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| Zhao Lynn Form 4 | | | | | | | | | | | |
|--|--|---|---|--|-------------------------|--|---|--|--|---|--|
| May 04, 20 | | | | | | | | OMB A | PPROVA | | |
| FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 | | | | | | | | | 3235-028 ser: | | |
| if no lo subject Section Form 4 Form 5 obligat may co | to 16. or Filed put ions Section 17/ | STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 | | | | | | | | Expires:January 31, 2005Estimated average burden hours per response0.5 | |
| (Print or Type | e Responses) | | | | | | | | | | |
| 1. Name and Address of Reporting Person <u>*</u> Zhao Lynn | | | 2. Issuer Name and Ticker or Trading Symbol SOCKET MOBILE, INC. [SCKT] | | | | 5. Relationship of Reporting Person(s) to Issuer | | | | |
| (Last) (First) (Middle) 39700 EUREKA DRIVE | | | 3. Date of Earliest Transaction (Month/Day/Year) 05/02/2018 | | | | (Check all applicable) <u></u> Director <u></u> 10% Owner <u></u> Officer (give title <u></u> Other (specify below) Vice President and Controller | | | | |
| | | 4. If Amendment, Date Original Filed(Month/Day/Year) | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting | | | | | |
| | X, CA 94560 | | | | | | Person | whole than one is | eporting | | |
| (City) | (State) | (Zip) | Tab | le I - Non-l | Derivativo | e Securities A | Acquired, Disposed | of, or Beneficia | lly Owned | | |
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution D any (Month/Day | Date, if | 3. Transactio Code (Instr. 8) Code V | Disposed (Instr. 3, | (A) or d of (D) 4 and 5) (A) or | Securities Beneficially | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature Indirect Beneficial Ownershij (Instr. 4) | 1 | |
| Reminder: Ro | eport on a separate line | e for each clas | ss of sect | urities bene | Perse infor requi | ons who res mation cont ired to resp | or indirectly. spond to the colle tained in this form ond unless the fo ntly valid OMB co | n are not rm | SEC 1474 (9-02) | | |

number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2. | 3. Transaction Date | 3A. Deemed | 4. | 5. Number of | 6. Date Exercisable and | 7. Title and Amount of |
|-------------|-------------|---------------------|--------------------|-----------|--------------|-------------------------|------------------------|
| Derivative | Conversion | (Month/Day/Year) | Execution Date, if | Transacti | orDerivative | Expiration Date | Underlying Securities |
| Security | or Exercise | | any | Code | Securities | (Month/Day/Year) | (Instr. 3 and 4) |

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| (Instr. 3) | Price of Derivative Security | ive | | (Instr. 8 | Acquired or Dispo (D) (Instr. 3, and 5) | sed of | | | | |
|---------------------------|------------------------------------|------------|--|-----------|---|--------|---------------------|--------------------|--------|-------------------------------------|
| | | | | Code V | 7 (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Common stock option | \$ 2.93 | 05/02/2018 | | А | 10,000 | | <u>(1)</u> | 05/01/2028 | Common | 10,000 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | | |
|---|---------------|-----------|-------------------------------|-------|--|--|--|--|
| | Director | 10% Owner | Officer | Other | | | | |
| Zhao Lynn 39700 EUREKA DRIVE NEWARK, CA 94560 | | | Vice President and Controller | | | | | |
| Signatures | | | | | | | | |

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/s/ Lynn Zhao 05/02/2018 <u>**</u>Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Vests monthly over 48 months.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.