

SPECIAL OPPORTUNITIES FUND, INC.  
Form N-PX  
August 27, 2018

---

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, DC 20549

FORM N-PX

ANNUAL REPORT OF PROXY VOTING RECORD OF REGISTERED  
MANAGEMENT INVESTMENT COMPANY

Investment Company Act file number 811-07528

Special Opportunities Fund, Inc.  
(Exact name of registrant as specified in charter)

615 East Michigan Street

Milwaukee, WI 53202  
(Address of principal executive offices) (Zip code)

Andrew Dakos  
Bulldog Investors, LLC  
Park 80 West  
250 Pehle Avenue, Suite 708  
Saddle Brook, NJ 07663  
(Name and address of agent for service)

Copy to:  
Thomas R. Westle, Esq.  
Blank Rome LLP  
The Chrysler Building  
405 Lexington Avenue  
New York, NY 10174

Registrant's telephone number, including area code: 1-877-607-0414

Date of fiscal year end: December 31, 2018

Date of reporting period: July 1, 2017– June 30, 2018

---

Item 1. Proxy Voting Record.

Name of Fund: Special Opportunities Fund, Inc. (SPE)  
 Period: July 1, 2017 - June 30,2018

|                         |              |              |        |
|-------------------------|--------------|--------------|--------|
| Company Name            | Meeting Date | ISIN         | Ticker |
| PROSPECT JAPAN FUND LTD | 7/19/2017    | GB00B011QL44 | PJF LN |

|      |                             |          |                                  |
|------|-----------------------------|----------|----------------------------------|
| Vote | Management Recommended Vote | Proposal | Propose by issuer or shareholder |
|------|-----------------------------|----------|----------------------------------|

|     |     |  |        |                            |
|-----|-----|--|--------|----------------------------|
| FOR | FOR | 1 -THAT: (A) FOR THE PURPOSE OF GIVING EFFECT TO THE SCHEME BETWEEN TPJF AND THE SCHEME SHAREHOLDERS, A PRINT OF WHICH HAS BEEN PRODUCED TO THIS MEETING, THE INDEPENDENT TPJF DIRECTORS ARE AUTHORISED TO TAKE ALL SUCH ACTION AS THEY MAY CONSIDER NECESSARY OR APPROPRIATE; (B) TPJF'S ARTICLES OF INCORPORATION BE AMENDED BY THE INCLUSION OF A NEW ARTICLE 50, AS FURTHER SET OUT IN THE NOTICE OF THE GENERAL MEETING IN PART TEN OF THE SCHEME DOCUMENT; AND (C) THE FOLLOWING DEFINITION BE INSERTED IN TPJF'S ARTICLES OF INCORPORATION WHICH SHALL REPLACE THE EXISTING DEFINITION OF 'ORDINARY SHARES':<br>ORDINARY SHARES THE ORDINARY SHARES OF USD 0.001 EACH IN THE CAPITAL OF THE COMPANY | Issuer | Control #<br>0000085746303 |
| FOR | FOR | 2- THAT THE SALES POLICY, THE SALES REQUEST POLICY AND THE TERMINATION SALES POLICY, ALL AS DEFINED IN THE SCHEME DOCUMENT, BE HEREBY APPROVED   | Issuer | Control #<br>0000085746303 |
| FOR | FOR | 3 - THAT THE COMPANY BE APPOINTED AS THE REPRESENTATIVE OF THE NO ACTION SHAREHOLDERS FOR THE PURPOSES OF THE SETTLEMENT ARRANGEMENTS DESCRIBED IN THE SCHEME DOCUMENT   | Issuer | Control #<br>0000085746303 |
| FOR | FOR |  | Issuer |                            |

1- THAT THE SCHEME BETWEEN TPJF AND THE SCHEME SHAREHOLDRES, IN ITS ORIGINAL FORM OR WITH OR SUBJECT TO ANY MODIFICATION, ADDITION OR CONDITION APPROVED OR IMPOSED BY THE COURT, BE APPROVED.

Control #  
0000085758549

Name of Fund: Special Opportunities Fund, Inc. (SPE)  
 Period: July 1, 2017 - June 30,2018

|                             |              |           |        |
|-----------------------------|--------------|-----------|--------|
| Company Name                | Meeting Date | CUSIP     | Ticker |
| BARINGTON/HILCO ACQUISITION | 7/31/2017    | 06759v101 | BHAC   |

|      |                                      |                                  |
|------|--------------------------------------|----------------------------------|
| Vote | Management Recommended Proposal Vote | Propose by issuer or shareholder |
|------|--------------------------------------|----------------------------------|

|     |     |  |        |
|-----|-----|--|--------|
| For | For | 1. APPROVAL OF AN AMENDMENT TO THE COMPANY'S AMENDED AND RESTATED CERTIFICATE OF INCORPORATION TO EXTEND THE DATE BY WHICH THE COMPANY HAS TO CONSUMMATE A BUSINESS COMBINATION (THE "EXTENSION") FOR AN ADDITIONAL TWENTY (20) WEEKS, FROM AUGUST 11, 2017 (THE "CURRENT TERMINATION DATE") TO DECEMBER 31, 2017 (THE "EXTENDED TERMINATION DATE"). | Issuer |
|-----|-----|--|--------|

|     |     |  |        |
|-----|-----|--|--------|
| For | For | 2. APPROVAL OF AN AMENDMENT TO ALLOW THE COMPANY'S BOARD OF DIRECTORS TO ADJOURN THE SPECIAL MEETING TO A LATER DATE OR DATES TO PERMIT FURTHER SOLICITATION OF PROXIES. THIS PROPOSAL WILL ONLY BE PRESENTED TO THE COMPANY'S STOCKHOLDERS IN THE EVENT, BASED ON THE TABULATED VOTES, THERE ARE NOT SUFFICIENT VOTES AT THE TIME OF THE SPECIAL MEETING TO APPROVE PROPOSAL 1. | Issuer |
|-----|-----|--|--------|

|         |      |  |        |
|---------|------|--|--------|
| Against | None | 3. IF YOU INTEND TO EXERCISE YOUR REDEMPTION RIGHTS, PLEASE CHECK THIS 'FOR' BOX. CHECKING THIS BOX, HOWEVER, IS NOT SUFFICIENT TO EXERCISE YOUR REDEMPTION RIGHTS. YOU MUST COMPLY WITH THE PROCEDURES SET FORTH IN THE PROXY STATEMENT UNDER THE HEADING | Issuer |
|---------|------|--|--------|

"SPECIAL MEETING OF  
 BARINGTON/HILCO ACQUISITION CORP.  
 STOCKHOLDERS - REDEMPTION  
 RIGHTS." MARK "FOR"= YES; "AGAINST"=  
 NO.

4. I HEREBY CERTIFY THAT I AM NOT  
 ACTING IN CONCERT, OR AS A "GROUP"  
 (AS DEFINED IN SECTION 13(D)(3) OF THE  
 SECURITIES EXCHANGE ACT OF 1934, AS  
 AMENDED), WITH ANY OTHER  
 STOCKHOLDER WITH RESPECT TO THE  
 SHARES OF COMMON STOCK OF THE  
 COMPANY OWNED BY ME IN  
 CONNECTION WITH THE PROPOSALS.  
 "FOR"= I CERTIFY THAT I AM NOT.  
 "AGAINST"= I CERTIFY THAT I AM.

|     |      |  |        |
|-----|------|--|--------|
| For | None |  | Issuer |
|-----|------|--|--------|

|               |  |
|---------------|--|
| Name of Fund: | Special Opportunities Fund, Inc. (SPE) |
| Period:       | July 1, 2017 -<br>June 30,2018         |

|                              |              |           |        |
|------------------------------|--------------|-----------|--------|
| Company Name                 | Meeting Date | CUSIP     | Ticker |
| CLOUGH GLOBAL<br>EQUITY FUND | 8/3/2017     | 18914C100 | GLQ    |

|      |  |   |
|------|--|---|
| Vote | Management<br>Recommended Proposal<br>Vote | Propose by<br>issuer<br>or<br>shareholder |
|------|--|---|

|             |     |  |        |
|-------------|-----|--|--------|
| Mirror vote | For | 1.Election of trustees: 1) EDMUND BURKE,<br>2) VINCENT VERSACI, 3) CLIFFORD<br>WEBER | Issuer |
|-------------|-----|--|--------|

|               |  |
|---------------|--|
| Name of Fund: | Special Opportunities Fund, Inc. (SPE) |
| Period:       | July 1, 2017 -<br>June 30,2018         |

|  |              |           |        |
|--|--------------|-----------|--------|
| Company Name                           | Meeting Date | CUSIP     | Ticker |
| CLOUGH GLOBAL<br>OPPORTUNITIES<br>FUND | 8/3/2017     | 18914E106 | GLO    |

|      |  |   |
|------|--|---|
| Vote | Management<br>Recommended Proposal<br>Vote | Propose by<br>issuer<br>or<br>shareholder |
|------|--|---|

|             |     |   |        |
|-------------|-----|---|--------|
| Mirror vote | For | 1.Election of trustees: 1) ROBERT BUTLER,<br>2) KAREN DIGRAVIO, 3)KEVIN MCNALLY | Issuer |
|-------------|-----|---|--------|

|             |     |   |        |
|-------------|-----|---|--------|
| Mirror vote | FOR | 2. BE IT RESOLVED, THAT THE<br>SHAREHOLDERS OF CLOUGH GLOBAL<br>DIVIDEND AND INCOME TRUST {SIC* } | Issuer |
|-------------|-----|---|--------|

(THE "TRUST") HEREBY REQUEST THAT THE BOARD OF TRUSTEES OF THE TRUST (THE "BOARD") TAKE ALL NECESSARY STEPS IN ITS POWER TO DECLASSIFY THE BOARD SO THAT ALL DIRECTORS ARE ELECTED ON AN ANNUAL BASIS STARTING AT THE NEXT ANNUAL MEETING OF SHAREHOLDERS. SUCH DECLASSIFICATION SHALL BE COMPLETED IN A MANNER THAT DOES NOT AFFECT THE UNEXPIRED TERMS OF THE PREVIOUSLY ELECTED TRUSTEES.

Name of Fund: Special Opportunities Fund, Inc. (SPE)  
 Period: July 1, 2017 - June 30, 2018

| Company Name                      | Meeting Date | CUSIP     | Ticker |
|-----------------------------------|--------------|-----------|--------|
| PACIFIC SPECIAL ACQUISITION CORP. | 8/10/2017    | G68588105 | PAAC   |

| Vote | Management Recommended Vote | Propose by issuer or shareholder |
|------|-----------------------------|----------------------------------|
|------|-----------------------------|----------------------------------|

|     |     |  |        |
|-----|-----|--|--------|
| For | For | 1. THE BUSINESS COMBINATION PROPOSAL - TO CONSIDER AND VOTE UPON A PROPOSAL (I) TO APPROVE AND ADOPT THE MERGER AGREEMENT, DATED AS OF DECEMBER 27, 2016, AS AMENDED ON MAY 10, 2017 AND JUNE 29, 2017, AND AS IT MAY BE FURTHER AMENDED, BY AND AMONG PACIFIC, MERGER SUB, OUR SPONSOR AS THE PURCHASER REPRESENTATIVE, BORQS, SELLER REPRESENTATIVE, AND THE TRANSACTIONS CONTEMPLATED THEREBY (THE "BUSINESS COMBINATION"). | Issuer |
|-----|-----|--|--------|

|     |      |  |        |
|-----|------|--|--------|
| For | NONE | 1a. INTENTION TO EXERCISE REDEMPTION RIGHTS: IF YOU INTEND TO EXERCISE YOUR REDEMPTION RIGHTS, PLEASE CHECK THE "FOR" BOX CHECKING THIS BOX, HOWEVER, IS NOT SUFFICIENT TO EXERCISE YOUR REDEMPTION RIGHTS. YOU MUST COMPLY WITH THE PROCEDURES SET FORTH IN THE DEFINITIVE PROXY STATEMENT UNDER THE HEADING "MEETING OF PACIFIC SHAREHOLDERS - | Issuer |
|-----|------|--|--------|

|     |      |  |        |
|-----|------|--|--------|
|     |      | REDEMPTION RIGHTS." ("FOR"= YES;<br>"AGAINST"= NO.)  |        |
| For | NONE | 1b. SHAREHOLDER CERTIFICATION: I<br>HEREBY CERTIFY THAT I AM NOT<br>ACTING IN CONCERT, OR AS A "GROUP"<br>(AS DEFINED IN SECTION 13(D) (3) OF<br>THE SECURITIES EXCHANGE ACT OF<br>1934, AS AMENDED), WITH ANY OTHER<br>SHAREHOLDER WITH RESPECT TO THE<br>ORDINARY SHARES OF THE COMPANY<br>OWNED BY ME IN CONNECTION WITH<br>THE PROPOSED BUSINESS<br>COMBINATION BETWEEN THE<br>COMPANY AND BORQS. ("FOR"= I<br>CERTIFY THAT I AM NOT. "AGAINST"= I<br>CERTIFY THAT I AM.)  | Issuer |
| For | For  | 2. THE CHARTER AMENDMENT<br>PROPOSAL - TO APPROVE AND ADOPT,<br>SUBJECT TO AND CONDITIONAL ON<br>(BUT WITH IMMEDIATE EFFECT<br>THEREFROM) THE CONSUMMATION OF<br>THE BUSINESS COMBINATION, AN<br>AMENDMENT AND RESTATEMENT OF<br>PACIFIC'S CHARTER (MEMORANDUM<br>AND ARTICLES OF ASSOCIATION OF THE<br>COMPANY) CURRENTLY REGISTERED<br>BY THE REGISTRAR OF CORPORATE<br>AFFAIRS IN THE BRITISH VIRGIN<br>ISLANDS, AS SET OUT IN THE DRAFT<br>AMENDED AND RESTATED VERSION OF<br>OUR CHARTER APPENDED TO THE<br>PROXY STATEMENT AS ANNEX B ...(DUE<br>TO SPACE LIMITS, SEE PROXY<br>STATEMENT FOR FULL PROPOSAL). | Issuer |
| For | For  | 3. THE INCENTIVE PLAN PROPOSAL - TO<br>CONSIDER AND VOTE UPON A<br>PROPOSAL TO APPROVE AND ADOPT<br>THE BORQS TECHNOLOGIES, INC. 2017<br>EQUITY INCENTIVE PLAN.  | Issuer |
| For | For  | 4. THE INCENTIVE PLAN PROPOSAL - TO<br>CONSIDER AND VOTE UPON A<br>PROPOSAL TO APPROVE AND ADOPT<br>THE BORQS TECHNOLOGIES, INC. 2017<br>EQUITY INCENTIVE PLAN.  | Issuer |
| For | For  | 5. THE ADJOURNMENT PROPOSAL - TO<br>CONSIDER AND VOTE UPON A<br>PROPOSAL TO APPROVE THE<br>ADJOURNMENT OF THE MEETING OF<br>SHAREHOLDERS BY THE CHAIRMAN<br>THEREOF TO A LATER DATE, IF<br>NECESSARY, TO PERMIT FURTHER  | Issuer |

SOLICITATION AND VOTE OF PROXIES  
 IF, BASED UPON THE TABULATED VOTE  
 AT THE TIME OF THE MEETING, THERE  
 ARE NOT SUFFICIENT VOTES TO  
 APPROVE PROPOSALS 1, 2, 3 AND 4.

