

Global Blood Therapeutics, Inc.  
Form 4  
March 06, 2017

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**HOMCY CHARLES J**

2. Issuer Name and Ticker or Trading Symbol  
**Global Blood Therapeutics, Inc. [GBT]**

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)  
**03/02/2017**

Director  10% Owner  
 Officer (give title below)  Other (specify below)

**C/O GLOBAL BLOOD THERAPEUTICS, INC., 400 EAST JAMIE COURT, SUITE 101**

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**SOUTH SAN FRANCISCO, CA 94080**

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
|                                 |                                      |  | Code                           | V Amount (A) or (D) Price   |   |  |                                   |
| Common Stock                    | 03/02/2017                           |  | S                              | 0 <u>(1)</u> D \$ 0 <u>(1)</u>                                    | 0 <u>(2)</u>  | I  | See Footnote <u>(3)</u>           |
| Common Stock                    | 03/02/2017                           |  | S                              | 0 <u>(4)</u> D \$ 0 <u>(4)</u>                                    | 0 <u>(5)</u>  | I  | See Footnote <u>(6)</u>           |
| Common Stock                    |                                      |  |                                |   | 237,728   | D  |                                   |
|                                 |                                      |  |                                |   | 1,600   | I  | Trust                             |

Common  
Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Beneficially Owned Following Reported Transaction (Instr. 6) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|---|
|  |  |                                      |  | Code                           | V (A) (D)   | Date Exercisable   | Expiration Date   | Title                                      | Amount or Number of Shares  |

## Reporting Owners

### Reporting Owner Name / Address

### Relationships

Director 10% Owner Officer Other

HOMCY CHARLES J  
C/O GLOBAL BLOOD THERAPEUTICS, INC.  
400 EAST JAMIE COURT, SUITE 101  
SOUTH SAN FRANCISCO, CA 94080

X

## Signatures

/s/ Matt Krause,  
attorney-in-fact 03/06/2017

\*\*Signature of Reporting Person Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) On March 2, 2017, Third Rock Ventures II, L.P. ("TRV II") sold 1,000,000 shares of Common Stock of the Issuer. The shares were sold in one execution at a price per share of \$25.50.

(2) After the transaction referenced in note (1), TRV II owned 6,475,191 shares.

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- The reporting person is a partner of Third Rock Ventures GP II, L.P., which is the general partner of TRV II. The reporting person
- (3) disclaims beneficial ownership over the shares held by TRV II, except to the extent of his pecuniary interest therein, if any, and this report shall not be deemed an admission that he is the beneficial owner of such shares.
  - (4) On March 2, 2017, Third Rock Ventures III, L.P. ("TRV III") sold 1,000,000 shares of Common Stock of the Issuer. The shares were sold in one execution at a price per share of \$25.50.
  - (5) After the transaction referenced in note (4), TRV III owned 285,713 shares.

- The reporting person is a partner of Third Rock Ventures GP III, L.P., which is the general partner of TRV III. The reporting person
- (6) disclaims beneficial ownership over the shares held by TRV III, except to the extent of his pecuniary interest therein, if any, and this report shall not be deemed an admission that he is the beneficial owner of such shares.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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