

Edgar Filing: TOPPS CO INC - Form SC 13G

TOPPS CO INC  
Form SC 13G  
February 14, 2002

SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

SCHEDULE 13G

Under the Securities Exchange Act of 1934  
(Amendment No....)

THE TOPPS COMPANY, INC.

-----  
(Name of Issuer)

Common Stock, par value \$0.01 per share

-----  
(Title of Class of Securities)

89078610

-----  
(CUSIP Number)

December 31, 2001

-----  
(Date of Event Which Requires Filing of this Statement)

Check the appropriate box to designate the rule pursuant to which this  
Schedule is filed:  
[ ] Rule 13d-1(b)  
[ ] Rule 13d-1(c)  
[x] Rule 13d-1(d)

\*The remainder of this cover page shall be filled out for a reporting person's  
initial filing on this form with respect to the subject class of securities, and  
for any subsequent amendment containing information which would alter the  
disclosures provided in a prior cover page.

The information required on the remainder of this cover page shall not be deemed  
to be "filed" for the purpose of Section 18 of the Securities Exchange Act of  
1934 ("Act") or otherwise subject to the liabilities of that section of the Act  
but shall be subject to all other provisions of the Act (however, see the  
Notes).

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Exhibit Index: Page 6

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CUSIP No. 89078610  
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13G

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1 NAME OF REPORTING PERSON  
S.S. OR I.R.S. IDENTIFICATION NO. OF ABOVE PERSON

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Arthur T. Shorin

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2 CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP\* (a) [ ]  
(b) [ ]

-----

3 SEC USE ONLY

-----

4 CITIZENSHIP OR PLACE OF ORGANIZATION

United States

-----

|  |   |                          |  |
|--|---|--------------------------|--|
|  | 5 | SOLE VOTING POWER        |  |
|  |   | 3,225,489                |  |
| NUMBER OF<br>SHARES<br>BENEFICIALLY<br>OWNED BY<br>EACH<br>REPORTING<br>PERSON<br>WITH | 6 | SHARED VOTING POWER      |  |
|  |   | -0-                      |  |
|  | 7 | SOLE DISPOSITIVE POWER   |  |
|  |   | 3,225,489                |  |
|  | 8 | SHARED DISPOSITIVE POWER |  |
|  |   | -0-                      |  |

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9 AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON

3,225,489

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10 CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES\*

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11 PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW 9

7.4%

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12 TYPE OF REPORTING PERSON\*

IN

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Item 1(a). Name of Issuer:  
-----

THE TOPPS COMPANY, INC. (the "Issuer")

Item 1(b). Address of Issuer's Principal Executive Offices:  
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One Whitehall Street  
New York, New York 10004

Items 2(a) Name of Person Filing; Address of Principal  
-----  
and (b). Business Office:  
-----

This statement is filed by Arthur T. Shorin ("Shorin"). The business address of Shorin is One Whitehall Street, New York, New York 10004.

Item 2(c). Citizenship:  
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United States

Item 2(d). Title of Class of Securities:  
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Common Stock, par value \$0.01 per share (the "Common Stock")

Item 2(e). CUSIP Number:  
-----

89078610

Item 3. Not Applicable

Item 4. Ownership:  
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(a) Amount beneficially owned: 3,225,489 shares of Common Stock beneficially owned by Shorin as of December 31, 2001.

(b) Percent of class: 7.4%

(c) (i) Sole power to vote or direct the vote: 3,225,489

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(ii) Shared power to vote or direct the vote: -0-

(iii) Sole power to dispose of or direct the disposition of: 3,225,489

(iv) Shared power to dispose of or direct the disposition of: -0-

Item 5. Ownership of Five Percent or Less of a Class:  
-----

Not Applicable.

Item 6. Ownership of More than Five Percent on Behalf of Another  
-----  
Person:

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Not Applicable

Item 7.

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Identification and Classification of the  
-----  
Subsidiary Which Acquired the Security Being  
-----  
Reported on By the Parent Holding Company:  
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Not Applicable

Item 8.

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Identification and Classification of  
-----  
Members of the Group:  
-----

Not Applicable

Item 9.

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Notice of Dissolution of Group:  
-----

Not Applicable

Item 10.

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Certification:  
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Not Applicable

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SIGNATURE

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

Dated: February 13, 2002

By: /s/ Arthur T. Shorin

-----  
Arthur T. Shorin

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EXHIBIT INDEX

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NONE