Edgar Filing: Johnson Jeffrey M - Form 4

Form 4	rey M									
December 23	3, 2010									
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION							OMB	PPROVAL 3235-0287		
Check thi	is box		Washington, D.C. 20549						Number:	January 31,
if no long subject to Section 1 Form 4 of Form 5 obligation	F CHANGES IN BENEFICIAL OWN SECURITIES Section 16(a) of the Securities Exchange Public Utility Holding Company Act of					e Act of 1934,	Expires: Estimated a burden hou response	2005 average		
may conti <i>See</i> Instru 1(b).	inue.			vestment						
(Print or Type R	Responses)									
1. Name and Address of Reporting Person <u>*</u> Johnson Jeffrey M			2. Issuer Name and Ticker or Trading Symbol Capitol Federal Financial Inc [CFFN]				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last) (First) (Middle) C/O CAPITOL FEDERAL FINANCIAL INC, 700 KANSAS AVENUE			3. Date of Earliest Transaction (Month/Day/Year) 12/21/2010					Officer (give titleOther (specify below) below)		
				ndment, Date Original nth/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person		
ТОРЕКА, К	XS 66603								Aore than One Re	
(City)	(State)	(Zip)	Table	e I - Non-De	erivative S	ecurit	ies Acq	uired, Disposed of	f, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	Security (Month/Day/Year) Execution I		n Date, if Transaction(A) or Disposed of Code (D)			of	Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
Common Stock	12/21/2010			Code V P	Amount 20,000	(D) A	Price \$ 10	(Instr. 3 and 4) 20,000	D	
Common Stock	12/21/2010			J <u>(1)</u>	24,900	A	\$0	44,900	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Edgar Filing: Johnson Jeffrey M - Form 4

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of onDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Expiration D (Month/Day,	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amou Underlying Secur (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Am Nui Sha	
Non-Qualified Stock Option	\$ 14.35	12/21/2010		J <u>(2)</u>	113,185	(3)	01/25/2021	Common Stock	11	

Reporting Owners

Reporting Owner Name / Address		Relationships					
L G	8			Officer	Other		
Johnson Jeffrey M C/O CAPITOL FEDERAL FINA 700 KANSAS AVENUE TOPEKA, KS 66603	ANCIAL INC	Х					
Signatures							
/s/ James D. Wempe, POA	12/23/2010						

**Signature of Reporting Person

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

Date

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

In accordance with the Plan of Conversion and Reorganization of Capitol Federal Savings Bank MHC, effective December 21, 2010,
 (1) each outstanding share of common stock of Capitol Federal Financial was exchanged for 2.2637 shares of Capitol Federal Financial, Inc.'s common stock.

In accordance with the Plan of Conversation and Reorganization of Capitol Federal Savings Bank MHC, effective December 21, 2010, each outstanding stock option for a share of common stock of Capitol Federal Financial was exchanged for a stock option for 2.2637

- (2) shares of Capitol Federal Financial, Inc.'s common stock, rounded down to the nearest share. The exercise price was determined by dividing the original exercise price of the exchanged stock option by the 2.2637 exchange ratio, rounded down to the nearest whole number.
- (3) All of the options are currently exercisable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.