

Doerr Ann Howland
Form 3
August 27, 2018

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person * Doerr Ann Howland (Last) (First) (Middle) 751 LAUREL STREET, #717 (Street) SAN CARLOS, CA 94070 (City) (State) (Zip)	2. Date of Event Requiring Statement (Month/Day/Year) 08/17/2018	3. Issuer Name and Ticker or Trading Symbol AMYRIS, INC. [AMRS]	4. Relationship of Reporting Person(s) to Issuer (Check all applicable) ☐ Director ☒ 10% Owner ☐ Officer ☐ Other (give title below) (specify below)	5. If Amendment, Date Original Filed(Month/Day/Year)	6. Individual or Joint/Group Filing(Check Applicable Line) ☐ Form filed by One Reporting Person ☒ Form filed by More than One Reporting Person
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Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock	7,852,775 ⁽¹⁾	I	By Foris Ventures, LLC ⁽²⁾
Common Stock	567	I	By The Vallejo Ventures Trust U/T/A 2/12/96 ⁽³⁾
Common Stock	5,532	I	By L. John Doerr ⁽⁴⁾

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.**

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying	4. Conversion	5. Ownership	6. Nature of Indirect Beneficial
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	Date Exercisable	Expiration Date	Derivative Security (Instr. 4) Title	Amount or Number of Shares	or Exercise Price of Derivative Security	Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	Ownership (Instr. 5)
6.50% Convertible Senior Notes due 2019	Â <u>(5)</u>	05/15/2019	Common Stock	89,037	\$ <u>(5)</u>	I	By Foris Ventures, LLC <u>(2)</u>
Warrant (Right to Buy)	Â <u>(6)</u>	Â <u>(6)</u>	Common Stock	64,103	\$ 0.15	I	By Foris Ventures, LLC <u>(2)</u>
Warrant (Right to Buy)	Â <u>(7)</u>	Â <u>(7)</u>	Common Stock	152,381	\$ 0.15	I	By Foris Ventures, LLC <u>(2)</u>
Warrant (Right to Buy)	Â <u>(8)</u>	Â <u>(8)</u>	Common Stock	4,877,386	\$ 7.52	I	By Foris Ventures, LLC <u>(2)</u>
Stock Option (Right to Buy)	08/01/2019	08/01/2028	Common Stock	3,466	\$ 6.96	I	By L. John Doerr <u>(4)</u>
Stock Option (Right to Buy)	08/02/2018	08/02/2027	Common Stock	1,733	\$ 3.93	I	By L. John Doerr <u>(4)</u>
Stock Option (Right to Buy)	07/13/2017	07/13/2026	Common Stock	1,733	\$ 6.08	I	By L. John Doerr <u>(4)</u>
Stock Option (Right to Buy)	08/11/2016	07/13/2026	Common Stock	400	\$ 25.35	I	By L. John Doerr <u>(4)</u>
Stock Option (Right to Buy)	08/07/2015	08/06/2024	Common Stock	400	\$ 57.9	I	By L. John Doerr <u>(4)</u>
Stock Option (Right to Buy)	08/08/2014	08/01/2023	Common Stock	400	\$ 41.4	I	By L. John Doerr <u>(4)</u>
Stock Option (Right to Buy)	08/09/2013	07/19/2022	Common Stock	400	\$ 53.25	I	By L. John Doerr <u>(4)</u>
Stock Option (Right to Buy)	08/07/2012	07/19/2021	Common Stock	400	\$ 367.5	I	By L. John Doerr <u>(4)</u>
Stock Option (Right to Buy)	06/15/2011	03/15/2021	Common Stock	1,333	\$ 452.55	I	By L. John Doerr <u>(4)</u>

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Doerr Ann Howland 751 LAUREL STREET, #717	Â	Â X	Â	Â

SAN CARLOS, CA 94070

Foris Ventures, LLC

751 LAUREL STREET #717 ☐ ☒ ☐ ☐
SAN CARLOS, CA 94070

Vallejo Ventures Trust UTA 21296

751 LAUREL STREET #717 ☐ ☒ ☐ ☐
SAN CARLOS, CA 94070

Hager Barbara

751 LAUREL STREET #717 ☐ ☒ ☐ ☐
SAN CARLOS, CA 94070

Signatures

FORIS VENTURES, LLC, By: /s/ Barbara Hager , Printed Name: Barbara Hager, Title: Manager 08/27/2018

____Signature of Reporting Person Date

The Vallejo Ventures Trust U/T/A 2/12/96, By: /s/ Barbara Hager , Printed Name: Barbara Hager, Title: Special Trustee 08/27/2018

____Signature of Reporting Person Date

/s/ Ann Howland Doerr 08/27/2018

____Signature of Reporting Person Date

/s/ Barbara Hager 08/27/2018

____Signature of Reporting Person Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Includes 1,012,071 shares of Common Stock issuable to Foris Ventures, LLC ("Foris") following the October 9, 2017 automatic conversion of the Company's Series B 17.38% Convertible Preferred Stock ("Series B Preferred Stock") into Common Stock. Pursuant to the Series B Certificate of Designation, the automatic conversion of the Series B Preferred Stock held by Foris to Common Stock will be

(1) held in abeyance to the extent such conversion would cause Foris to beneficially own in excess of 9.99% of the number of shares of Common Stock outstanding (the "Beneficial Ownership Limitation"), until Foris is able to receive such shares without exceeding the Beneficial Ownership Limitation, but all rights under the Series B Certificate of Designation shall cease to apply, other than the right to convert.

The Vallejo Ventures Trust U/T/A 2/12/96 ("VVT") is the member of Foris. L. John Doerr and Ann Doerr are the trustees of VVT. Barbara Hager is the special trustee of VVT and manager of Foris. By virtue of these relationships, each of VVT, Ann Doerr and Barbara Hager

(2) Hager may be deemed to have the power to vote and dispose of shares held by Foris. Each of VVT, Ann Doerr and Barbara Hager disclaims beneficial ownership of the shares held by Foris except to the extent of her or its pecuniary interest therein, if any.

L. John Doerr and Ann Doerr are the trustees of VVT. Barbara Hager is the special trustee of VVT and manager of Foris. By virtue of these relationships, Ann Doerr and Barbara Hager may be deemed to have the power to vote and dispose of shares held by VVT. Each of

(3) Ann Doerr and Barbara Hager disclaims beneficial ownership of the shares held by VVT except to the extent of her pecuniary interest therein, if any.

(4) L. John Doerr is the husband of Ann Doerr.

The 6.50% Convertible Senior Note due 2019 held by Foris (the "Foris 6.50% Note due 2019") is convertible into shares of the Company's Common Stock at a conversion rate of 17.8073 shares per \$1,000 in principal (which conversion rate is subject to adjustment in certain circumstances), representing an effective conversion price of approximately \$56.16 per share. The principal amount of the Foris 6.50% Note due 2019 is \$5,000,000.

(5)

The exercisability of the warrant was subject to the approval of the stockholders of the Issuer, which was obtained on September 17, 2015. The warrant expires on July 29, 2020.

(6)

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- (7) The exercisability of the warrant was subject to the approval of the stockholders of the Issuer, which was obtained on May 17, 2016. The warrant expires on February 12, 2021.
- (8) The warrant is exercisable beginning on February 17, 2019 (the "Initial Exercise Date"), the six month anniversary of the issuance of the warrant. The warrant expires on the fifteen month anniversary of the Initial Exercise Date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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