

SUN COMMUNITIES INC

Form 4

November 16, 2016

FORM 4
UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
BERGERON STEPHANIE W

(Last) (First) (Middle)

**SUN COMMUNITIES, INC., 27777
FRANKLIN RD., STE. 200**

(Street)

SOUTHFIELD, MI 48034

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol

SUN COMMUNITIES INC [SUI]

3. Date of Earliest Transaction
(Month/Day/Year)

11/14/2016

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Filing(Check
Applicable Line)

☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount (A) or (D)	Price	
Common Stock, \$0.01 par value	11/14/2016		M		1,500	A \$ 29.91	17,500 D
Common Stock, \$0.01 par value	11/14/2016		F		615	D \$ 72.9	16,885 D
Common Stock, \$0.01 par value	11/14/2016		M		1,500	A \$ 17.2	18,385 D

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Common Stock, \$0.01 par value	11/14/2016	F	353	D	\$ 72.9	18,032	D
Common Stock, \$0.01 par value	11/14/2016	M	1,500	A	\$ 14.95	19,532	D
Common Stock, \$0.01 par value	11/14/2016	F	307	D	\$ 72.9	19,225	D
Common Stock, \$0.01 par value	11/14/2016	M	1,500	A	\$ 29.54	20,725	D
Common Stock, \$0.01 par value	11/14/2016	F	607	D	\$ 72.9	20,118	D
Common Stock, \$0.01 par value	11/14/2016	M	1,500	A	\$ 37.35	21,618	D
Common Stock, \$0.01 par value	11/14/2016	F	768	D	\$ 72.9	20,850	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Derivative Securities (Instr. 3 and 4)
				Code	V (A) (D)		Title	

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						Date Exercisable	Expiration Date		Amount or Number of Shares
Stock option (right to buy)	\$ 29.91	11/14/2016	M	1,500	<u>(1)</u>	05/23/2017	Common Stock	1,500	
Stock option (right to buy)	\$ 17.2	11/14/2016	M	1,500	<u>(2)</u>	07/30/2018	Common Stock	1,500	
Stock option (right to buy)	\$ 14.95	11/14/2016	M	1,500	<u>(3)</u>	07/29/2019	Common Stock	1,500	
Stock option (right to buy)	\$ 29.54	11/14/2016	M	1,500	<u>(4)</u>	07/28/2020	Common Stock	1,500	
Stock option (right to buy)	\$ 37.35	11/14/2016	M	1,500	<u>(5)</u>	07/27/2021	Common Stock	1,500	

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
BERGERON STEPHANIE W SUN COMMUNITIES, INC. 27777 FRANKLIN RD., STE. 200 SOUTHFIELD, MI 48034	X			

Signatures

Stephanie W.
Bergeron

11/16/2016

__Signature of
Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Option to purchase 1,500 shares of common stock vested in equal annual installments beginning 5/23/2008.

(2) Option to purchase 1,500 shares of common stock vested in equal annual installments beginning 7/18/2009.

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- (3) Option to purchase 1,500 shares of common stock vested in equal annual installments beginning 7/29/2010.
- (4) Option to purchase 1,500 shares of common stock vested in equal annual installments beginning 7/28/2011.
- (5) Option to purchase 1,500 shares of common stock vested in equal annual installments beginning 7/27/2012.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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