Name of Fund: Special Opportunities Fund, Inc. (SPE)  
 Period: July 1, 2017 -  
 June 30,2018

| Company Name                             | Meeting Date | CUSIP     | Ticker |
|--|--------------|-----------|--------|
| DELAWARE<br>ENHANCED<br>GBL DIV & INC FD | 8/16/2017    | 246060107 | DEX    |

| Vote        | Management<br>Recommended<br>Vote | Proposal   | Propose by<br>issuer<br>or<br>shareholder<br>Issuer |
|-------------|-----------------------------------|--|---|
| Mirror vote | For                               | 1. DIRECTOR<br>THOMAS L. BENNETT<br>ANN D. BOROWIEC<br>JOSEPH W. CHOW<br>JOHN A. FRY<br>SHAWN K. LYTLE<br>F.A. SEVILLA-SACASA<br>THOMAS K. WHITFORD<br>LUCINDA S. LANDRETH<br>JANET L. YEOMANS |   |

Name of Fund: Special Opportunities Fund, Inc. (SPE)  
 Period: July 1, 2017 -  
 June 30,2018

| Company Name                              | Meeting Date | CUSIP     | Ticker |
|---|--------------|-----------|--------|
| DELAWARE INVEST<br>DIVIDEND & INC<br>FUND | 8/16/2017    | 245915103 | DDF    |

| Vote        | Management<br>Recommended<br>Vote | Proposal  | Propose by<br>issuer<br>or<br>shareholder<br>Issuer |
|-------------|-----------------------------------|---|---|
| Mirror vote | For                               | 1. DIRECTOR<br>THOMAS L. BENNETT<br>ANN D. BOROWIEC<br>JOSEPH W. CHOW<br>JOHN A. FRY<br>SHAWN K. LYTLE<br>F.A. SEVILLA-SACASA<br>THOMAS K. WHITFORD |   |



Edgar Filing: SPECIAL OPPORTUNITIES FUND, INC. - Form N-PX

LUCINDA S. LANDRETH  
 JANET L. YEOMANS

Name of Fund: Special Opportunities Fund, Inc. (SPE)  
 Period: July 1, 2017 -  
 June 30,2018

|                                 |              |           |        |
|---------------------------------|--------------|-----------|--------|
| Company Name                    | Meeting Date | CUSIP     | Ticker |
| LIBERTY ALL-STAR<br>EQUITY FUND | 8/24/2017    | 530158104 | USA    |

|      |                                   |          |   |
|------|-----------------------------------|----------|---|
| Vote | Management<br>Recommended<br>Vote | Proposal | Propose by<br>issuer<br>or<br>shareholder |
|------|-----------------------------------|----------|---|

|             |     |   |        |
|-------------|-----|---|--------|
| Mirror vote | For | 1.Election of Directors: 1) THOMAS W.<br>BROCK, 2) GEIRGE GASPARI | Issuer |
|-------------|-----|---|--------|

|             |         |   |        |
|-------------|---------|---|--------|
| Mirror vote | Against | 3. IF PROPERLY PRESENTED AT THE<br>MEETING, TO CONSIDER AND VOTE<br>UPON THE SHAREHOLDER PROPOSAL<br>PRESENTED UNDER THE HEADING "<br>SHAREHOLDER PROPOSAL" IN THE<br>ACCOMPANYING PROXY STATEMENT. | Issuer |
|-------------|---------|---|--------|

Name of Fund: Special Opportunities Fund, Inc. (SPE)  
 Period: July 1, 2017 -  
 June 30,2018

|                                  |              |           |        |
|----------------------------------|--------------|-----------|--------|
| Company Name                     | Meeting Date | CUSIP     | Ticker |
| ANDINA<br>ACQUISITION<br>CORP II | 8/30/2017    | G0441P104 | ANDA   |

|      |                                   |          |   |
|------|-----------------------------------|----------|---|
| Vote | Management<br>Recommended<br>Vote | Proposal | Propose by<br>issuer<br>or<br>shareholder |
|------|-----------------------------------|----------|---|

|     |     |  |        |
|-----|-----|--|--------|
| For | For | 1. EXTENSION OF CORPORATE LIFE:<br>AMEND THE COMPANY'S AMENDED AND<br>RESTATED MEMORANDUM AND<br>ARTICLES OF ASSOCIATION TO EXTEND<br>THE DATE THAT THE COMPANY HAS TO<br>CONSUMMATE A BUSINESS<br>COMBINATION TO NOVEMBER 1, 2017 OR<br>SUCH EARLIER DATE AS DESCRIBED IN<br>THE PROXY STATEMENT. | Issuer |
|-----|-----|--|--------|

|     |     |  |        |
|-----|-----|--|--------|
| For | For | 2. APPROVAL OF CONVERSION: AMEND<br>THE COMPANY'S AMENDED AND<br>RESTATED MEMORANDUM AND<br>ARTICLES OF ASSOCIATION TO ALLOW<br>THE HOLDERS OF ORDINARY SHARES | Issuer |
|-----|-----|--|--------|

ISSUED IN THE COMPANY'S INITIAL PUBLIC OFFERING TO ELECT TO CONVERT THEIR PUBLIC SHARES INTO THEIR PRO RATA PORTION OF THE FUNDS HELD IN THE TRUST ACCOUNT ESTABLISHED AT THE TIME OF THE IPO IF THE EXTENSION IS IMPLEMENTED. 2A. ONLY IF YOU VOTE "FOR" PROPOSAL NUMBER 2 AND YOU HOLD ORDINARY SHARES OF THE COMPANY ISSUED IN THE COMPANY'S INITIAL PUBLIC OFFERING, OR PUBLIC SHARES, MAY YOU EXERCISE YOUR CONVERSION RIGHTS WITH RESPECT TO ALL OR A PORTION OF YOUR PUBLIC SHARES BY MARKING THE 'FOR' BOX, 'EXERCISE CONVERSION RIGHT' AND INDICATING HOW MANY PUBLIC SHARES FOR WHICH YOU ARE EXERCISING SUCH CONVERSION RIGHTS IN THE SPACE PROVIDED. IF YOU EXERCISE MARK "FOR" = YES OR "AGAINST" = NO ...(DUE TO SPACE LIMITS, SEE PROXY STATEMENT FOR FULL PROPOSAL).

Against

None

Issuer

Name of Fund: Special Opportunities Fund, Inc. (SPE)  
 Period: July 1, 2017 - June 30,2018

| Company Name                            | Meeting Date | CUSIP     | Ticker |
|---|--------------|-----------|--------|
| NEUBERGER<br>BERMAN<br>REAL EST SEC INC | 9/7/2017     | 64190A103 | NRO    |

| Vote        | Management<br>Recommended<br>Vote | Proposal  | Propose by<br>issuer<br>or<br>shareholder<br>Issuer |
|-------------|-----------------------------------|---|---|
| Mirror vote | For                               | 1. DIRECTOR<br>MARTHA C. GOSS<br>JAMES G. STAVRIDIS<br>CANDACE L. STRAIGHT<br>JOSEPH V. AMATO |   |

Name of Fund: Special Opportunities Fund, Inc. (SPE)  
 Period: July 1, 2017 - June 30,2018

| Company Name | Meeting Date | CUSIP     | Ticker |
|--------------|--------------|-----------|--------|
|              | 9/11/2017    | G67789126 | OACQ   |

ORIGO  
ACQUISITION  
CORP.

| Vote | Management<br>Recommended Proposal<br>Vote | Propose by<br>issuer<br>or<br>shareholder |
|------|--|---|
| FOR  | FOR  | Issuer                                    |
| FOR  | FOR  | Issuer                                    |
| FOR  | FOR  | Issuer                                    |

1. EXTENSION AMENDMENT: AMEND ORIGO'S AMENDED AND RESTATED MEMORANDUM AND ARTICLES OF ASSOCIATION TO EXTEND THE DATE THAT ORIGO HAS TO CONSUMMATE A BUSINESS COMBINATION TO MARCH 12, 2018 OR SUCH EARLIER DATE AS DETERMINED BY THE DIRECTORS.  
 2. EXERCISE REDEMPTION RIGHTS: YOU MAY EXERCISE YOUR REDEMPTION RIGHTS BY MARKING THE 'FOR' BOX, "EXERCISE REDEMPTION RIGHTS". IF YOU EXERCISE YOUR REDEMPTION RIGHTS, THEN YOU WILL BE EXCHANGING YOUR PUBLIC SHARES OF THE COMPANY FOR CASH AND YOU WILL NO LONGER OWN SUCH PUBLIC SHARES. YOU WILL ONLY BE ENTITLED TO RECEIVE CASH FOR THOSE PUBLIC SHARES IF YOU TENDER YOUR CERTIFICATES REPRESENTING SUCH REDEEMED PUBLIC SHARES TO THE COMPANY'S DULY APPOINTED AGENT PRIOR TO THE VOTE AT SUCH MEETING. MARK "FOR" = YES OR "AGAINST" = NO.  
 3. EXERCISE REDEMPTION RIGHTS: YOU MAY EXERCISE YOUR REDEMPTION RIGHTS BY MARKING THE 'FOR' BOX, "EXERCISE REDEMPTION RIGHTS". IF YOU EXERCISE YOUR REDEMPTION RIGHTS, THEN YOU WILL BE EXCHANGING YOUR PUBLIC SHARES OF THE COMPANY FOR CASH AND YOU WILL NO LONGER OWN SUCH PUBLIC SHARES. YOU WILL ONLY BE ENTITLED TO RECEIVE CASH FOR THOSE PUBLIC SHARES IF YOU TENDER YOUR CERTIFICATES REPRESENTING SUCH REDEEMED PUBLIC SHARES TO THE COMPANY'S DULY APPOINTED AGENT PRIOR TO THE VOTE AT SUCH MEETING. MARK "FOR" = YES OR "AGAINST" = NO.

Edgar Filing: SPECIAL OPPORTUNITIES FUND, INC. - Form N-PX

Name of Fund: Special Opportunities Fund, Inc. (SPE)  
 Period: July 1, 2017 -  
 June 30,2018

|                       |              |           |        |
|-----------------------|--------------|-----------|--------|
| Company Name          | Meeting Date | CUSIP     | Ticker |
| Asia Tigers Fund Inc. | 9/15/2017    | 04516T105 | GRR    |

|             |  |  |
|-------------|--|--|
| Vote        | Management<br>Recommended Proposal<br>Vote | Propose by<br>issuer<br>or<br>shareholder<br>Issuer                  |
| Mirror vote | For  | 1. DIRECTOR<br>Leslie H. Gelb<br>Nancy Yao Maasbach<br>Luis F. Rubio |

Name of Fund: Special Opportunities Fund, Inc. (SPE)  
 Period: July 1, 2017 -  
 June 30,2018

|                   |              |           |        |
|-------------------|--------------|-----------|--------|
| Company Name      | Meeting Date | CUSIP     | Ticker |
| Royce Value Trust | 9/28/2017    | 780910105 | RVT    |

|             |  |  |
|-------------|--|--|
| Vote        | Management<br>Recommended Proposal<br>Vote | Propose by<br>issuer<br>or<br>shareholder<br>Issuer                                |
| Mirror vote | For  | 1. DIRECTOR<br>Stephen L. Isaacs<br>Christofer D. Clark<br>Christopher C. Grisanti |

Name of Fund: Special Opportunities Fund, Inc. (SPE)  
 Period: July 1, 2017 -  
 June 30,2018

|                                |              |           |        |
|--------------------------------|--------------|-----------|--------|
| Company Name                   | Meeting Date | CUSIP     | Ticker |
| MFS CHARTER<br>INCOME<br>TRUST | 10/5/2017    | 552727109 | MCR    |

|             |  |   |
|-------------|--|---|
| Vote        | Management<br>Recommended Proposal<br>Vote | Propose by<br>issuer<br>or<br>shareholder<br>Issuer                               |
| Mirror vote | For  | 1. DIRECTOR<br>01. Steven E. Buller<br>02. Michael Hegarty<br>03. John P. Kavaugh |

