Annual  
 Record Date: MAR 6, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Andrew H. Card, Jr.	For	For	Management
1.2	Elect Director Erroll B. Davis, Jr.	For	For	Management
1.3	Elect Director Thomas J. Donohue	For	For	Management
1.4	Elect Director Archie W. Dunham	For	For	Management
1.5	Elect Director Judith Richards Hope	For	For	Management
1.6	Elect Director Charles C. Krulak	For	For	Management
1.7	Elect Director Michael R. McCarthy	For	For	Management
1.8	Elect Director Michael W. McConnell	For	For	Management
1.9	Elect Director Thomas F. McLarty III	For	For	Management
1.10	Elect Director Steven R. Rogel	For	For	Management
1.11	Elect Director Jose H. Villarreal	For	For	Management
1.12	Elect Director James R. Young	For	For	Management
2	Ratify Auditors	For	For	Management
3	Report on Political Contributions	Against	Against	Shareholder

### UNITED STATES STEEL CORP.

Ticker: X Security ID: 912909108  
 Meeting Date: APR 28, 2009 Meeting Type: Annual  
 Record Date: FEB 27, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Frank J. Lucchino	For	For	Management
1.2	Elect Director Seth E. Schofield	For	For	Management
1.3	Elect Director John P. Surma	For	For	Management
1.4	Elect Director David S. Sutherland	For	For	Management
2	Ratify Auditors	For	For	Management

### UNITED TECHNOLOGIES CORP.

Ticker: UTX Security ID: 913017109  
 Meeting Date: APR 8, 2009 Meeting Type: Annual  
 Record Date: FEB 10, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Louis R. Chenevert	For	For	Management
1.2	Elect Director George David	For	For	Management
1.3	Elect Director John V. Faraci	For	For	Management
1.4	Elect Director Jean-Pierre Garnier	For	For	Management
1.5	Elect Director Jamie S. Gorelick	For	For	Management
1.6	Elect Director Carlos M. Gutierrez	For	For	Management
1.7	Elect Director Edward A. Kangas	For	For	Management
1.8	Elect Director Charles R. Lee	For	For	Management
1.9	Elect Director Richard D. McCormick	For	For	Management
1.10	Elect Director Harold McGraw III	For	For	Management
1.11	Elect Director Richard B. Myers	For	For	Management
1.12	Elect Director H. Patrick Swygert	For	For	Management
1.13	Elect Director Andre Villeneuve	For	For	Management
1.14	Elect Director Christine Todd Whitman	For	For	Management
2	Ratify Auditors	For	For	Management

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

3	Report on Foreign Military Sales Offsets	Against	Against	Shareholder
---	--	---------	---------	-------------

---

### UNITEDHEALTH GROUP INCORPORATED

Ticker: UNH Security ID: 91324P102  
 Meeting Date: JUN 2, 2009 Meeting Type: Annual  
 Record Date: APR 3, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Elect Director William C. Ballard, Jr.	For	Against	Management
2	Elect Director Richard T. Burke	For	Against	Management
3	Elect Director Robert J. Darretta	For	For	Management
4	Elect Director Stephen J. Hemsley	For	Against	Management
5	Elect Director Michele J. Hooper	For	For	Management
6	Elect Director Douglas W. Leatherdale	For	Against	Management
7	Elect Director Glenn M. Renwick	For	For	Management
8	Elect Director Kenneth I. Shine, M.D.	For	For	Management
9	Elect Director Gail R. Wilensky, Ph.D.	For	Against	Management
10	Ratify Auditors	For	Against	Management
11	Advisory Vote to Ratify Named Executive Officers' Compensation	Against	For	Shareholder

