

Edgar Filing: SPECIAL OPPORTUNITIES FUND, INC. - Form NSAR-B

SPECIAL OPPORTUNITIES FUND, INC.

Form NSAR-B

February 28, 2013

PAGE 1  
000 B000000 12/31/2012  
000 C000000 0000897802  
000 D000000 N  
000 E000000 NF  
000 F000000 Y  
000 G000000 N  
000 H000000 N  
000 I000000 6.1  
000 J000000 U  
001 A000000 SPECIAL OPPORTUNITIES FUND, INC.  
001 B000000 811-07528  
001 C000000 8776070414  
002 A000000 615 EAST MICHIGAN STREET  
002 B000000 MILWAUKEE  
002 C000000 WI  
002 D010000 53202  
003 000000 N  
004 000000 N  
005 000000 N  
006 000000 N  
007 A000000 N  
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007 C010100 1  
007 C010200 2  
007 C010300 3  
007 C010400 4  
007 C010500 5  
007 C010600 6  
007 C010700 7  
007 C010800 8  
007 C010900 9  
007 C011000 10  
008 A000001 BROOKLYN CAPITAL MANAGEMENT, LLC  
008 B000001 A  
008 C000001 801-70596  
008 D010001 SADDLE BROOK  
008 D020001 NJ  
008 D030001 07663  
010 A000001 U.S. BANCORP FUND SERVICES, LLC  
010 B000001 85-11357  
010 C010001 MILWAUKEE  
010 C020001 WI  
010 C030001 53202  
012 A000001 AMERICAN STOCK TRANSFER & TRUST COMPANY, LLC  
012 B000001 84-00416  
012 C010001 NEW YORK  
012 C020001 NY  
012 C030001 10038  
013 A000001 TAIT, WELLER & BAKER LLP  
013 B010001 PHILADELPHIA  
013 B020001 PA  
PAGE 2  
013 B030001 19103  
015 A000001 U.S. BANK, N.A.  
015 B000001 C  
015 C010001 MILWAUKEE  
015 C020001 WI  
015 C030001 53212

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015 E010001 X  
018 000000 Y  
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020 A000001 MERLIN ADVISORS, INC.  
020 C000001 54  
020 A000002 RAYMOND JAMES & ASSOCIATES, INC.  
020 C000002 38  
020 A000003 CREDIT RESEARCH & TRADING, LLC  
020 C000003 20  
020 A000004 CANTOR FITZGERALD & CO.  
020 C000004 9  
020 A000005 WALL STREET MANAGEMENT, INC.  
020 C000005 8  
020 A000006 NATIONAL FINANCIAL SERVICE CORP.  
020 C000006 7  
020 A000007 WALLACH BETH  
020 C000007 7  
020 A000008 CANACCORD GENUITY  
020 C000008 6  
020 A000009 LAZARD CAPITAL MARKETS, LLC  
020 C000009 3  
020 A000010 LEGENCD CAPITAL MANAGEMENT  
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021 000000 159  
022 A000001 MAXIM FINANCIAL CORP.  
022 C000001 8722  
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022 A000002 DEUTSCHE BANK TRUST CO.  
022 C000002 5315  
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022 A000003 LAZARD CAPITAL MARKETS LLC.  
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022 D000003 676  
022 A000004 US BANK N.A.  
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022 A000005 PRINCERIDGE  
022 C000005 3218  
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022 A000006 AEGIS CAPITAL CORP.  
022 C000006 0  
022 D000006 2008  
022 A000007 R.B.C. DOMINION SECURITIES, INC.  
022 C000007 1759  
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022 D000007 0  
022 A000008 SECONDMARKET, INC.  
022 C000008 1159  
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022 A000009 CHARDAN CAPITAL MARKETS, LLC  
022 C000009 1091  
022 D000009 0  
022 A000010 LADENBURG THALMAN & CO.  
022 C000010 1032  
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023 C000000 29848  
023 D000000 7137  
024 000000 N  
025 D000001 0  
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070 O020000 Y  
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070 Q010000 Y

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070 Q020000 N  
 070 R010000 Y  
 070 R020000 N  
 071 A000000 110963  
 071 B000000 74942

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071 C000000 120396  
 071 D000000 62  
 072 A000000 12  
 072 B000000 387  
 072 C000000 4546  
 072 D000000 0  
 072 E000000 0  
 072 F000000 1332  
 072 G000000 94  
 072 H000000 47  
 072 I000000 15  
 072 J000000 13  
 072 K000000 0  
 072 L000000 29  
 072 M000000 153  
 072 N000000 41  
 072 O000000 0  
 072 P000000 0  
 072 Q000000 38  
 072 R000000 46  
 072 S000000 205  
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 072 V000000 0  
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 072 X000000 2117  
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 072EE000000 4903  
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 073 A020000 0.0700  
 073 B000000 0.7200  
 073 C000000 0.0000  
 074 A000000 7  
 074 B000000 0  
 074 C000000 4832  
 074 D000000 762  
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 074 G000000 0  
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074 M000000 30  
 074 N000000 162226  
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 074 P000000 133

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074	Q000000	0
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075	B000000	116679
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077	B000000	Y
077	C000000	Y
077	J000000	Y
077	Q020000	Y
078	000000	N
080	A000000	CHUBB GROUP OF INSURANCE COMPANIES
080	C000000	525
081	A000000	N
081	B000000	0
082	A000000	Y
082	B000000	25
083	A000000	N
083	B000000	0
084	A000000	N
084	B000000	0
085	A000000	Y
085	B000000	N
086	A010000	133
086	A020000	2011
086	B010000	0
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086	C010000	749
086	C020000	37454
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086	E010000	0
086	E020000	0
086	F010000	0
086	F020000	0

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087	A010000	COMMON STOCK
087	A020000	84741T104
087	A030000	SPE
087	B010000	PREFERRED STOCK
087	B020000	84741T203
087	B030000	SPE PFD
088	A000000	N
088	B000000	N
088	C000000	N
088	D000000	Y
SIGNATURE	ANDREW DAKOS	
TITLE	PRESIDENT	