Edgar Filing: SPECIAL OPPORTUNITIES FUND, INC. - Form N-PX

Name of Fund: Special Opportunities Fund, Inc. (SPE)  
 Period: July 1, 2017 - June 30,2018

| Company Name          | Meeting Date                | CUSIP   | Ticker                           |
|-----------------------|-----------------------------|---|----------------------------------|
| The Asia Pacific Fund | 10/10/2017                  | 044901106   | ABP                              |
|                       | Management Recommended Vote | Proposal  | Propose by issuer or shareholder |
| Mirror vote           | For                         | 1.1 Director: Michael J Downey (Term Expiring in 2020)  | Issuer                           |
| Mirror vote           | For                         | 1.1 Director: Duncan M. McFarland (Term Expiring in 2020)   | Issuer                           |
| Mirror vote           | For                         | 2, To approve, on an Advisory basis, the steps necessary to narrow materially or eliminate the fund's discount to net asset value, including through a merger or liquidation. | Issuer                           |

Name of Fund: Special Opportunities Fund, Inc. (SPE)  
 Period: July 1, 2017 - June 30,2018

| Company Name        | Meeting Date                | ISIN   | Ticker                           |
|---------------------|-----------------------------|--|----------------------------------|
| TERRA CATALYST FUND | 10/31/2017                  | KYG8761F1431   | TCF In                           |
|                     | Management Recommended Vote | Proposal   | Propose by issuer or shareholder |
| For                 | For                         | Receive and adopt the audited financial statements   | Issuer                           |
| Against             | For                         | Re-appointment of Martin Adams as a Director of the company.   | Issuer                           |
| For                 | For                         | Re-appointment of KPMG Audit LLC as Auditors of the company  | Issuer                           |
| For                 | For                         | Authorisation for the Directors to fix the remuneration of the Auditors.                                 | Issuer                           |
| For                 | For                         | To fix the fees of the Directors for the year ended 30 march 2018  | Issuer                           |
| Against             | For                         | To cancel the admission of the ordinary shares in the capital of the company to trading on AIM and TISE. | Issuer                           |

Name of Fund: Special Opportunities Fund, Inc. (SPE)  
 Period: July 1, 2017 - June 30,2018

Edgar Filing: SPECIAL OPPORTUNITIES FUND, INC. - Form N-PX

|                   |              |  |             |
|-------------------|--------------|--|-------------|
| Company Name      | Meeting Date | CUSIP  | Ticker      |
| MVC Capital, Inc. | 10/31/2017   | 553829102  | MVC         |
|                   | Management   |  | Propose by  |
| Vote              | Recommended  | Proposal   | issuer      |
|                   | Vote         |  | or          |
|                   |              |  | shareholder |
| For               | For          | 1. DIRECTOR<br>EMILIO DOMINIANNI<br>PHILLIP GOLDSTEIN<br>GERALD HELLERMAN<br>WARREN HOLTSBERG<br>ROBERT KNAPP<br>WILLIAM TAYLOR<br>MICHAEL TOKARZ                    | Issuer      |
|                   |              | 2. TO RATIFY THE SELECTION OF GRANT<br>THORNTON LLP AS THE FUND'S<br>INDEPENDENT REGISTERED PUBLIC   | Issuer      |
| For               | For          | ACCOUNTING FIRM FOR FISCAL YEAR<br>2017.   |             |
|                   |              | 3. SHARHOLDER PROPOSAL TO CEASE<br>TO MAKE ANY NEW INVESTMENTS, AND<br>FOR THE COMPANY TO RETURN ANY<br>CAPITAL IN EXCESS OF THE COMPANY'S<br>NORMAL WORKING CAPITAL | Issuer      |
| Against           | Against      | REQUIREMENTS TO SHAREHOLDERS IN<br>THE MOST TAX-EFFICIENT MANNER AS<br>LONG AS THE STOCK PRICE DISCOUNT<br>TO NET ASSET VALUE EXCEEDS 10%                            |             |

Name of Fund: Special Opportunities Fund, Inc. (SPE)  
 Period: July 1, 2017 - June 30,2018

|                                  |              |  |             |
|----------------------------------|--------------|--|-------------|
| Company Name                     | Meeting Date | CUSIP  | Ticker      |
| ANDINA<br>ACQUISITION<br>CORP II | 10/31/2017   | G0441P104  | ANDA        |
|                                  | Management   |  | Propose by  |
| Vote                             | Recommended  | Proposal   | issuer      |
|                                  | Vote         |  | or          |
|                                  |              |  | shareholder |
| For                              | For          | 1. EXTENSION OF CORPORATE LIFE:<br>AMEND THE COMPANY'S AMENDED AND<br>RESTATED MEMORANDUM AND<br>ARTICLES OF ASSOCIATION TO EXTEND<br>THE DATE THAT THE COMPANY HAS TO<br>CONSUMMATE A BUSINESS<br>COMBINATION TO FEBRUARY 1, 2018 | Issuer      |

|         |      |  |        |
|---------|------|--|--------|
| Against | None | <p>1A. ONLY IF YOU HOLD ORDINARY SHARES OF THE COMPANY ISSUED IN THE COMPANY'S INITIAL PUBLIC OFFERING, OR PUBLIC SHARES, MAY YOU EXERCISE YOUR CONVERSION RIGHTS WITH RESPECT TO ALL OR A PORTION OF YOUR PUBLIC SHARES BY MARKING THE "FOR" BOX BELOW AND INDICATING HOW MANY PUBLIC ... (DUE TO SPACE LIMITS, SEE PROXY STATEMENT FOR FULL PROPOSAL).</p> | Issuer |
|---------|------|--|--------|

Name of Fund: Special Opportunities Fund, Inc. (SPE)  
 Period: July 1, 2017 - June 30, 2018

|   |                           |                   |                |
|---|---------------------------|-------------------|----------------|
| Company Name<br>GREAT ELM<br>CAPITAL<br>CORP. | Meeting Date<br>11/2/2017 | CUSIP<br>39030109 | Ticker<br>GECC |
|---|---------------------------|-------------------|----------------|

|             |                                   |   |   |
|-------------|-----------------------------------|---|---|
| Vote        | Management<br>Recommended<br>Vote | Proposal  | Propose by<br>issuer<br>or<br>shareholder<br>Issuer |
| Mirror vote | For                               | DIRECTOR<br>MICHAEL C. SPELLER<br>RANDALL REVELL HORSEY<br>MARK KUPERSCHMID | Issuer  |

|             |     |   |        |
|-------------|-----|---|--------|
| Mirror vote | For | 2. TO RATIFY THE SELECTION OF<br>DELOITTE & TOUCHE LLP AS THE<br>COMPANY'S REGISTERED INDEPENDENT<br>ACCOUNTING FIRM FOR THE YEAR<br>ENDING DECEMBER 31, 2017 | Issuer |
|-------------|-----|---|--------|

Name of Fund: Special Opportunities Fund, Inc. (SPE)  
 Period: July 1, 2017 - June 30, 2018

|   |                           |                    |                |
|---|---------------------------|--------------------|----------------|
| Company Name<br>The Herzfeld<br>Caribbean<br>Basin Fund, Inc. | Meeting Date<br>11/6/2017 | CUSIP<br>42804T106 | Ticker<br>CUBA |
|---|---------------------------|--------------------|----------------|

|             |                                   |                                     |   |
|-------------|-----------------------------------|-------------------------------------|---|
| Vote        | Management<br>Recommended<br>Vote | Proposal                            | Propose by<br>issuer<br>or<br>shareholder<br>Issuer |
| Mirror Vote | For                               | 1. Directors: 01- Thomas J Herzfeld | Issuer  |

Name of Fund: Special Opportunities Fund, Inc. (SPE)

Edgar Filing: SPECIAL OPPORTUNITIES FUND, INC. - Form N-PX

Period: July 1, 2017 -  
June 30,2018

|                                    |              |           |        |
|------------------------------------|--------------|-----------|--------|
| Company Name                       | Meeting Date | CUSIP     | Ticker |
| BROOKFIELD DTLA<br>FD<br>OFFICE TR | 11/10/2017   | 112714209 | DTLA   |

|      |                                   |          |   |
|------|-----------------------------------|----------|---|
| Vote | Management<br>Recommended<br>Vote | Proposal | Propose by<br>issuer<br>or<br>shareholder |
|------|-----------------------------------|----------|---|

|     |     |  |             |
|-----|-----|--|-------------|
| For | N/A | 1. ELECTION OF TWO PREFERRED<br>DIRECTORS. 1A. PHILLIP GOLDSTEIN 1B.<br>ANDREW DAKOS | Shareholder |
|-----|-----|--|-------------|

|     |     |   |             |
|-----|-----|---|-------------|
| For | N/A | 2. TO ADJORN THE SPECIAL MEETING<br>IFA QUORUM IS NOT PRESENT | Shareholder |
|-----|-----|---|-------------|

Name of Fund: Special Opportunities Fund, Inc. (SPE)  
Period: July 1, 2017 -  
June 30,2018

|                                     |              |           |        |
|-------------------------------------|--------------|-----------|--------|
| Company Name                        | Meeting Date | CUSIP     | Ticker |
| JAPAN SMALL<br>CAPITALIZATION<br>FD | 11/15/2017   | 47109U104 | JOF    |

|      |                                   |          |   |
|------|-----------------------------------|----------|---|
| Vote | Management<br>Recommended<br>Vote | Proposal | Propose by<br>issuer<br>or<br>shareholder |
|------|-----------------------------------|----------|---|

|             |     |                             |        |
|-------------|-----|-----------------------------|--------|
| Mirror vote | For | DIRECTOR<br>Yutaka Itabashi | Issuer |
|-------------|-----|-----------------------------|--------|

Name of Fund: Special Opportunities Fund, Inc. (SPE)  
Period: July 1, 2017 -  
June 30,2018

|   |              |           |        |
|---|--------------|-----------|--------|
| Company Name                            | Meeting Date | CUSIP     | Ticker |
| THE TURKISH<br>INVESTMENT<br>FUND, INC. | 11/16/2017   | 900145103 | TKF    |

|      |                                   |          |   |
|------|-----------------------------------|----------|---|
| Vote | Management<br>Recommended<br>Vote | Proposal | Propose by<br>issuer<br>or<br>shareholder |
|------|-----------------------------------|----------|---|

|     |     |   |        |
|-----|-----|---|--------|
| FOR | For | 1. To liquidate and dissolve the Fund pursuant<br>to the Plan of Liquidation adopted by the Board<br>of Directors of the Fund | Issuer |
|-----|-----|---|--------|



Edgar Filing: SPECIAL OPPORTUNITIES FUND, INC. - Form N-PX

Name of Fund: Special Opportunities Fund, Inc. (SPE)  
 Period: July 1, 2017 - June 30,2018

|                            |              |           |        |
|----------------------------|--------------|-----------|--------|
| Company Name               | Meeting Date | CUSIP     | Ticker |
| STELLAR ACQISITION III INC | 11/28/2017   | Y8172W107 | STLR   |

|      |                             |          |                                  |
|------|-----------------------------|----------|----------------------------------|
| Vote | Management Recommended Vote | Proposal | Propose by issuer or shareholder |
|------|-----------------------------|----------|----------------------------------|

|     |     |  |        |
|-----|-----|--|--------|
| For | For | 1. DIRECTOR<br>ALEXANDROS ARGYROS<br>TIZIANO PARAVAGNA<br>ELEONORA (LIONA) BACHA | Issuer |
|-----|-----|--|--------|

|     |     |  |        |
|-----|-----|--|--------|
| For | For | 2. RATIFICATION OF THE SELECTION BY THE AUDIT COMMITTEE OF WITHUM SMITH+BROWN, PC TO SERVE AS OUR INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE YEAR ENDING NOVEMBER 30, 2017. | Issuer |
|-----|-----|--|--------|

Name of Fund: Special Opportunities Fund, Inc. (SPE)  
 Period: July 1, 2017 - June 30,2018

|                    |              |           |        |
|--------------------|--------------|-----------|--------|
| Company Name       | Meeting Date | CUSIP     | Ticker |
| THE THAI FUND, INC | 12/14/2017   | 882904105 | TTF    |

|      |                             |          |                                  |
|------|-----------------------------|----------|----------------------------------|
| Vote | Management Recommended Vote | Proposal | Propose by issuer or shareholder |
|------|-----------------------------|----------|----------------------------------|

|     |     |   |        |
|-----|-----|---|--------|
| For | For | 1. To liquidate and dissolve the Fund pursuant to the Plan of Liquidation adopted by the Board of Directors of the Fund | Issuer |
|-----|-----|---|--------|