---

### VALERO ENERGY CORP.

Ticker: VLO Security ID: 91913Y100  
 Meeting Date: APR 30, 2009 Meeting Type: Annual  
 Record Date: MAR 2, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Jerry D. Choate	For	For	Management
1.2	Elect Director William R. Klesse	For	For	Management
1.3	Elect Director Donald L. Nickles	For	For	Management
1.4	Elect Director Susan Kaufman Purcell	For	For	Management
2	Ratify Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Against	For	Shareholder
4	Stock Retention/Holding Period	Against	Against	Shareholder
5	Disclose Information on Compensation Consultant	Against	For	Shareholder
6	Report on Political Contributions	Against	Against	Shareholder

---

### VF CORP.

Ticker: VFC Security ID: 918204108  
 Meeting Date: APR 28, 2009 Meeting Type: Annual  
 Record Date: MAR 10, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Robert J. Hurst	For	For	Management
1.2	Elect Director W. Alan McCollough	For	For	Management
1.3	Elect Director M. Rust Sharp	For	For	Management
1.4	Elect Director Raymond G. Viault	For	For	Management

Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

2 Ratify Auditors For For Management

W.W. GRAINGER, INC.

Ticker: GWW Security ID: 384802104  
 Meeting Date: APR 29, 2009 Meeting Type: Annual  
 Record Date: MAR 2, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Brian P. Anderson	For	For	Management
1.2	Elect Director Wilbur H. Gantz	For	For	Management
1.3	Elect Director V. Ann Hailey	For	For	Management
1.4	Elect Director William K. Hall	For	For	Management
1.5	Elect Director Richard L. Keyser	For	For	Management
1.6	Elect Director Stuart L. Levenick	For	For	Management
1.7	Elect Director John W. McCarter, Jr.	For	For	Management
1.8	Elect Director Neil S. Novich	For	For	Management
1.9	Elect Director Michael J. Roberts	For	For	Management
1.10	Elect Director Gary L. Rogers	For	For	Management
1.11	Elect Director James T. Ryan	For	For	Management
1.12	Elect Director James D. Slavik	For	For	Management
1.13	Elect Director Harold B. Smith	For	For	Management
2	Ratify Auditors	For	For	Management

WACHOVIA CORP.

Ticker: WB Security ID: 929903102  
 Meeting Date: DEC 23, 2008 Meeting Type: Special  
 Record Date: NOV 3, 2008

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Approve Merger Agreement	For	For	Management
2	Adjourn Meeting	For	For	Management

WAL-MART STORES, INC.

Ticker: WMT Security ID: 931142103  
 Meeting Date: JUN 5, 2009 Meeting Type: Annual  
 Record Date: APR 9, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Elect Director Aida M. Alvarez	For	For	Management
2	Elect Director James W. Breyer	For	For	Management
3	Elect Director M. Michele Burns	For	For	Management
4	Elect Director James I. Cash, Jr.	For	For	Management
5	Elect Director Roger C. Corbett	For	For	Management
6	Elect Director Douglas N. Daft	For	For	Management
7	Elect Director Michael T. Duke	For	For	Management
8	Elect Director Gregory B. Penner	For	For	Management
9	Elect Director Allen I. Questrom	For	For	Management
10	Elect Director H. Lee Scott, Jr.	For	For	Management
11	Elect Director Arne M. Sorenson	For	For	Management
12	Elect Director Jim C. Walton	For	For	Management

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

13	Elect Director S. Robson Walton	For	For	Management
14	Elect Director Christopher J. Williams	For	For	Management
15	Elect Director Linda S. Wolf	For	For	Management
16	Ratify Auditors	For	For	Management
17	Amend EEO Policy to Prohibit Discrimination based on Sexual Orientation and Gender Identity	Against	Abstain	Shareholder
18	Pay For Superior Performance	Against	Against	Shareholder
19	Advisory Vote to Ratify Named Executive Officers' Compensation	Against	For	Shareholder
20	Report on Political Contributions	Against	Against	Shareholder
21	Amend Articles/Bylaws/Charter -- Call Special Meetings	Against	For	Shareholder
22	Stock Retention/Holding Period	Against	Against	Shareholder

