

Edgar Filing: TRI-CONTINENTAL CORP - Form NSAR-A

TRI-CONTINENTAL CORP

Form NSAR-A

August 28, 2009

PAGE 1  
000 A000000 06/30/2009  
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000 F000000 Y  
000 G000000 N  
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001 B000000 811-00266  
001 C000000 6126715682  
002 A000000 50606 AMERIPRISE FINANCIAL CENTER  
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008 A000001 RIVERSOURCE INVESTMENTS, LLC  
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008 C000001 801-25943  
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010 A000001 AMERIPRISE FINANCIAL, INC.  
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012 A000001 RIVERSOURCE SERVICE CORPORATION  
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015 A000001 JPMORGAN CHASE BANK, N.A.  
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020 A000001 ITG INC.  
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020 A000002 GOLDMAN, SACHS & CO.  
020 B000002 13-5108880  
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020 A000004 INSTINET LLC  
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020 A000005 BNY BROKERAGE INC.  
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020 A000010 BMO CAPITAL MARKETS  
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PAGE 7

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087	A020000	895436103
087	A030000	TY
087	B010000	TRI-CONTINENTAL - PREFERRED STOCK
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087	B030000	TY
087	C010000	TRI-CONTINENTAL - WARRANT
087	C020000	895436111
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088 D000000 N  
SIGNATURE  
TITLE

This report is signed on behalf of the registrant (or depositor or trustee).

City of: Minneapolis      State of: Minnesota      Date: August 20, 2009

Name of Registrant, Depositor, or Trustee: Tri-Continental Corporation

/s/ Jeffrey P. Fox -- Treasurer and Principal Financial Officer

-----  
By (Name and Title):

/s/ Wade M. Voigt -- Assistant Treasurer

-----  
Witness (Name and Title):

TRI-CONTINENTAL CORPORATION