Name of Fund: Special Opportunities Fund, Inc. (SPE)  
 Period: July 1, 2017 - June 30,2018

|                      |              |           |        |
|----------------------|--------------|-----------|--------|
| Company Name         | Meeting Date | CUSIP     | Ticker |
| NEXTDECADE CORP. WTS | 12/15/2017   | 65342K113 | NEXTW  |

|      |                             |          |                   |
|------|-----------------------------|----------|-------------------|
| Vote | Management Recommended Vote | Proposal | Propose by issuer |
|------|-----------------------------|----------|-------------------|

|                          |  |   |                                  |
|--------------------------|--|---|----------------------------------|
|                          |  |   | or<br>shareholder                |
| Against                  | For                                    | 1. TO ADOPT THE NEXTDECADE CORPORATION 2017 OMNIBUS INCENTIVE PLAN (THE "2017 EQUITY PLAN PROPOSAL").   | Issuer                           |
| Against                  | For                                    | 2. TO APPROVE THE ADJOURNMENT OF THE SPECIAL MEETING TO A LATER DATE OR DATES, IF NECESSARY OR APPROPRIATE, TO SOLICIT ADDITIONAL PROXIES IN THE EVENT THERE ARE NOT SUFFICIENT VOTES AT THE TIME OF THE SPECIAL MEETING TO APPROVE THE 2017 EQUITY PLAN PROPOSAL (THE "ADJOURNMENT PROPOSAL"). | Issuer                           |
| Name of Fund:            | Special Opportunities Fund, Inc. (SPE) |   |                                  |
| Period:                  | July 1, 2017 - June 30,2018            |   |                                  |
| Company Name             | Meeting Date                           | ISIN  | Ticker                           |
| BRITISH EMPIRE TRUST PLC | 12/20/2017                             | GB0001335081  | BTEM LN                          |
| Vote                     | Management Recommended Vote            | Proposal  | Propose by issuer or shareholder |
| For                      | For                                    | 1.TO RECEIVE AND ADOPT THE FINANCIAL STATEMENTS OF THE COMPANY FOR THE FINANCIAL YEAR ENDED 30 SEPTEMBER 2017 TOGETHER WITH THE STRATEGIC REPORT AND THE REPORTS OF THE DIRECTORS AND AUDITOR   | Issuer                           |
| For                      | For                                    | 2. TO APPROVE A FINAL ORDINARY DIVIDEND OF 10.0P PER ORDINARY SHARE   | Issuer                           |
| Against                  | For                                    | 3. TO ELECT CALUM THOMSON AS DIRECTOR OF THE COMPANY  | Issuer                           |
| Against                  | For                                    | 4. TO ELECT STEVEN BATES AS DIRECTOR OF THE COMPANY   | Issuer                           |
| Against                  | For                                    | 5. TO ELECT SUSAN NOBLE AS DIRECTOR OF THE COMPANY  | Issuer                           |
| Against                  | For                                    | 6. TO ELECT NIGEL RICH AS DIRECTOR OF THE COMPANY   | Issuer                           |
| For                      | For                                    | 7. TO APPOINT KPMG LLP AS THE COMPANY'S AUDITOR   | Issuer                           |
| For                      | For                                    | 8. TO AUTHORISE THE AUDIT COMMITTEE TO DETERMINE THE  | Issuer                           |

|         |     |   |        |
|---------|-----|---|--------|
|         |     | AUDITORS RENUMERATION.  |        |
| For     | For | 9. TO RECEIVE AND ADOPT THE DIRECTORS REMUNERATION IMPLEMENTATION REPORT  | Issuer |
| For     | For | 10. TO AUTHORISE THE DIRECTORS TO ALLOT ORDINARY SHARES   | Issuer |
| For     | For | 11. TO AUTHORISE THE DIRECTORS TO ALLOT OR SELL A LIMITED NUMBER OF ORDINARY SHARES OUTSIDE OF PRE-EMPTION RIGHTS | Issuer |
| For     | For | 12. TO AUTHORISE THE COMPANY TO PURCHASE ITS OWN SHARES   | Issuer |
| Against | For | 13. TO ADOPT NEW ARTICLES OF ASSOCIATION  | Issuer |

Name of Fund: Special Opportunities Fund, Inc. (SPE)  
 Period: July 1, 2017 - June 30,2018

|                             |              |           |        |
|-----------------------------|--------------|-----------|--------|
| Company Name                | Meeting Date | CUSIP     | Ticker |
| BARINGTON/HILCO ACQUISITION | 12/28/2017   | 06759V101 | BHAC   |

|      |                             |          |                                  |
|------|-----------------------------|----------|----------------------------------|
| Vote | Management Recommended Vote | Proposal | Propose by issuer or shareholder |
|------|-----------------------------|----------|----------------------------------|

|     |      |  |        |
|-----|------|--|--------|
| For | For  | 1. APPROVAL OF AN AMENDMENT TO THE COMPANY'S AMENDED AND RESTATED CERTIFICATE OF INCORPORATION TO EXTEND THE DATE BY WHICH THE COMPANY HAS TO CONSUMMATE A BUSINESS COMBINATION (THE "EXTENSION") FOR AN ADDITIONAL TWENTY-SIX (26) WEEKS, FROM DECEMBER 31, 2017 (THE "CURRENT TERMINATION DATE") TO JUNE 30, 2018 (THE "EXTENDED TERMINATION DATE").                           | Issuer |
| For | None | 2. APPROVAL OF AN AMENDMENT TO ALLOW THE COMPANY'S BOARD OF DIRECTORS TO ADJOURN THE SPECIAL MEETING TO A LATER DATE OR DATES TO PERMIT FURTHER SOLICITATION OF PROXIES. THIS PROPOSAL WILL ONLY BE PRESENTED TO THE COMPANY'S STOCKHOLDERS IN THE EVENT, BASED ON THE TABULATED VOTES, THERE ARE NOT SUFFICIENT VOTES AT THE TIME OF THE SPECIAL MEETING TO APPROVE PROPOSAL 1. | Issuer |

|     |      |  |        |
|-----|------|--|--------|
| For | None | <p>3. INTENTION TO EXERCISE REDEMPTION RIGHTS: IF YOU INTEND TO EXERCISE YOUR REDEMPTION RIGHTS, PLEASE CHECK "FOR" BOX. CHECKING THIS BOX, HOWEVER, IS NOT SUFFICIENT TO EXERCISE YOUR REDEMPTION RIGHTS. YOU MUST COMPLY WITH THE PROCEDURES SET FORTH IN THE PROXY STATEMENT UNDER THE HEADING "SPECIAL MEETING OF BARINGTON/HILCO ACQUISITION CORP. STOCKHOLDERS - REDEMPTION RIGHTS."</p> | Issuer |
|-----|------|--|--------|

|     |      |   |        |
|-----|------|---|--------|
| For | None | <p>4. SHAREHOLDER CERTIFICATION: I HEREBY CERTIFY THAT I AM NOT ACTING IN CONCERT, OR AS A "GROUP" (AS DEFINED IN SECTION 13(D) (3) OF THE SECURITIES EXCHANGE ACT OF 1934, AS AMENDED), WITH ANY OTHER STOCKHOLDER WITH RESPECT TO THE SHARES OF COMMON STOCK OF THE COMPANY OWNED BY ME IN CONNECTION WITH THE PROPOSALS.</p> | Issuer |
|-----|------|---|--------|

Name of Fund: Special Opportunities Fund, Inc. (SPE)  
 Period: July 1, 2017 - June 30,2018

|  |                           |                                  |              |
|--|---------------------------|----------------------------------|--------------|
| Company Name<br>Aberdeen Chile Fund,<br>Inc. | Meeting Date<br>1/19/2018 | CUSIP<br>00301WA00 (Dummy cusip) | Ticker<br>CH |
|--|---------------------------|----------------------------------|--------------|

|      |                                   |          |   |
|------|-----------------------------------|----------|---|
| Vote | Management<br>Recommended<br>Vote | Proposal | Propose by<br>issuer<br>or<br>shareholder |
|------|-----------------------------------|----------|---|

|     |     |  |        |
|-----|-----|--|--------|
| For | For | <p>1.1 ELECTION OF CLASS I DIRECTOR TO SERVE FOR A TERM EXPIRING IN 2021: NANCY YAO MAASBACH</p> | Issuer |
|-----|-----|--|--------|

|     |     |   |        |
|-----|-----|---|--------|
| For | For | <p>1.2 ELECTION OF CLASS II DIRECTOR TO SERVE FOR A TERM EXPIRING IN 2019: C. WILLIAM MAHER</p> | Issuer |
|-----|-----|---|--------|

|     |     |   |        |
|-----|-----|---|--------|
| For | For | <p>1.3 ELECTION OF CLASS I DIRECTOR TO SERVE FOR A TERM EXPIRING IN 2021: RAHN PORTER</p> | Issuer |
|-----|-----|---|--------|

Name of Fund: Special Opportunities Fund, Inc. (SPE)  
 Period: July 1, 2017 - June 30,2018

|              |              |       |        |
|--------------|--------------|-------|--------|
| Company Name | Meeting Date | CUSIP | Ticker |
|--------------|--------------|-------|--------|

Edgar Filing: SPECIAL OPPORTUNITIES FUND, INC. - Form N-PX

|                              |                                   |   |   |
|------------------------------|-----------------------------------|---|---|
| Aberdeen chile fund,<br>inc. | 1/19/2018                         | 00301W105   | CH  |
| Vote                         | Management<br>Recommended<br>Vote | Proposal  | Propose by<br>issuer<br>or<br>shareholder |
| For                          | For                               | 1. TO APPROVE AN AMENDMENT TO THE<br>FUND'S ARTICLES OF INCORPORATION<br>TO INCREASE THE TOTAL NUMBER OF<br>SHARES OF CAPITAL STOCK.  | Issuer                                    |
| For                          | For                               | 2. TO APPROVE THE ISSUANCE OF<br>ADDITIONAL SHARES OF COMMON<br>STOCK OF THE FUND IN CONNECTION<br>WITH THE REORGANIZATIONS OF<br>CERTAIN OTHER CLOSED-END FUNDS<br>INTO THE FUND.                  | Issuer                                    |
| For                          | For                               | 3. TO APPROVE THE ELIMINATION OF<br>THE FUND'S FUNDAMENTAL<br>INVESTMENT POLICY TO INVEST<br>PRIMARILY IN CHILEAN SECURITIES.   | Issuer                                    |
| For                          | For                               | 4. TO APPROVE THE ELIMINATION OF<br>THE FUND'S FUNDAMENTAL<br>INVESTMENT POLICY TO INVEST<br>PRIMARILY IN CHILEAN SECURITIES.   | Issuer                                    |
| For                          | For                               | 5. TO APPROVE AN AMENDMENT TO THE<br>FUND'S INVESTMENT ADVISORY<br>AGREEMENT TO PROVIDE THAT FEES<br>PAYABLE THEREUNDER WILL BE<br>CALCULATED AT A LOWER ANNUAL<br>RATE BASED SOLELY ON NET ASSETS. | Issuer                                    |

Name of Fund: Special Opportunities Fund, Inc. (SPE)  
 Period: July 1, 2017 -  
 June 30,2018

|  |              |           |        |
|--|--------------|-----------|--------|
| Company Name<br>ANDINA<br>ACQUISITION<br>CORP II | Meeting Date | CUSIP     | Ticker |
|  | 1/31/2018    | G0441P104 | ANDA   |

|      |                                   |  |   |
|------|-----------------------------------|--|---|
| Vote | Management<br>Recommended<br>Vote | Proposal   | Propose by<br>issuer<br>or<br>shareholder |
| For  | For                               | 1. EXTENSION OF CORPORATE LIFE:<br>AMEND THE COMPANY'S AMENDED AND<br>RESTATE MEMORANDUM AND<br>ARTICLES OF ASSOCIATION TO EXTEND<br>THE DATE THAT THE COMPANY HAS TO<br>CONSUMMATE A BUSINESS | Issuer                                    |

Edgar Filing: SPECIAL OPPORTUNITIES FUND, INC. - Form N-PX

|                   |  |  |   |
|-------------------|--|--|---|
|                   |  | COMBINATION TO APRIL 1, 2018   |   |
| For               | None                                   | 1a. TO CONVERT ORDINARY SHARES OF<br>THE COMPANY   | Issuer                                    |
| Name of Fund:     | Special Opportunities Fund, Inc. (SPE) |  |   |
| Period:           | July 1, 2017 -<br>June 30,2018         |  |   |
| Company Name      | Meeting Date                           | CUSIP  | Ticker                                    |
| FORUM Merger Corp | 2/20/2018                              | 34985B202  | FHCI                                      |
| Vote              | Management<br>Recommended<br>Vote      | Proposal   | Propose by<br>issuer<br>or<br>shareholder |
| For               | For                                    | 1. Pre-Merger Charter Amendment Proposal-to<br>approve and adopt, an amendment of Forum's<br>amended and restated certificate of<br>incorporation (the "Charter") to increase the<br>number of authorized shares of Class A<br>Common Stock from 40,000,000 to 200,000,000<br>shares for the purpose of carrying out the<br>Business Combination (as defined below).<br>2.To adopt and approve, the merger agreement<br>(the "Merger Agreement"), dated November 30,<br>2017, by and among Forum, FMC Merger<br>Subsidiary Corp., (the "Merger Sub I"), FMC<br>Merger SUBSIDIARY LLC, (THE "MERGER<br>SUB II"), ON THE ONE HAND, AND C1<br>INVESTMENT COP., ("C1") AND<br>CLEARLAKE CAPITAL MANAGEMENT III, Issuer<br>L.P. ("CLEARLAKE"), IN THE CAPACITY<br>AS THE SELLER REPRESENTATIVE, ON<br>THE OTHER HAND, AND APPROVE THE<br>TRANSACTIONS CONTEMPLATED<br>THEREBY, INCLUDING THE MERGER OF<br>MERGER SUB I WITH AND INTO C1 (THE<br>"BUSINESS COMBINATION").<br>3.TO APPROVE THE SPONSOR EARNOUT<br>LETTER AND AMENDMENT TO ESCROW<br>AGREEMENT, DATED NOVEMBER 30,<br>2017, WHICH AMENDS THE ESCROW<br>AGREEMENT DATED APRIL 6, 2017, BY<br>AND AMONG FORUM INVESTORS I, LLC,<br>Forum's sponsor (the "Sponsor"), Forum and<br>Continental Stock Transfer & Trust Company,<br>to release 4,312,500 shares of Class F Common<br>Stock of Forum ("Founders Shares") purchased<br>by the Sponsor prior to Forum's IPO from<br>escrow. | Issuer                                    |
| For               | For                                    |  | Issuer                                    |
| For               | For                                    |  | Issuer                                    |