---

### WASTE MANAGEMENT, INC.

Ticker: WMI Security ID: 94106L109  
 Meeting Date: MAY 8, 2009 Meeting Type: Annual  
 Record Date: MAR 11, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Pastora San Juan Cafferty	For	For	Management
1.2	Elect Director Frank M. Clark, Jr.	For	For	Management
1.3	Elect Director Patrick W. Gross	For	For	Management
1.4	Elect Director John C. Pope	For	For	Management
1.5	Elect Director W. Robert Reum	For	For	Management
1.6	Elect Director Steven G. Rothmeier	For	For	Management
1.7	Elect Director David P. Steiner	For	For	Management
1.8	Elect Director Thomas H. Weidemeyer	For	For	Management
2	Ratify Auditors	For	Against	Management
3	Amend Qualified Employee Stock Purchase Plan	For	For	Management
4	Approve Omnibus Stock Plan	For	For	Management
5	Report on Political Contributions	Against	Against	Shareholder
6	Reduce Supermajority Vote Requirement	Against	For	Shareholder

---

### WEATHERFORD INTERNATIONAL LTD

Ticker: WFT Security ID: H27013103  
 Meeting Date: MAY 7, 2009 Meeting Type: Annual  
 Record Date: APR 3, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Reelect Bernhard Duroc-Danner as Director	For	Did Not Vote	Management
1.2	Reelect Nicholas Brady as Director	For	Did Not Vote	Management
1.3	Reelect David Butters as Director	For	Did Not Vote	Management
1.4	Reelect William Macaulay as Director	For	Did Not Vote	Management
1.5	Reelect Robert Millard as Director	For	Did Not Vote	Management
1.6	Reelect Robert Moses as Director	For	Did Not Vote	Management
1.7	Reelect Robert Rayne as Director	For	Did Not Vote	Management
2	Ratify Ernst & Young LLP as Independent Registered Public Accounting Firm and Ernst & Young AG as Statutory Auditors	For	Did Not Vote	Management

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

3      Transact Other Business (Non-Voting)      None      Did Not Vote Management

### WELLS FARGO AND COMPANY

Ticker:            WFC                            Security ID: 949746101  
 Meeting Date: APR 28, 2009      Meeting Type: Annual  
 Record Date: FEB 27, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Elect Director John D. Baker II	For	For	Management
2	Elect Director John S. Chen	For	For	Management
3	Elect Director Lloyd H. Dean	For	For	Management
4	Elect Director Susan E. Engel	For	For	Management
5	Elect Director Enrique Hernandez, Jr.	For	For	Management
6	Elect Director Donald M. James	For	For	Management
7	Elect Director Robert L. Joss	For	For	Management
8	Elect Director Richard M. Kovacevich	For	For	Management
9	Elect Director Richard D. McCormick	For	For	Management
10	Elect Director Mackey J. McDonald	For	For	Management
11	Elect Director Cynthia H. Milligan	For	Against	Management
12	Elect Director Nicholas G. Moore	For	For	Management
13	Elect Director Philip J. Quigley	For	Against	Management
14	Elect Director Donald B. Rice	For	Against	Management
15	Elect Director Judith M. Runstad	For	For	Management
16	Elect Director Stephen W. Sanger	For	For	Management
17	Elect Director Robert K. Steel	For	For	Management
18	Elect Director John G. Stumpf	For	For	Management
19	Elect Director Susan G. Swenson	For	For	Management
20	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
21	Ratify Auditors	For	For	Management
22	Amend Omnibus Stock Plan	For	Against	Management
23	Require Independent Board Chairman	Against	For	Shareholder
24	Report on Political Contributions	Against	Against	Shareholder

### WESTERN UNION COMPANY, THE

Ticker:            WU                                    Security ID: 959802109  
 Meeting Date: MAY 13, 2009      Meeting Type: Annual  
 Record Date: MAR 16, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Roberto G. Mendoza	For	For	Management
1.2	Elect Director Michael A. Miles, Jr.	For	For	Management
1.3	Elect Director Dennis Stevenson	For	For	Management
2	Ratify Auditors	For	For	Management

