VINIAR DAVID A

Form 4

January 22, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

Expires:

5. Relationship of Reporting Person(s) to

Issuer

3235-0287

January 31, 2005

0.5

Estimated average

OMB APPROVAL

burden hours per response...

Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

Symbol

1(b).

(Print or Type Responses)

VINIAR DAVID A

1. Name and Address of Reporting Person *

| | | | GOLDMAN SACHS GROUP INC [GS] | | | | INC | (Check all applicable) | | | | |
|--|---|---------------------|---|---|------|-------------|------------------|--|--|---|---|--|
| (Last) | (First) OMAN SACHS | (Middle) | 3. Date of Earliest Transaction (Month/Day/Year) 01/18-05:00/2018 | | | | belo | Director 10% Owner Officer (give title Other (specify below) | | | | |
| | WEST STREET | | 01/18-0 |):00/20 | 010 | | | | | | | |
| | (Street) 4. If Amendment, D Filed(Month/Day/Yea | | | | | _ | | Ар <u>г</u> _Х_ | ndividual or Joint/Group Filing(Check licable Line) Form filed by One Reporting Person | | | |
| NEW YOR | RK, NY 10282 | | | | | | | Pers | Form filed by More son | than One Repo | orting | |
| (City) | (State) | (Zip) | Table | e I - No | n-De | erivative S | Securi | ties Acquire | d, Disposed of, or | Beneficially | Owned | |
| 1.Title of Security (Instr. 3) | 2. Transaction Dat (Month/Day/Year) | Execution Execution | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. 4. Securities Acquired (A TransactionDisposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) | | | | | or 5. Amount of Securities Beneficially Owned Following Reported | 6. Ownership Form: Direct (D) or Indirect (I) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| Common Stock, par | | | | Code | V | Amount | (A) or (D) | Price | Transaction(s) (Instr. 3 and 4) | (Instr. 4) | See | |
| value \$0.01 per share | 01/18-05:00/20 | 018 | | S | | 17,500 | D | 251.1883 (1) | 84,757 | I | footnote (2) | |
| Common Stock, par value \$0.01 per share | | | | | | | | | 710,925 | D | | |
| | | | | | | | | | 123,186 | I | | |

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Common See
Stock, par footnote
value
\$0.01 per

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | | | 5. Number on f Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | |
|---|---|--------------------------------------|--|--------|---|--|--------------------|---|--|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Restricted Stock Units | <u>(4)</u> | 01/18-05:00/2018 | | A | 1,993 | <u>(4)</u> | <u>(4)</u> | Common Stock, par value \$0.01 per share | 1,993 |

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

VINIAR DAVID A C/O GOLDMAN SACHS & CO. LLC 200 WEST STREET NEW YORK, NY 10282

Signatures

share

/s/ Beverly L. O'Toole, Attorney-in-fact 01/22-05:00/2018

**Signature of Reporting Person Date

Reporting Owners 2

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Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Reflects a weighted average sale price of \$251.1883 per share, at prices ranging from \$251.08 to \$251.29 per share. The Reporting Person (1) will provide, upon request by the staff of the Securities and Exchange Commission, the Issuer, or a security holder of the Issuer, full information regarding the number of shares sold at each separate price.
- (2) Held through trusts, the sole trustee of which is the Reporting Person's spouse and the sole beneficiaries of which are immediate family members of the Reporting Person. The Reporting Person disclaims beneficial ownership of these shares.
- (3) Held through a limited liability company.
- (4) Shares of the Issuer's common stock underlying these Restricted Stock Units will be delivered on the first eligible trading day in the third quarter in the year following the year of the retirement of the Reporting Person from the Issuer's Board of Directors.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.