Edgar Filing: VIRTUS INVESTMENT PARTNERS, INC. - Form 4

VIRTUS INVESTMENT PARTNERS, INC.

Form 4

February 09, 2017

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287

Check this box if no longer STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

Expires: January 31, 2005

OMB APPROVAL

if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

See Instruction

Estimated average burden hours per response... 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

SECURITIES

30(h) of the Investment Company Act of 1940

1(b).

1(0).

(Print or Type Responses)

1. Name and Address of Reporting Person * AYLWARD GEORGE R			2. Issuer Name and Ticker or Trading Symbol VIRTUS INVESTMENT PARTNERS, INC. [VRTS]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last) (First) (Middle) C/O VIRTUS INVESTMENT PARTNERS, 100 PEARL STREET		ENT	3. Date of Earliest Transaction (Month/Day/Year) 02/07/2017	X Director 10% Owner Selfont of the control of th			
HARTFORD,	(Street)		4. If Amendment, Date Original Filed(Month/Day/Year)	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			

(City)	(State)	Zip) Table	e I - Non-D	erivative	Secur	ities Acq	uired, Disposed o	f, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		
Common Stock	02/07/2017		M	2,500 (1)	A	\$ 40 (2)	146,620.04	D	
Common Stock	02/08/2017		M	8,399 (1)	A	\$ 40	155,019.04	D	
Common Stock	02/08/2017		F	4,959 (3)	D	\$ 108.1	150,060.04 (4)	D	
Common Stock							70.062	I	By 401k

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Edgar Filing: VIRTUS INVESTMENT PARTNERS, INC. - Form 4

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

D

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number ction Derivative Securities 3) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercis Expiration Dat (Month/Day/Y	e	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Options	\$ 40	02/07/2017		M		2,500	02/08/2010	02/08/2017	Common Stock	2,500
Stock Options	\$ 40	02/08/2017		M		8,399	02/08/2010	02/08/2017	Common Stock	8,399

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

AYLWARD GEORGE R C/O VIRTUS INVESTMENT PARTNERS 100 PEARL STREET HARTFORD, CT 06103

X

Director, CEO and President

Signatures

/s/Mark S. Flynn, Attorney-in-Fact 02/09/2017

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Acquisition of common stock upon exercise of expiring employee stock options.
- (2) The option exercise price was paid in cash.
- (3) Exempt disposition to the Issuer under Rule 16b-3(e) to satisfy option exercise price and tax withholding obligations.

(4)

Reporting Owners 2

Edgar Filing: VIRTUS INVESTMENT PARTNERS, INC. - Form 4

Includes (i) 1,399.618 shares acquired in connection with the Issuer's Employee Stock Purchase Plan, (ii) 11,388 RSUs that are scheduled to cliff vest on March 15, 2017, and (iii) 14,686 RSUs that are scheduled to cliff vest on March 15, 2019. RSUs will be settled for shares of common stock on a one-for-one basis upon vesting.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.