#### Edgar Filing: ABERDEEN EMERGING MARKETS SMALLER Co OPPORTUNITIES FUND, INC. - Form 3

ABERDEEN EMERGING MARKETS SMALLER Co OPPORTUNITIES FUND, INC.

Form 3

March 22, 2017

# FORM 3

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

2005

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**OMB** 3235-0104 Number:

response...

January 31, Expires:

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Ad Person <u>*</u> Pike Mand		porting	2. Date of Event Requiring Statement (Month/Day/Year)	3. Issuer Name and Ticker or Trading Symbol ABERDEEN EMERGING MARKETS SMALLER CO OPPORTUNITIES FUND, INC. [ABE]						
(Last)	(First)	(Middle)	03/15/2017	4. Relationship of Reporting Person(s) to Issuer		g	5. If Amendment, Date Original Filed(Month/Day/Year)			
1735 MARK FLOOR	ET ST.,Â	32ND		(Check all applicable)						
PHILADELF	(Street)	AÂ 19103		Director 10% Owner Officer X Other (give title below) (specify below) Director of Investment Advisor			6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City)	(State)	(Zip)	Table I - N	I - Non-Derivative Securities Beneficially Owned						
1.Title of Securi (Instr. 4)	ty		2. Amount of Beneficially (Instr. 4)		3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nat Owne (Instr.				
Reminder: Report		rate line for ea	ch class of securities benefici	ially S	EC 1473 (7-02	<u>!</u> )				
,	Perso inforn requir	nation conta ed to respo	pond to the collection of ained in this form are not and unless the form displ							

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security		4. Conversion or Exercise	5. Ownership Form of	6. Nature of Indirect Beneficial Ownership (Instr. 5)
			(Instr. 4)	Price of		Derivative	
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	•	

(I) (Instr. 5)

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Pike Mandy

1735 MARKET ST. 32ND FLOOR Â Â Â Director of Investment Advisor

PHILADELPHIA, Â PAÂ 19103

### **Signatures**

Robert Hepp as attorney in fact for Mandy Pike 03/22/2017

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

No securities are beneficially owned

\* If the form is filed by more than one reporting person, see Instruction 5(b)(v).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2

<sup>\*\*</sup> Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).