

FLOTEK INDUSTRIES INC/CN/  
Form 4  
September 15, 2015

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
REEVES STEVEN A

2. Issuer Name and Ticker or Trading Symbol  
FLOTEK INDUSTRIES INC/CN/  
[FTK]

5. Relationship of Reporting Person(s) to Issuer  
(Check all applicable)  
 Director  10% Owner  
 Officer (give title below)  Other (specify below)  
Exec. VP, Operations

(Last) (First) (Middle)  
10603 W. SAM HOUSTON PKWY.  
N STE. 300  
  
(Street)

3. Date of Earliest Transaction  
(Month/Day/Year)  
05/29/2015

HOUSTON, TX 77064

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|--|
|                                 |                                      |  | Code                           | V   | Amount  | (A) or (D)   | Price                                      |
| Common Stock                    | 05/29/2015                           |  | G                              |   | 162,926   | D  | \$ 0                                       |
| Common Stock                    | 05/29/2015                           |  | G                              |   | 162,926   | A  | \$ 0                                       |
| Common Stock                    | 09/14/2015                           |  | S                              |   | 41,934<br>(2)   | D  | \$ 18.8423                                 |

Linda Jo Reeves Living Trust (1)  
Linda Jo Reeves Living Trust (1)

|              |        |   |             |
|--------------|--------|---|-------------|
| Common Stock | 21,087 | I | Flotek 401K |
|--------------|--------|---|-------------|

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Title and Amount of Underlying Securities (Instr. 3 and 4) |                            |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|---|----------------------------|
|  |  |                                      |  | Code                           | V (A) (D)   | Date Exercisable   | Expiration Date   | Title   | Amount or Number of Shares |
| Common Stock                               | \$ 9.19  |                                      |  |                                |   | 04/08/2012   | 04/07/2017  | Common Stock  | 200,000                    |

## Reporting Owners

### Reporting Owner Name / Address

### Relationships

Director    10% Owner    Officer    Other

REEVES STEVEN A  
10603 W. SAM HOUSTON PKWY. N STE. 300  
HOUSTON, TX 77064

Exec. VP, Operations

## Signatures

/s/ Steven A. Reeves                      09/15/2015

\*\*Signature of Reporting Person                      Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
  - \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Mr. Reeves is a trustee and beneficiary of the named trust. Mr. Reeves disclaims beneficial ownership of such shares except to the extent of his pecuniary interest therein.
  - (2) Disposition on September 14, 2015, of 41,934 shares at \$18.8423 per share pursuant to a Rule 10b5-1 Trading Plan.

## Edgar Filing: FLOTEK INDUSTRIES INC/CN/ - Form 4

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