|     |     |   |        |
|-----|-----|---|--------|
|     |     | <p>4.Nasdaq Proposal-to approve, for purposes of complying with applicable listing rules of The Nasdaq Stock Market Listing Rule 5635(d), the issuance of 17,959,375 shares of Class A Common Stock, par value \$0.0001 per share, of Forum pursuant to the subscription agreements, dated November 30, 2017 by and among Forum and the investors named therein, in connection with the closing of the Business Combination.</p>  |        |
| For | For | <p>5.Post-Merger Charter Amendment Proposal-to approve and adopt, subject to and conditional on (but with immediate effect therefrom), the approval of the Business Combination Proposal, the Nasdaq Proposal and the Incentive Plan Proposal and the consummation of the Business Combination, the following amendments and restatements of Forum's amended and restated certificate of incorporation.</p>   | Issuer |
| For | For | <p>5A.To divide the Combined Entity's board of directors into three classes with staggered three-year terms.</p>  | Issuer |
| For | For | <p>5B.To provide that any amendment to provisions of proposed Charter will require approval of the holders of a majority of all of the Combined Entity's entitled to vote generally in the election of directors so long as Clearlake holds at least a majority of the Combined Entity's entitled to vote generally at an election of directors, and otherwise any such amendment will require the approval of the holders of at least 66 2/3% of the Combined Entity's entitled to vote generally at an election of directors.</p> | Issuer |
| For | For | <p>5c. To provide that the Combined Entity opts out of Section 203 of the Delaware General Corporation Law, which prevents certain Delaware corporations, under certain circumstances, from engaging in a "business combination" with certain "interested stockholders" and their affiliates; for more information on Section 203 of the Delaware General Corporation Law, see the section of this proxy statement/prospectus.</p>  | Issuer |
| For | For | <p>5D.To provide that we may not engage in certain "business combinations" with any "interested stockholder" for a three- year period following the time that the stockholder became an interested stockholder, unless (1) prior to the date of the transaction, the Combined Entity's board approved either the business combination or the transaction; (2) the interested stockholder owned at least 85% of the Combined Entity's</p>  | Issuer |

|     |     |   |
|-----|-----|---|
|     |     | voting stock; or (3) on or subsequent to consummation of transaction, business combination is approved by Combined Entity's board.  |
| For | For | 5E.To provide that we may not engage in certain "business combinations" with any "interested stockholder" for a three- year period following the time that the stockholder became an interested stockholder, unless (1) prior to the date of the transaction, the Combined Entity's board approved either the business combination Issuer or the transaction; (2) the interested stockholder owned at least 85% of the Combined Entity's voting stock; or (3) on or subsequent to consummation of transaction, business combination is approved by Combined Entity's board. |
| For | For | 5F.To provide that we may not engage in certain "business combinations" with any "interested stockholder" for a three- year period following the time that the stockholder became an interested stockholder, unless (1) prior to the date of the transaction, the Combined Entity's board approved either the business combination Issuer or the transaction; (2) the interested stockholder owned at least 85% of the Combined Entity's voting stock; or (3) on or subsequent to consummation of transaction, business combination is approved by Combined Entity's board. |
| For | For | 5G.To provide that any action to be taken by the Combined Entity's stockholders may be taken by written consent or electronic transmission pursuant to Section 228 of the Delaware General Issuer Corporation Law only so long as Clearlake holds a majority of the Combined Entity's then-outstanding shares of capital stock entitled to vote generally at an election of directors.  |
| For | For | 5H.To amend the name of the new public entity to "ConvergeOne Holdings, Inc." from "Forum Issuer Merger Corporation".   |
| For | For | 5I.To amend the name of the new public entity to "ConvergeOne Holdings, Inc." from "Forum Issuer Merger Corporation".   |
| For | For | 5J.To increase the authorized shares of Common Issuer Stock to 1,000,000,000.   |
| For | For | 5K.To increase the authorized shares of preferred stock that the Combined Entity's board of directors could issue to increase the number of Issuer outstanding shares to discourage a takeover attempt to 10,000,000  |



|     |     |   |        |
|-----|-----|---|--------|
| For | For | 5L.To make the Combined Entity's corporate existence perpetual as opposed to Forum's corporate existence terminating 24 months following the closing if its initial public offering, and to remove from the proposed Charter the various provisions applicable only to specified purpose acquisition corporations contained in Forum's current amended and restated certificate of incorporation. | Issuer |
| For | For | 6.Incentive Plan Proposal-to approve the 2018 Equity Incentive Plan, a copy of which is appended to this proxy statement/prospectus as Annex D, in connection with the Business Combination.  | Issuer |
| For | For | 7.ESPP Proposal-to approve the 2018 Employee Stock Purchase Plan, a copy of which is appended to this proxy statement/prospectus as Annex E, in connection with the Business Combination.   | Issuer |
| For | For | 8.ESPP Proposal-to approve the 2018 Employee Stock Purchase Plan, a copy of which is appended to this proxy statement/prospectus as Annex E, in connection with the Business Combination.   | Issuer |

Name of Fund: Special Opportunities Fund, Inc. (SPE)  
 Period: July 1, 2017 - June 30,2018

|                                     |              |              |        |
|-------------------------------------|--------------|--------------|--------|
| Company Name                        | Meeting Date | CUSIP        | Ticker |
| JPMORGAN ASIAN INVESTMENT TRUST PLC | 2/26/2018    | GB0001320778 | JAI LN |

Voted 12/15/17

|      |                             |   |                                  |
|------|-----------------------------|---|----------------------------------|
| Vote | Management Recommended Vote | Proposal  | Propose by issuer or shareholder |
| FOR  | FOR                         | 1. TO RECEIVE THE DIRECTORS REPORT THE ANNUAL ACCOUNTS AND THE AUDITORS REPORT FOR THE YEAR ENDED 30TH SEPTEMBER 2017 | Issuer                           |
| FOR  | FOR                         | 2. TO APPROVE THE DIRECTORS REMUNERATION POLICY   | Issuer                           |
| FOR  | FOR                         | 3. TO APPROVE THE DIRECTORS REMUNERATION REPORT FOR THE YEAR ENDED 30TH SEPTEMBER 2017                                | Issuer                           |
| FOR  | FOR                         | 4.TO REAPPOINT MRS BRONWYN CURTIS AS A DIRECTOR   | Issuer                           |
| FOR  | FOR                         | 5.5TO REAPPOINT MR DEAN BUCKLEY AS A DIRECTOR   | Issuer                           |

Edgar Filing: SPECIAL OPPORTUNITIES FUND, INC. - Form N-PX

|     |     |   |        |
|-----|-----|---|--------|
| FOR | FOR | 6. TO REAPPOINT MR RONALD GOULD AS A DIRECTOR   | Issuer |
| FOR | FOR | 7. TO REAPPOINT MR PETER MOON AS A DIRECTOR   | Issuer |
| FOR | FOR | 8. TO REAPPOINT PRICEWATERHOUSECOOPERS LLP AS AUDITORS TO THE COMPANY                     | Issuer |
| FOR | FOR | 9. TO AUTHORISE THE DIRECTORS TO DETERMINE THE REMUNERATION OF THE AUDITORS               | Issuer |
| FOR | FOR | 10. TO GRANT AUTHORITY TO ALLOT NEW SHARES  | Issuer |
| FOR | FOR | 11. TO GRANT AUTHORITY TO DISAPPLY PRE-EMPTION RIGHTS ON ALLOTMENT OF RELEVANT SECURITIES | Issuer |
| FOR | FOR | 12. TO GRANT AUTHORITY TO REPURCHASE THE COMPANY'S SHARES                                 | Issuer |

Name of Fund: Special Opportunities Fund, Inc. (SPE)  
 Period: July 1, 2017 - June 30,2018

|                       |              |           |        |
|-----------------------|--------------|-----------|--------|
| Company Name          | Meeting Date | CUSIP     | Ticker |
| M III ACQUISTION CORP | 2/28/2018    | 55378T104 | MIII   |

| Vote | Management Recommended Vote | Proposal  | Propose by issuer or shareholder |
|------|-----------------------------|---|----------------------------------|
| For  | For                         | 1. Business Combination Proposal: To consider and vote upon a proposal to adopt the Agreement and Plan of Merger, dated as of November 3, 2017 (as amended by Amendment Nos. 1, 2, 3 and 4 thereto, dated November 15, 2017, December 27, 2017, January 9, 2018 and February 7, 2018, respectively, and as it may be further amended from time to time), and approve the transactions contemplated thereby. | Issuer                           |
| For  | For                         | 2. To consider and vote upon a proposal to authorize an additional 65,000,00 shares of Common Stock in connection with the Business Combination.  | Issuer                           |
| For  | For                         | 3. To amend the Company's amended and restated certificate of incorporation to provide for the classification of its board of directors into three classes of directors with staggered terms of office.   | Issuer                           |
| For  | For                         | 4. To consider and act upon a proposal to amend the Company's amended and restated certificate of incorporation to change the stockholder vote  | Issuer                           |

|     |     |   |
|-----|-----|---|
| For | For | <p>required to amend certain provisions of the post-combination company's proposed certificate of incorporation and bylaws.</p> <p>5. To consider and act upon a proposed amendment to the Company's amended and restated certificate of incorporation to elect not to be governed by Section 203 of the DGCL and, instead, to include provisions in our certificate of incorporation that are substantially similar to Section 203 of the DGCL, but exclude Issuer our Sponsors, Oaktree Capital Management, L.P. and IEA LLC and each of their respective successors, certain affiliates and each of their respective transferees from the definition of "interested stockholder," and to make certain related changes.</p> |
| For | For | <p>6. To consider and act upon a proposed amendment to provide for certain additional changes to the certificate of incorporation, including but not limited to changing the post-combination company's corporate name from "M III Acquisition Corp." to "Infrastructure and Energy Alternatives, Inc.," Issuer and eliminating certain provisions specific to our status as a blank check company, which our Board believes are necessary to adequately address the needs of the post-combination company, subject to approval by our stockholders at the Special Meeting.</p>   |
| For | For | <p>7. The Incentive Plan Proposal: To consider and vote on a proposal to adopt and approve the Infrastructure and Energy Alternatives, Inc. 2018 Equity Incentive Plan (the "Incentive Plan"), including the authorization of the initial Issuer share reserve under the Incentive Plan and also for purposes of complying with Section 162(m) of the Internal Revenue Code of 1986, as amended.</p>  |
| For | For | <p>8. The Adjournment Proposal: To consider and vote upon a proposal to approve the adjournment of the Special Meeting to a later date or dates, if necessary, to permit further solicitation and vote of proxies in the event that there are insufficient votes for, or otherwise in connection with, the approval of the Business Issuer Combination Proposal and the Charter Amendment Proposals. This proposal will only be presented at the Special Meeting if there are not sufficient votes to approve the Business Combination Proposal and Charter Amendment Proposals.</p>  |

Edgar Filing: SPECIAL OPPORTUNITIES FUND, INC. - Form N-PX

Name of Fund: Special Opportunities Fund, Inc. (SPE)  
 Period: July 1, 2017 - June 30,2018

|                                |              |           |        |
|--------------------------------|--------------|-----------|--------|
| Company Name                   | Meeting Date | CUSIP     | Ticker |
| CENTRAL<br>SECURITIES<br>CORP. | 3/2/2018     | 155123102 | CET    |

|             |  |   |
|-------------|--|---|
| Vote        | Management<br>Recommended Proposal<br>Vote | Propose by<br>issuer<br>or<br>shareholder<br>Issuer   |
| Mirror Vote | For  | 1. DIRECTOR<br>L. Price Blackford<br>Simms C. Browning<br>Donald G. Calder<br>David C. Colander<br>Jay R. Inglis<br>Wilmot H. Kidd<br>Wilmot H. Kidd IV |

|             |     |   |        |
|-------------|-----|---|--------|
| Mirror Vote | For | 2. Ratification of the appointment of KPMG<br>LLP as independent registered public<br>accounting firm for 2018. | Issuer |
|-------------|-----|---|--------|

Name of Fund: Special Opportunities Fund, Inc. (SPE)  
 Period: July 1, 2017 - June 30,2018

|                               |              |           |        |
|-------------------------------|--------------|-----------|--------|
| Company Name                  | Meeting Date | CUSIP     | Ticker |
| ORIGO<br>ACQUISITION<br>CORP. | 3/12/2018    | G67789126 | OACQF  |

|      |  |   |
|------|--|---|
| Vote | Management<br>Recommended Proposal<br>Vote | Propose by<br>issuer<br>or<br>shareholder |
|------|--|---|

|     |     |   |        |
|-----|-----|---|--------|
| For | For | 1. Amend Origo's amended and restated<br>memorandum and articles of association to<br>extend the date that Origo has to consummate a<br>business combination to June 12, 2018 or such<br>earlier date as determined by the Directors. | Issuer |
|-----|-----|---|--------|

|     |     |  |        |
|-----|-----|--|--------|
| For | For | 2. To direct the chairman of the Meeting to<br>adjourn the Meeting to a later date or dates, if<br>necessary, to permit further solicitation and vote<br>of proxies if, based upon the tabulated vote at<br>the time of the Meeting, there are not sufficient<br>votes to approve the Extension Amendment. | Issuer |
|-----|-----|--|--------|

|     |      |  |        |
|-----|------|--|--------|
| For | None |  | Issuer |
|-----|------|--|--------|

Edgar Filing: SPECIAL OPPORTUNITIES FUND, INC. - Form N-PX

2a. Only if you vote "FOR" or "AGAINST" Proposal 1 and you hold ordinary shares of the Company issued in the Company's initial public offering, or public shares, may you exercise your conversion rights with respect to all or a portion of your public shares by marking the "FOR" box. If you exercise your conversion rights, then you will be exchanging your public shares for cash and you will no longer own such public shares.

Name of Fund: Special Opportunities Fund, Inc. (SPE)  
 Period: July 1, 2017 - June 30,2018

| Company Name                          | Meeting Date | CUSIP     | Ticker |
|---------------------------------------|--------------|-----------|--------|
| ALPINE GLOBAL PREMIER PROPERTIES FUND | 3/14/2018    | 02083A103 | AWP    |

| Vote | Management Recommended Vote | Proposal | Propose by issuer or shareholder |
|------|-----------------------------|----------|----------------------------------|
|------|-----------------------------|----------|----------------------------------|

|             |     |  |        |
|-------------|-----|--|--------|
|             |     | DIRECTORS<br>JOHN SIEVWRIGHT<br>GERALD MALONE  |        |
| Mirror Vote | For | NANCY YAO MAASBACH   | Issuer |
| Mirror Vote | For | 1. To approve a sub-advisort agreement between the fund and Aberdeen Asset Managers Limited                                      | Issuer |
| Mirror Vote | For | 2. To approve a sub-advisort agreement by and among the fund, Aberdeen Asset Management Inc. and Aberdeen Asset Managers Limited | Issuer |

Name of Fund: Special Opportunities Fund, Inc. (SPE)  
 Period: July 1, 2017 - June 30,2018

| Company Name            | Meeting Date | CUSIP     | Ticker |
|-------------------------|--------------|-----------|--------|
| ABERDEEN SINGAPORE FUND | 3/16/2018    | 003244100 | SGF    |

| Vote | Management Recommended Vote | Proposal | Propose by issuer or shareholder |
|------|-----------------------------|----------|----------------------------------|
|------|-----------------------------|----------|----------------------------------|

|     |     |  |        |
|-----|-----|--|--------|
| For | For | 1.To approve an Agreement and Plan of Reorganization between Aberdeen Singapore Fund, Inc. (SGF) and Aberdeen Chile Fund, Inc. (CH), pursuant to which SGF will transfer | Issuer |
|-----|-----|--|--------|

Edgar Filing: SPECIAL OPPORTUNITIES FUND, INC. - Form N-PX

substantially all of its assets, subject to all stated liabilities, to CH in exchange for shares of CH, which SGF will distribute to shareholders in liquidation of SGF.