### XEROX CORPORATION

Ticker:            XRX                                    Security ID: 984121103  
 Meeting Date: MAY 21, 2009      Meeting Type: Annual  
 Record Date: MAR 23, 2009

Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Glenn A. Britt	For	For	Management
1.2	Elect Director Ursula M. Burns	For	For	Management
1.3	Elect Director Richard J. Harrington	For	For	Management
1.4	Elect Director William Curt Hunter	For	For	Management
1.5	Elect Director Robert A. McDonald	For	For	Management
1.6	Elect Director Anne M. Mulcahy	For	Against	Management
1.7	Elect Director N. J. Nicholas, Jr.	For	For	Management
1.8	Elect Director Charles Prince	For	For	Management
1.9	Elect Director Ann N. Reese	For	For	Management
1.10	Elect Director Mary Agnes Wilderotter	For	For	Management
2	Ratify Auditors	For	For	Management

-----  
YAHOO!, INC.

Ticker: YHOO Security ID: 984332106  
Meeting Date: AUG 1, 2008 Meeting Type: Proxy Contest  
Record Date: JUN 3, 2008

#	Proposal	Mgt Rec	Vote Cast	Sponsor
	Management Proxy (White Card)			
1.1	Elect Director Roy J. Bostock	For	For	Management
1.2	Elect Director Ronald W. Burkle	For	For	Management
1.3	Elect Director Eric Hippeau	For	For	Management
1.4	Elect Director Vyomesh Joshi	For	For	Management
1.5	Elect Director Arthur H. Kern	For	For	Management
1.6	Elect Director Robert A. Kotick	For	For	Management
1.7	Elect Director Mary Agnes Wilderotter	For	For	Management
1.8	Elect Director Gary L. Wilson	For	For	Management
1.9	Elect Director Jerry Yang	For	For	Management
2	Ratify Auditors	For	For	Management
3	Pay For Superior Performance	Against	Against	Shareholder
4	Adopt Policies to Protect Freedom of Access to the Internet	Against	Abstain	Shareholder
5	Amend Bylaws to Establish a Board Committee on Human Rights	Against	Abstain	Shareholder

#	Proposal	Mgt Rec	Vote Cast	Sponsor
	Management Proxy (Gold Card)			
1.1	Elect Director Lucian A. Bebchuk	For	Did Not Vote	Shareholder
1.2	Elect Director Frank J. Biondi, Jr.	For	Did Not Vote	Shareholder
1.3	Elect Director John H. Chapple	For	Did Not Vote	Shareholder
1.4	Elect Director Mark Cuban	For	Did Not Vote	Shareholder
1.5	Elect Director Adam Dell	For	Did Not Vote	Shareholder
1.6	Elect Director Carl C. Icahn	For	Did Not Vote	Shareholder
1.7	Elect Director Keith A. Meister	For	Did Not Vote	Shareholder
1.8	Elect Director Edward H. Meyer	For	Did Not Vote	Shareholder
1.9	Elect Director Brian S. Posner	For	Did Not Vote	Shareholder
2	Ratify Auditors	For	Did Not Vote	Management
3	Pay For Superior Performance	For	Did Not Vote	Shareholder
4	Adopt Policies to Protect Freedom of Access to the Internet	Against	Did Not Vote	Shareholder
5	Amend Bylaws to Establish a Board Committee on Human Rights	Against	Did Not Vote	Shareholder

===== END NPX REPORT

SIGNATURES

Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

Pursuant to the requirements of the Investment Company Act of 1940, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

(Registrant) Tri-Continental Corporation

By (Signature and Title)\* /s/ Patrick T. Bannigan  
-----  
Patrick T. Bannigan  
President

Date August 27, 2009

\* Print the name and title of each signing officer under his or her signature.

Document Number: 269841