

WELLS FARGO &amp; COMPANY/MN

Form 3

September 12, 2018

**FORM 3**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

OMB  
Number: 3235-0104Expires: January 31,  
2005Estimated average  
burden hours per  
response... 0.5**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF  
SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting  
Person \*WELLS FARGO &  
COMPANY/MN

(Last) (First) (Middle)

420 MONTGOMERY STREET

(Street)

SAN

FRANCISCO, CA 94104

(City) (State) (Zip)

2. Date of Event Requiring  
Statement(Month/Day/Year)  
12/31/2008

3. Issuer Name and Ticker or Trading Symbol

PIMCO NEW YORK MUNICIPAL INCOME FUND II  
[PNI]4. Relationship of Reporting  
Person(s) to Issuer5. If Amendment, Date Original  
Filed(Month/Day/Year)

(Check all applicable)

\_\_\_\_ Director \_\_\_\_X\_\_\_\_ 10% Owner  
\_\_\_\_ Officer \_\_\_\_ Other  
(give title below) (specify below)6. Individual or Joint/Group  
Filing(Check Applicable Line)  
\_\_\_\_ Form filed by One Reporting  
Person  
\_\_\_\_X\_\_\_\_ Form filed by More than One  
Reporting Person**Table I - Non-Derivative Securities Beneficially Owned**1. Title of Security  
(Instr. 4)2. Amount of Securities  
Beneficially Owned  
(Instr. 4)3. Ownership  
Form:  
Direct (D)  
or Indirect  
(I)  
(Instr. 5)4. Nature of Indirect Beneficial  
Ownership  
(Instr. 5)

Auction-Rate Preferred Shares

381 (1)

I (2)

By Subsidiary (2) (3)

Reminder: Report on a separate line for each class of securities beneficially  
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of  
information contained in this form are not  
required to respond unless the form displays a  
currently valid OMB control number.****Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**1. Title of Derivative Security  
(Instr. 4)2. Date Exercisable and  
Expiration Date  
(Month/Day/Year)3. Title and Amount of  
Securities Underlying  
Derivative Security  
(Instr. 4)  
Title4. Conversion  
or Exercise  
Price of  
Derivative  
Security5. Ownership  
Form of  
Derivative  
Security:  
Direct (D)6. Nature of Indirect  
Beneficial Ownership  
(Instr. 5)

# Edgar Filing: WELLS FARGO & COMPANY/MN - Form 3

Date Exercisable	Expiration Date	Amount or Number of Shares	or Indirect (I) (Instr. 5)
---------------------	--------------------	----------------------------------	----------------------------------

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
WELLS FARGO & COMPANY/MN 420 MONTGOMERY STREET SAN FRANCISCO, CA 94104	Â	Â X	Â	Â
WFC HOLDINGS CORP 420 MONTGOMERY STREET SAN FRANCISCO, CA 94104	Â	Â X	Â	Â

## Signatures

WELLS FARGO & COMPANY, /s/ Lori Ward	09/11/2018
--------------------------------------	------------

**Signature of Reporting Person	Date
---------------------------------	------

WFC HOLDINGS, LLC, /s/ Arthur C. Evans	09/11/2018
--	------------

**Signature of Reporting Person	Date
---------------------------------	------

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The Auction-Rate Preferred Shares of the Issuer ("Shares") reported in Table I (CUSIP Nos: 72200Y201 and 72200Y300) represent 377 Shares beneficially owned by WFC Holdings, LLC ("WFC Holdings") and an additional 4 Shares beneficially owned by EVEREN Capital Corporation ("EVEREN"). WFC Holdings and EVEREN are wholly owned subsidiaries of Wells Fargo & Company ("Wells Fargo"). For the purposes of this filing, all series of Shares identified in Item 1 of Table I are treated herein as one class of securities. The shareholdings identified in Table I and in this footnote reflect the number of Shares held by the reporting persons as of the date of this Form 3. This Form 3 was not timely filed to reflect the reporting persons' aggregate beneficial ownership of more than 10% of the Shares, which first occurred as of the date stated in Item 2 above, and subsequent Forms 4 were also not timely filed to reflect changes in the reporting persons' beneficial ownership.

(2) This statement is jointly filed by Wells Fargo and WFC Holdings. Wells Fargo holds an indirect interest in the Shares listed in Table I by virtue of its indirect ownership of its subsidiary, EVEREN, and direct ownership of its subsidiary, WFC Holdings.

(3) Each reporting person declares that neither the filing of this statement nor anything herein shall be construed as an admission that such person is, for the purposes of Section 13(d) of the US Securities Exchange Act of 1934 or any other purpose, (i) acting (or has agreed or is agreeing to act together with any other person) as a partnership, limited partnership, syndicate, or other group for the purpose of acquiring, holding or disposing of securities of the Issuer or otherwise with respect to the Issuer or any securities of the Issuer or (ii) a member of any group with respect to the Issuer or any securities of the Issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.