Name of Fund: Special Opportunities Fund, Inc. (SPE)  
 Period: July 1, 2017 - June 30,2018

| Company Name         | Meeting Date | CUSIP     | Ticker |
|----------------------|--------------|-----------|--------|
| ABERDEEN ISRAEL FUND | 3/16/2018    | 00301L109 | ISL    |

|      |                             |          |                                  |
|------|-----------------------------|----------|----------------------------------|
| Vote | Management Recommended Vote | Proposal | Propose by issuer or shareholder |
|------|-----------------------------|----------|----------------------------------|

|     |     |   |
|-----|-----|---|
| For | For | 1.To approve an Agreement and Plan of Reorganization between Aberdeen Israel Fund, Inc. (ISL) and Aberdeen Chile Fund, Inc. (CH), pursuant to which ISL will transfer substantially all of its assets, subject to all stated liabilities, to Issuer CH in exchange for shares of CH, which ISL will distribute to shareholders in liquidation of ISL. |
|-----|-----|---|

Name of Fund: Special Opportunities Fund, Inc. (SPE)  
 Period: July 1, 2017 - June 30,2018

| Company Name                | Meeting Date | CUSIP     | Ticker |
|-----------------------------|--------------|-----------|--------|
| ABERDEEN GREATER CHINA FUND | 3/16/2018    | 003031101 | GCH    |

|      |                             |          |                                  |
|------|-----------------------------|----------|----------------------------------|
| Vote | Management Recommended Vote | Proposal | Propose by issuer or shareholder |
|------|-----------------------------|----------|----------------------------------|

|     |     |   |
|-----|-----|---|
| For | For | 1.To approve an Agreement and Plan of Reorganization between Aberdeen Greater China Fund, Inc. (GCH) and Aberdeen Chile Fund, Inc. (CH), pursuant to which GCH will transfer substantially all of its assets, subject to all stated liabilities, to CH in exchange for shares of CH, which GCH will distribute to shareholders in liquidation of GCH. |
|-----|-----|---|

Name of Fund: Special Opportunities Fund, Inc. (SPE)  
 Period:

Edgar Filing: SPECIAL OPPORTUNITIES FUND, INC. - Form N-PX

July 1, 2017 -  
June 30,2018

|                                    |              |           |        |
|------------------------------------|--------------|-----------|--------|
| Company Name                       | Meeting Date | CUSIP     | Ticker |
| ABERDEEN LATIN AMERICA EQUITY FUND | 3/16/2018    | 00306K106 | LAQ    |

|      |                             |          |                                  |
|------|-----------------------------|----------|----------------------------------|
| Vote | Management Recommended Vote | Proposal | Propose by issuer or shareholder |
|------|-----------------------------|----------|----------------------------------|

|     |     |   |        |
|-----|-----|---|--------|
| For | For | 1. To approve an Agreement and Plan of Reorganization between Aberdeen Latin America Equity Fund, Inc. (LAQ) and Aberdeen Chile Fund, Inc. (CH), pursuant to which LAQ will transfer substantially all of its assets, subject to all stated liabilities, to CH in exchange for shares of CH, which LAQ will distribute to shareholders in liquidation of LAQ. | Issuer |
|-----|-----|---|--------|

Name of Fund: Special Opportunities Fund, Inc. (SPE)  
Period: July 1, 2017 - June 30,2018

|   |              |           |        |
|---|--------------|-----------|--------|
| Company Name                            | Meeting Date | CUSIP     | Ticker |
| ABERDEEN EMERG MKTS SMALLER CO OPP FUND | 3/16/2018    | 003017102 | ABE    |

|      |                             |          |                                  |
|------|-----------------------------|----------|----------------------------------|
| Vote | Management Recommended Vote | Proposal | Propose by issuer or shareholder |
|------|-----------------------------|----------|----------------------------------|

|     |     |  |        |
|-----|-----|--|--------|
| For | For | 1.To approve an Agreement and Plan of Reorganization between Aberdeen Emerging Markets Smaller Company Opportunities Fund, Inc. (ABE) and Aberdeen Chile Fund, Inc. (CH), pursuant to which ABE will transfer substantially all of its assets, subject to all stated liabilities, to CH in exchange for shares of CH, which ABE will distribute to shareholders in liquidation of ABE. | Issuer |
|-----|-----|--|--------|

Name of Fund: Special Opportunities Fund, Inc. (SPE)  
Period: July 1, 2017 - June 30,2018

|                    |              |           |        |
|--------------------|--------------|-----------|--------|
| Company Name       | Meeting Date | CUSIP     | Ticker |
| FRANKLIN UNIVERSAL | 3/16/2018    | 355145103 | FT     |

TRUST

|             |  |   |
|-------------|--|---|
| Vote        | Management<br>Recommended Proposal<br>Vote | Propose by<br>issuer<br>or<br>shareholder<br>Issuer   |
| Mirror vote | For  | 1. DIRECTOR<br>Harris J. Ashton<br>Terrence J. Checki<br>Mary C. Choksi<br>Edith E. Holiday<br>Gregory E. Johnson<br>Rupert H. Johnson, Jr.<br>J. Michael Luttig<br>Larry D. Thompson<br>John B. Wilson |

Name of Fund: Special Opportunities Fund, Inc. (SPE)  
 Period: July 1, 2017 - June 30,2018

|   |                           |                    |              |
|---|---------------------------|--------------------|--------------|
| Company Name<br>ABERDEEN<br>INDONESIA<br>FUND | Meeting Date<br>3/16/2018 | CUSIP<br>00305P106 | Ticker<br>IF |
|---|---------------------------|--------------------|--------------|

|      |  |   |
|------|--|---|
| Vote | Management<br>Recommended Proposal<br>Vote | Propose by<br>issuer<br>or<br>shareholder   |
| For  | For  | 1.To approve an Agreement and Plan of Reorganization between Aberdeen Indonesia Fund, Inc. (IF) and Aberdeen Chile Fund, Inc. (CH), pursuant to which IF will transfer substantially all of its assets, subject to all stated liabilities, to CH in exchange for shares of CH, which IF will distribute to shareholders in liquidation of IF.<br>Issuer |

Name of Fund: Special Opportunities Fund, Inc. (SPE)  
 Period: July 1, 2017 - June 30,2018

|  |                           |                    |               |
|--|---------------------------|--------------------|---------------|
| Company Name<br>ASIA TIGERS FUND<br>INC. | Meeting Date<br>3/16/2018 | CUSIP<br>04516T105 | Ticker<br>GRR |
|--|---------------------------|--------------------|---------------|

|      |  |   |
|------|--|---|
| Vote | Management<br>Recommended Proposal<br>Vote | Propose by<br>issuer<br>or<br>shareholder |
|------|--|---|



MIRROR VOTE For 1. To approve an Agreement and Plan of Reorganization between The Asia Tigers Fund, Inc. (GRR) and Aberdeen Chile Fund, Inc. (CH), pursuant to which GRR will transfer substantially all of its assets, subject to all stated liabilities, to CH in exchange for shares of CH, which GRR will distribute to shareholders in liquidation of GRR. Issuer

Name of Fund: Special Opportunities Fund, Inc. (SPE)  
 Period: July 1, 2017 - June 30,2018

| Company Name                    | Meeting Date | CUSIP     | Ticker |
|---------------------------------|--------------|-----------|--------|
| ALLIANCE CALIFORNIA MUNI INCOME | 3/28/2018    | 018546101 | AKP    |

| Vote        | Management Recommended Vote | Proposal   | Propose by issuer or shareholder |
|-------------|-----------------------------|--|----------------------------------|
| Mirror vote | For                         | 1. DIRECTOR<br>Marshall C. Turner, Jr.<br>Garry L. Moody<br>Earl D. Weiner | Issuer                           |

Name of Fund: Special Opportunities Fund, Inc. (SPE)  
 Period: July 1, 2017 - June 30,2018

| Company Name          | Meeting Date | CUSIP     | Ticker |
|-----------------------|--------------|-----------|--------|
| TRI-CONTINENTAL CORP. | 4/16/2018    | 895436103 | TY     |

| Vote        | Management Recommended Vote | Proposal   | Propose by issuer or shareholder |
|-------------|-----------------------------|--|----------------------------------|
| Mirror vote | For                         | 1. DIRECTOR<br>George S. Batejan<br>Kathleen Blatz<br>Edward J. Boudreau, Jr.<br>Pamela G. Carlton | Issuer                           |

|             |     |  |        |
|-------------|-----|--|--------|
| Mirror vote | For | To ratify the selection of PricewaterhouseCoopers LLP as the Corporation's independent registered public accounting firm | Issuer |
|-------------|-----|--|--------|

Name of Fund: Special Opportunities Fund, Inc. (SPE)  
 Period:

Edgar Filing: SPECIAL OPPORTUNITIES FUND, INC. - Form N-PX

July 1, 2017 -  
June 30,2018

| Company Name            | Meeting Date                      | CUSIP   | Ticker  |
|-------------------------|-----------------------------------|---|---|
| THE TAIWAN FUND<br>INC. | 4/17/2018                         | 874036106   | TWN   |
| Vote                    | Management<br>Recommended<br>Vote | Proposal  | Propose by<br>issuer<br>or<br>shareholder<br>Issuer |
| Mirror vote             | For                               | 1. DIRECTOR<br>William C. Kirby<br>Shelley Rigger<br>Anthony S. Clark<br>Thomas G. Kamp<br>Warren J. Olsen  |   |
| Mirror vote             | For                               | 2. To amend the Fund's by-laws to remove a<br>fundamental policy that restricts the Fund from<br>investing more than 10% of the Fund's total<br>assets (taken at current value) in a single issuer. | Issuer  |
| Mirror vote             | For                               | 3. To amend the Fund's by-laws to amend a<br>fundamental policy to require the Fund to invest<br>more than 25% of its total assets (taken at<br>current value) in the semi-conductor industry.      | Issuer  |

Name of Fund: Special Opportunities Fund, Inc. (SPE)  
Period: July 1, 2017 -  
June 30,2018

| Company Name   | Meeting Date                      | CUSIP  | Ticker  |
|--|-----------------------------------|--|---|
| GENERAL<br>AMERICAN<br>INVESTORS<br>COMPANY,<br>INC. | 4/18/2018                         | 368802104  | GAM   |
| Vote   | Management<br>Recommended<br>Vote | Proposal   | Propose by<br>issuer<br>or<br>shareholder<br>Issuer |
| MIRROR VOTE  | For                               | DIRECTOR<br><br>Mr. Berens<br>Mr. Cullman<br>Mr. Davidson<br>Ms. Del Villar<br>Mr. Gordan<br>Ms. Gotbaum<br>Mr. Knafel |   |

Edgar Filing: SPECIAL OPPORTUNITIES FUND, INC. - Form N-PX

|             |     |  |        |
|-------------|-----|--|--------|
| MIRROR VOTE | For | Ms. Lynch<br>Mr. Priest<br>Mr. Schirmer<br>2. Ratification of the selection of Ernst & Young<br>LLP as auditors. | Issuer |
|-------------|-----|--|--------|

Name of Fund: Special Opportunities Fund, Inc. (SPE)  
 Period: July 1, 2017 - June 30,2018

|   |                           |                    |               |
|---|---------------------------|--------------------|---------------|
| Company Name<br>ADAMS<br>DIVERSIFIED<br>EQUITY FUND | Meeting Date<br>4/19/2018 | CUSIP<br>006212104 | Ticker<br>ADX |
|---|---------------------------|--------------------|---------------|

|      |                                   |          |   |
|------|-----------------------------------|----------|---|
| Vote | Management<br>Recommended<br>Vote | Proposal | Propose by<br>issuer<br>or<br>shareholder<br>Issuer |
|------|-----------------------------------|----------|---|

|             |     |  |        |
|-------------|-----|--|--------|
| MIRROR VOTE | For | 1. DIRECTOR<br>Enrique R. Arzac<br>Kenneth J. Dale<br>Frederic A. Escherich<br>Roger W. Gale<br>Lauriann C. Kloppenburg<br>Kathleen T. McGahran<br>Craig R. Smith<br>Mark E. Stoeckle<br>2. The selection of PricewaterhouseCoopers<br>LLP as independent public auditors. | Issuer |
|-------------|-----|--|--------|

Name of Fund: Special Opportunities Fund, Inc. (SPE)  
 Period: July 1, 2017 - June 30,2018

|   |                           |                    |               |
|---|---------------------------|--------------------|---------------|
| Company Name<br>LAZARD WORLD<br>DIVIDEND<br>& INCOME FUND | Meeting Date<br>4/20/2018 | CUSIP<br>521076109 | Ticker<br>LOR |
|---|---------------------------|--------------------|---------------|

|      |                                   |          |   |
|------|-----------------------------------|----------|---|
| Vote | Management<br>Recommended<br>Vote | Proposal | Propose by<br>issuer<br>or<br>shareholder<br>Issuer |
|------|-----------------------------------|----------|---|

|             |     |  |        |
|-------------|-----|--|--------|
| Mirror vote | For | 1. DIRECTOR<br>Franci J. Blassberg<br>Richard Reiss, Jr.<br>Ashish Bhutani | Issuer |
|-------------|-----|--|--------|

