

Edgar Filing: STEPHAN CO - Form 8-K

STEPHAN CO
Form 8-K
June 10, 2005

United States
Securities and Exchange Commission
Washington D.C. 20549

Form 8-K

Current Report
Pursuant to Section 13 or 15(d) of the
Securities Exchange Act of 1934

Date of Report (Date of the earliest event reported)

June 7, 2005

THE STEPHAN CO.
(Exact name of registrant as specified in its charter)

Florida	1-4436	59-676812
(State or other	(Commission File	(I.R.S. Employer
jurisdiction of	Number)	Identification Number)
incorporation)		

1850 W. McNab Road	
Fort Lauderdale, Florida	33309
(Address of principal executive offices)	(Zip Code)

(954) 971-0600
(Registrant's telephone number, including area code)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the Registrant under any of the following provisions:

- ☐ Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- ☐ Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- ☐ Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- ☐ Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Edgar Filing: STEPHAN CO - Form 8-K

Listing Rule or Standard; Transfer of Listing.

(a) On June 7, 2005, the Registrant received notification from the American Stock Exchange ("AMEX") that the failure to hold an annual meeting of shareholders by May 31, 2005, in accordance with a previously announced plan of compliance, put the Registrant in violation of Section 704 of the AMEX Company Guide. Additionally, the failure of the Company to file its Annual Report on Form 10-K for the fiscal year ended December 31, 2004, as well as the failure to file its quarterly report on Form 10-Q for the quarter ended March 31, 2005, as required by Sections 134 and 1101 of the AMEX Company Guide, is a violation of its listing agreement with the AMEX. Pursuant to Section 1003(d) of the above referenced Company Guide, the Exchange Staff has determined that it was appropriate to initiate immediate delisting proceedings.

The Company intends to promptly request an oral hearing before a Listing Qualifications Panel but is unable to speculate on the timing or outcome of such a hearing.

ITEM 7.01. Regulation FD Disclosure.

On June 10, 2005, the Company issued a press release announcing the receipt of the AMEX letter. A copy of the press release is furnished as Exhibit 99.1 to this Current Report on Form 8-K.

ITEM 9.01. Financial Statements and Exhibits.

(c) Exhibits:

Exhibit Number	Description
99.1	The Stephan Co. Press Release dated June 10, 2005

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized, in the State of Florida on June 10, 2005.

The Stephan Co.

By:

/s/ David Spiegel

David Spiegel
Chief Financial Officer