Name of Fund: Special Opportunities Fund, Inc. (SPE)

Edgar Filing: SPECIAL OPPORTUNITIES FUND, INC. - Form N-PX

Period: July 1, 2017 -  
June 30,2018

|   |              |           |        |
|---|--------------|-----------|--------|
| Company Name                                      | Meeting Date | CUSIP     | Ticker |
| LAZARD GLOBAL<br>TOTAL<br>RETURN & INCOME<br>FUND | 4/20/2018    | 52106w103 | LGI    |

|             |                                   |  |   |
|-------------|-----------------------------------|--|---|
| Vote        | Management<br>Recommended<br>Vote | Proposal   | Propose by<br>issuer<br>or<br>shareholder<br>Issuer |
| Mirror Vote | For                               | 1. DIRECTOR<br>Robert M. Solmson<br>Nathan A. Paul |   |

Name of Fund: Special Opportunities Fund, Inc. (SPE)  
Period: July 1, 2017 -  
June 30,2018

|  |              |           |        |
|--|--------------|-----------|--------|
| Company Name                             | Meeting Date | CUSIP     | Ticker |
| PUTNAM HIGH<br>INCOME<br>SECURITIES FUND | 4/27/2018    | 746779107 | PCF    |

|                |                                   |  |   |
|----------------|-----------------------------------|--|---|
| Vote           | Management<br>Recommended<br>Vote | Proposal   | Propose by<br>issuer<br>or<br>shareholder<br>Shareholder<br>Shareholder |
| Against<br>For | For<br>Against                    | 1A. Fixing the number of trustees at twelve.<br>1B. DIRECTOR<br>Phillip Goldstein<br>Rajeev Das<br>Andrew Dakos<br>Richard Dayan<br>Gerald Hellerman<br>Ben H. Harris<br>Moritz Sell                       |   |
| For            | Against                           | 2. A non-binding proposal to request that the<br>board of trustees authorize a self-tender offer for<br>at least 50% of the outstanding common shares<br>of the fund at or close to net asset value (NAV). | Shareholder   |
| For            | Against                           | 3. To fix the number of trustees at seven.   | Shareholder   |

Name of Fund: Special Opportunities Fund, Inc. (SPE)  
Period: July 1, 2017 -  
June 30,2018

|              |              |       |        |
|--------------|--------------|-------|--------|
| Company Name | Meeting Date | CUSIP | Ticker |
|--------------|--------------|-------|--------|

Edgar Filing: SPECIAL OPPORTUNITIES FUND, INC. - Form N-PX

GREAT ELM  
CAPITAL  
CORP

5/3/2018 390320109

GECC

|         |             |   |             |
|---------|-------------|---|-------------|
|         | Management  |   | Propose by  |
| Vote    | Recommended | Proposal  | issuer      |
|         | Vote        |   | or          |
|         |             |   | shareholder |
| Against | For         | 1. DIRECTOR<br>Randall Revell Horsey<br>John E. Stuart  | Issuer      |
|         |             |   |             |
| For     | For         | 2. To ratify the selection of Deloitte & Touche<br>LLP as the Company's registered independent<br>public accounting firm for the year ending<br>December 31, 2018 | Issuer      |
|         |             |   |             |
| Against | For         | 3. To reduce the Company's required minimum<br>asset coverage ratio from 200% to 150% as<br>permitted under the Small Business Credit<br>Availability Act         |             |

Name of Fund: Special Opportunities Fund, Inc. (SPE)  
Period: July 1, 2017 -  
June 30,2018

|                        |              |           |        |
|------------------------|--------------|-----------|--------|
| Company Name           | Meeting Date | CUSIP     | Ticker |
| SOURCE CAPITAL,<br>INC | 5/14/2018    | 836144105 | SOR    |

|             |             |   |             |
|-------------|-------------|---|-------------|
|             | Management  |   | Propose by  |
| Vote        | Recommended | Proposal  | issuer      |
|             | Vote        |   | or          |
|             |             |   | shareholder |
| Mirror vote | For         | 1. DIRECTOR<br>Atwood<br>Brown<br>Lipson<br>Osborne<br>Pisano<br>Purcell<br>Rudnick | Issuer      |

Name of Fund: Special Opportunities Fund, Inc. (SPE)  
Period: July 1, 2017 -  
June 30,2018

|                                     |              |           |        |
|-------------------------------------|--------------|-----------|--------|
| Company Name                        | Meeting Date | CUSIP     | Ticker |
| GABELLI GBL SML<br>&<br>MID CAP VAL | 5/14/2018    | 36249W104 | GGZ    |

Vote Management Proposal

Edgar Filing: SPECIAL OPPORTUNITIES FUND, INC. - Form N-PX

|             |                     |                                    |   |
|-------------|---------------------|------------------------------------|---|
|             | Recommended<br>Vote |                                    | Propose by<br>issuer<br>or<br>shareholder<br>Issuer |
| Mirror vote | For                 | 1. DIRECTOR<br>Anthony J. Covavita |   |

Name of Fund: Special Opportunities Fund, Inc. (SPE)  
 Period: July 1, 2017 -  
 June 30,2018

|                                    |              |           |        |
|------------------------------------|--------------|-----------|--------|
| Company Name                       | Meeting Date | CUSIP     | Ticker |
| STEWART<br>INFORMATION<br>SERVICES | 5/22/2018    | 860372101 | STC    |

|      |                                   |   |   |
|------|-----------------------------------|---|---|
|      | Management<br>Recommended<br>Vote | Proposal  | Propose by<br>issuer<br>or<br>shareholder<br>Issuer |
| Vote | For                               | 1. DIRECTOR<br>Arnaud Ajdler<br>Thomas G. Apel<br>C. Allen Bradley, Jr.<br>James Chadwick<br>Glenn C. Christenson<br>Robert L. Clarke<br>Frederick H. Eppinger<br>Matthew W. Morris<br>Clifford Press |   |

|     |     |  |        |
|-----|-----|--|--------|
| For | For | 2. Approval of the compensation of Stewart Information Services Corporation's named executive officers (Say-on-Pay). | Issuer |
|-----|-----|--|--------|

|     |     |  |        |
|-----|-----|--|--------|
| For | For | 3. Approval of the compensation of Stewart Information Services Corporation's named executive officers (Say-on-Pay). | Issuer |
|-----|-----|--|--------|

|     |     |  |        |
|-----|-----|--|--------|
| For | For | 4. Approval of the compensation of Stewart Information Services Corporation's named executive officers (Say-on-Pay). | Issuer |
|-----|-----|--|--------|

Name of Fund: Special Opportunities Fund, Inc. (SPE)  
 Period: July 1, 2017 -  
 June 30,2018

|                                 |              |           |        |
|---------------------------------|--------------|-----------|--------|
| Company Name                    | Meeting Date | CUSIP     | Ticker |
| STELLAR<br>ACQUITION III<br>INC | 5/22/2018    | Y8172W107 | STLR   |

|      |            |          |
|------|------------|----------|
| Vote | Management | Proposal |
|------|------------|----------|

Edgar Filing: SPECIAL OPPORTUNITIES FUND, INC. - Form N-PX

|     | Recommended<br>Vote |  | Propose by<br>issuer<br>or<br>shareholder |
|-----|---------------------|--|---|
| For | For                 | 1. Extension Amendment Proposal: Amend the Company's second amended and restated articles of incorporation to extend the date that the Company has to consummate a business combination from May 24, 2018 to November 26, 2018 or such earlier date as determined by the Board.                | Issuer                                    |
| For | For                 | 2. Extension Amendment Proposal: Amend the Company's second amended and restated articles of incorporation to extend the date that the Company has to consummate a business combination from May 24, 2018 to November 26, 2018 or such earlier date as determined by the Board.                | Issuer                                    |
| For | For                 | 3. Adjournment Proposal: Adjourn the Special Meeting of shareholders to a later date or dates, if necessary, to permit further solicitation and vote of proxies in the event that there are insufficient votes for, or otherwise in connection with, the approval of Proposal 1 or Proposal 2. | Issuer                                    |
| For | None                | 4. Adjournment Proposal: Adjourn the Special Meeting of shareholders to a later date or dates, if necessary, to permit further solicitation and vote of proxies in the event that there are insufficient votes for, or otherwise in connection with, the approval of Proposal 1 or Proposal 2. | Issuer                                    |

Name of Fund: Special Opportunities Fund, Inc. (SPE)  
 Period: July 1, 2017 - June 30, 2018

| Company Name                | Meeting Date | CUSIP     | Ticker |
|-----------------------------|--------------|-----------|--------|
| Tropicana Entertainment Inc | 5/24/2018    | 89708x105 | TPCA   |

| Vote | Management<br>Recommended<br>Vote | Proposal  | Propose by<br>issuer<br>or<br>shareholder |
|------|-----------------------------------|---|---|
| For  | For                               | 1. DIRECTOR<br>Daniel A. Cassella<br>Hunter C. Gary<br>Carl C. Icahn<br>William A. Leidesdorf<br>Daniel H. Scott<br>Anthony P. Rodio<br>Keith Cozza | Issuer                                    |

Edgar Filing: SPECIAL OPPORTUNITIES FUND, INC. - Form N-PX

|     |     |   |        |
|-----|-----|---|--------|
| For | For | 2. To ratify the appointment of Grant Thornton LLP as the Company's independent registered public accounting firm for the fiscal year ending December 31, 2018. | Issuer |
|-----|-----|---|--------|

|     |     |   |        |
|-----|-----|---|--------|
| For | For | 3. To vote on an advisory resolution to approve executive compensation of the Company's named executive officers, as disclosed in the Proxy Statement | Issuer |
|-----|-----|---|--------|

Name of Fund: Special Opportunities Fund, Inc. (SPE)  
 Period: July 1, 2017 - June 30,2018

|   |                           |                    |                |
|---|---------------------------|--------------------|----------------|
| Company Name<br>EMERGENT<br>CAPITAL, INC. | Meeting Date<br>5/31/2018 | CUSIP<br>29102N105 | Ticker<br>EMGC |
|---|---------------------------|--------------------|----------------|

|      |                                   |          |   |
|------|-----------------------------------|----------|---|
| Vote | Management<br>Recommended<br>Vote | Proposal | Propose by<br>issuer<br>or<br>shareholder<br>Issuer |
|------|-----------------------------------|----------|---|

|     |     |   |  |
|-----|-----|---|--|
| For | For | 1. DIRECTOR<br>Patrick J. Curry<br>Matthew Epstein<br>James Hua<br>Robert Knapp<br>Roy J. Patterson<br>Joseph E. Sarachek |  |
|-----|-----|---|--|

|     |     |   |        |
|-----|-----|---|--------|
| For | For | 2. Proposal to approve, by non-binding advisory vote, executive compensation. | Issuer |
|-----|-----|---|--------|

|     |     |   |        |
|-----|-----|---|--------|
| For | For | 3. Proposal to increase the size of the Board of Directors to nine (9) directors. | Issuer |
|-----|-----|---|--------|

|     |     |   |        |
|-----|-----|---|--------|
| For | For | 4. Proposal to amend the Bylaws to modify the requirements for changing the size of the Board of Directors. | Issuer |
|-----|-----|---|--------|

|     |     |  |        |
|-----|-----|--|--------|
| For | For | 5. Proposal to ratify the appointment of Grant Thornton LLP as our independent registered public accounting firm for the fiscal year ending December 31, 2018. | Issuer |
|-----|-----|--|--------|

Name of Fund: Special Opportunities Fund, Inc. (SPE)  
 Period: July 1, 2017 - June 30,2018

|  |                           |                    |               |
|--|---------------------------|--------------------|---------------|
| Company Name<br>THE NEW IRELAND<br>FUND,<br>INC. | Meeting Date<br>6/11/2018 | CUSIP<br>645673104 | Ticker<br>IRL |
|--|---------------------------|--------------------|---------------|

|      |            |          |                      |
|------|------------|----------|----------------------|
| Vote | Management | Proposal | Propose by<br>issuer |
|------|------------|----------|----------------------|



Edgar Filing: SPECIAL OPPORTUNITIES FUND, INC. - Form N-PX

|             |                     |                                     |                             |
|-------------|---------------------|-------------------------------------|-----------------------------|
|             | Recommended<br>Vote |                                     | or<br>shareholder<br>Issuer |
| Mirror Vote | For                 | 1. DIRECTOR<br>1- Michael Pignataro |                             |

Name of Fund: Special Opportunities Fund, Inc. (SPE)  
 Period: July 1, 2017 - June 30,2018

|                         |              |           |        |
|-------------------------|--------------|-----------|--------|
| Company Name            | Meeting Date | CUSIP     | Ticker |
| JURIDICA<br>INVESTMENTS | 6/12/2018    | G5215N102 | JIL LN |

|      |                                   |          |   |
|------|-----------------------------------|----------|---|
|      | Management<br>Recommended<br>Vote | Proposal | Propose by<br>issuer<br>or<br>shareholder |
| Vote |                                   |          |   |

|     |     |  |        |
|-----|-----|--|--------|
| For | For | 1. THAT EACH OF THE ANNUAL REPORT<br>AND ACCOUNTS FOR THE PERIOD FROM<br>1 JANUARY 2017 TO 31 DECEMBER 2017,<br>THE DIRECTOR'S REPORT AND THE<br>AUDITOR'S REPORT BE RECEIVED AND<br>ADOPTED | Issuer |
|-----|-----|--|--------|

|     |     |  |        |
|-----|-----|--|--------|
| For | For | 2. THAT THE TOTAL AGGREGATE<br>REMUNERATION OF THE DIRECTORS OF<br>THE COMPANY BEING USD 176,074.00 IN<br>RESPECT OF THE YEAR ENDED 31<br>DECEMBER 2017, BE APPROVED | Issuer |
|-----|-----|--|--------|

|     |     |   |        |
|-----|-----|---|--------|
| For | For | 3.THAT MR RICHARD BATTEY BE<br>RE-ELECTED AS A DIRECTOR OF THE<br>COMPANY | Issuer |
|-----|-----|---|--------|

|     |     |  |        |
|-----|-----|--|--------|
| For | For | 4. THAT PRICEWATERHOUSECOOPERS CI<br>LLP BE RE-APPOINTED AS AUDITORS OF<br>THE COMPANY | Issuer |
|-----|-----|--|--------|

|     |     |   |        |
|-----|-----|---|--------|
| For | For | 5. THAT THE DIRECTORS BE<br>AUTHORISED TO FIX THE<br>REMUNERATION OF THE AUDITORS | Issuer |
|-----|-----|---|--------|

|     |     |   |        |
|-----|-----|---|--------|
| For | For | 6. THAT THE COMPANY GENERALLY BE<br>AND IS HEREBY AUTHORISED FOR THE<br>PURPOSES OF SECTION 315 OF THE<br>COMPANIES LAW TO MAKE ONE OR<br>MORE MARKET ACQUISITIONS (AS<br>DEFINED IN THE COMPANIES LAW) OF<br>ORDINARY SHARES IN THE CAPITAL OF<br>THE COMPANY. | Issuer |
|-----|-----|---|--------|

Name of Fund: Special Opportunities Fund, Inc. (SPE)  
 Period: July 1, 2017 - June 30,2018

|              |              |       |        |
|--------------|--------------|-------|--------|
| Company Name | Meeting Date | CUSIP | Ticker |
|--------------|--------------|-------|--------|

|                              |  |   |                                  |
|------------------------------|--|---|----------------------------------|
| TRINITY PLACE HOLDINGS, INC. | 6/14/2018                              | 89656D101   | TPHS                             |
| Vote                         | Management Recommended Vote            | Proposal  | Propose by issuer or shareholder |
| For                          | For                                    | 1. Directors: 01.Alexander C. Matina, 02.Jeffrey B. Citrin  | Issuer                           |
| For                          | For                                    | 2. To ratify the appointment of BDO USA, LLP as the independent registered public accounting firm for the fiscal year ending December 31, 2018.   | Issuer                           |
| For                          | For                                    | 3. To approve, on an advisory basis, the compensation of the Company's named executive officers.  | Issuer                           |
| Name of Fund:                | Special Opportunities Fund, Inc. (SPE) |   |                                  |
| Period:                      | July 1, 2017 - June 30,2018            |   |                                  |
| Company Name                 | Meeting Date                           | CUSIP   | Ticker                           |
| MI ACQUISTIONS, INC          | 6/15/2018                              | 55304A104   | MACQ                             |
| Vote                         | Management Recommended Vote            | Proposal  | Propose by issuer or shareholder |
| For                          | For                                    | 1. Directors: 01.Alexander C. Matina, 02.Jeffrey B. Citrin  | Issuer                           |
| For                          | For                                    | 2. APPROVAL OF AN AMENDMENT TO THE COMPANY'S AMENDED AND RESTATED CERTIFICATE OF INCORPORATION TO EXTEND THE DATE BY WHICH THE COMPANY HAS TO CONSUMMATE A BUSINESS COMBINATION (THE "EXTENSION") FOR AN ADDITIONAL 90 DAYS, TO SEPTEMBER 17, 2018.   | Issuer                           |
| For                          | For                                    | 3. APPROVAL OF AN AMENDMENT TO THE COMPANY'S EXISTING INVESTMENT MANAGEMENT TRUST AGREEMENT TO MAKE CHANGES NECESSARY TO REFLECT THE EXTENSION.RATIFICATION OF THE APPOINTMENT OF MARCUM LLP AS THE COMPANY'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE FISCAL YEAR ENDING DECEMBER 31, | Issuer                           |

2018.

|     |     |   |        |
|-----|-----|---|--------|
| For | For | 4. RATIFICATION OF THE APPOINTMENT OF MARCUM LLP AS THE COMPANY'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE FISCAL YEAR ENDING DECEMBER 31, 2018. | Issuer |
|-----|-----|---|--------|

|     |     |   |        |
|-----|-----|---|--------|
| For | For | 4A.Intention to Exercise Conversion Rights. If you intend to exercise your conversion rights, please check For box. Checking this For box, however, is not sufficient to exercise your conversion rights. You must comply with the procedures set forth in the proxy statement under the heading "Conversion Rights." | Issuer |
|-----|-----|---|--------|

Name of Fund: Special Opportunities Fund, Inc. (SPE)  
 Period: July 1, 2017 - June 30,2018

| Company Name                 | Meeting Date | CUSIP     | Ticker |
|------------------------------|--------------|-----------|--------|
| THE SWISS HELVETIA FUND, INC | 6/19/2018    | 870875101 | SWZ    |

|      |                             |          |                                  |
|------|-----------------------------|----------|----------------------------------|
| Vote | Management Recommended Vote | Proposal | Propose by issuer or shareholder |
|------|-----------------------------|----------|----------------------------------|

|     |   |             |
|-----|---|-------------|
| For | 1.ELECTION OF TWO DIRECTOR<br>1- Phillip Goldstein<br>2- Gerald Hellerman | Shareholder |
|-----|---|-------------|

|     |  |             |
|-----|--|-------------|
| For | 2. To ratify Tait, Weller & Baker, LLP as the Fund's independent registered public accounting firm for 2018. | Shareholder |
|-----|--|-------------|

|         |   |             |
|---------|---|-------------|
| Abstain | 3. To approve a non-binding proposal to amend the fund's bylaws "to give holders in the aggregate of 10% of our outstanding common stock the power to call a special shareowner meeting." | Shareholder |
|---------|---|-------------|

|     |   |             |
|-----|---|-------------|
| For | 4. To request a self-tender offer for at least 50% of the outstanding common shares of the fund at or close to net asset value. | Shareholder |
|-----|---|-------------|

Name of Fund: Special Opportunities Fund, Inc. (SPE)  
 Period: July 1, 2017 - June 30,2018

| Company Name                     | Meeting Date | CUSIP     | Ticker |
|----------------------------------|--------------|-----------|--------|
| ABERDEEN JAPAN EQUITY FUND, INC. | 6/20/2018    | 00306J109 | JEQ    |

Edgar Filing: SPECIAL OPPORTUNITIES FUND, INC. - Form N-PX

|             |  |   |
|-------------|--|---|
| Vote        | Management<br>Recommended Proposal<br>Vote | Propose by<br>issuer<br>or<br>shareholder<br>Issuer |
| Mirror Vote | For  | 1. Class I DIRECTOR<br>1- Radhika Ajmera            |

Name of Fund: Special Opportunities Fund, Inc. (SPE)  
 Period: July 1, 2017 -  
 June 30,2018

|   |              |           |        |
|---|--------------|-----------|--------|
| Company Name                              | Meeting Date | CUSIP     | Ticker |
| MORGAN STANLEY<br>ASIA-PACIFIC FD,<br>INC | 6/21/2018    | 61744U106 | APF    |

|             |  |   |
|-------------|--|---|
| Vote        | Management<br>Recommended Proposal<br>Vote | Propose by<br>issuer<br>or<br>shareholder<br>Issuer                           |
| Mirror Vote | For  | 1. Class II DIRECTOR<br>Nancy C. Everett<br>Michael F. Klein<br>W. Allen Reed |

Name of Fund: Special Opportunities Fund, Inc. (SPE)  
 Period: July 1, 2017 -  
 June 30,2018

|   |              |           |        |
|---|--------------|-----------|--------|
| Company Name                                    | Meeting Date | CUSIP     | Ticker |
| NEXTPOINT<br>STRATEGIC<br>OPPORTUNITIES<br>FUND | 6/22/2018    | 65340G205 | NHF    |

|             |  |   |
|-------------|--|---|
| Vote        | Management<br>Recommended Proposal<br>Vote | Propose by<br>issuer<br>or<br>shareholder<br>Issuer |
| MIRROR VOTE | For  | 1. Directors: 01. John Honis, 02. Dustin Norris     |

Name of Fund: Special Opportunities Fund, Inc. (SPE)  
 Period: July 1, 2017 -  
 June 30,2018

|                                |              |           |        |
|--------------------------------|--------------|-----------|--------|
| Company Name                   | Meeting Date | CUSIP     | Ticker |
| DIVIDEND AND<br>INCOME<br>FUND | 6/25/2018    | 25538A204 | DNI    |

|      |            |          |
|------|------------|----------|
| Vote | Management | Proposal |
|------|------------|----------|

Edgar Filing: SPECIAL OPPORTUNITIES FUND, INC. - Form N-PX

|             |                     |                                  |   |
|-------------|---------------------|----------------------------------|---|
|             | Recommended<br>Vote |                                  | Propose by<br>issuer<br>or<br>shareholder<br>Issuer |
| Mirror Vote | For                 | 1. DIRECTOR<br>1- Roger Atkinson |   |

Name of Fund: Special Opportunities Fund, Inc. (SPE)  
 Period: July 1, 2017 -  
 June 30,2018

|  |              |           |        |
|--|--------------|-----------|--------|
| Company Name<br>EQUUS TOTAL<br>RETURN,<br>INC. | Meeting Date | CUSIP     | Ticker |
|  | 6/26/2018    | 294766100 | EQS    |

|             |                                   |   |   |
|-------------|-----------------------------------|---|---|
|             | Management<br>Recommended<br>Vote | Proposal  | Propose by<br>issuer<br>or<br>shareholder<br>Issuer |
| Mirror Vote | For                               | 1. DIRECTOR<br>Fraser Atkinson<br>Kenneth I. Denos<br>Henry W. Hankinson<br>John A. Hardy<br>Robert L. Knauss |   |

|             |     |  |        |
|-------------|-----|--|--------|
| Mirror Vote | For | 2. To ratify the selection of BDO USA, LLP as<br>the Company's independent registered public<br>accounting firm for the fiscal year ending<br>December 31, 2018. | Issuer |
|-------------|-----|--|--------|

|             |     |   |        |
|-------------|-----|---|--------|
| Mirror Vote | For | 3. To approve, in a non-binding vote, the<br>compensation paid to the Company's executive<br>officers in 2017, as disclosed pursuant to item<br>402 of Regulation S-K, including the<br>Compensation Discussion and Analysis,<br>compensation tables and narrative discussion | Issuer |
|-------------|-----|---|--------|

Name of Fund: Special Opportunities Fund, Inc. (SPE)  
 Period: July 1, 2017 -  
 June 30,2018

|   |              |           |        |
|---|--------------|-----------|--------|
| Company Name<br>AMERICAN<br>CAPITAL<br>SENIOR FLOATING<br>LTD | Meeting Date | CUSIP     | Ticker |
|   | 6/28/2018    | 02504D108 | ACSF   |

|      |                                   |          |   |
|------|-----------------------------------|----------|---|
|      | Management<br>Recommended<br>Vote | Proposal | Propose by<br>issuer<br>or<br>shareholder |
| Vote |                                   |          |   |

|             |     |  |        |
|-------------|-----|--|--------|
| Mirror Vote | For | 1. To consider and vote upon a proposal to approve the Plan of Complete Liquidation and Dissolution of the Company (the "Plan"), including the sale of all or substantially all of the Company's assets and the dissolution of the Company pursuant to the Plan. | Issuer |
|-------------|-----|--|--------|

|               |  |
|---------------|--|
| Name of Fund: | Special Opportunities Fund, Inc. (SPE) |
| Period:       | July 1, 2017 -<br>June 30,2018         |

|  |              |           |        |
|--|--------------|-----------|--------|
| Company Name                             | Meeting Date | CUSIP     | Ticker |
| AVISTA<br>HEALTHCARE<br>PUBLIC ACQ. CORP | 6/28/2018    | G0726L125 | AHPA   |

|      |                                   |   |   |
|------|-----------------------------------|---|---|
| Vote | Management<br>Recommended<br>Vote | Proposal  | Propose by<br>issuer<br>or<br>shareholder |
| For  | For                               | Ratify Marcum LLP as the independent auditors of the Company for 2018 | Issuer                                    |

|               |  |
|---------------|--|
| Name of Fund: | Special Opportunities Fund, Inc. (SPE) |
| Period:       | July 1, 2017 -<br>June 30,2018         |

|                                    |              |           |        |
|------------------------------------|--------------|-----------|--------|
| Company Name                       | Meeting Date | CUSIP     | Ticker |
| BROOKFIELD DTLA<br>FD<br>OFFICE TR | N/A          | 112714209 | DTLA   |

|      |                                   |          |   |
|------|-----------------------------------|----------|---|
| Vote | Management<br>Recommended<br>Vote | Proposal | Propose by<br>issuer<br>or<br>shareholder |
|------|-----------------------------------|----------|---|

|     |     |   |             |
|-----|-----|---|-------------|
| For | N/A | 1. THE UNDERSIGNED HOLDER OF SHARES OF THE 7.625% SERIES A CUMULATIVE REDEEMABLE PREFERRED STOCK OF BROOKFIELD DTLA FUND OFFICE TRUST INVESTOR INC. ("THE COMPANY") HEREBY AUTHORIZES BULLDOG INVESTORS, LLC, PHILLIP GOLDSTEIN AND ANDREW DAKOS TO REQUEST THAT A SPECIAL MEETING OF HOLDERS OF THE SERIES A PREFERRED STOCK BE HELD TO ELECT TWO PREFERRED DIRECTORS. | Shareholder |
|-----|-----|---|-------------|

SIGNATURES

Pursuant to the requirements of the Investment Company Act of 1940, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

(Registrant) Special Opportunities Fund, Inc.

By (Signature and Title)\* /s/ Andrew Dakos  
Andrew Dakos, President

Date August 23, 2018

\* Print the name and title of each signing officer under his or her signature.

---