Edgar Filing: BARKLEY MICHAEL T - Form 4

BARKLEY MICHAEL T

Form 4

STOCK

COMMON STOCK

(ESPP) (1)
COMMON
STOCK

(SIP) $\frac{(2)}{}$

12/05/2017

December 07, 2017

OMB APPROVAL UNITED STATES SECURITIES AND EXCHANGE COMMISSION **OMB** 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person * 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading **BARKLEY MICHAEL T** Issuer Symbol PACCAR INC [PCAR] (Check all applicable) (First) (Middle) (Last) 3. Date of Earliest Transaction (Month/Day/Year) Director 10% Owner _X__ Officer (give title Other (specify 777 106TH AVENUE NE 12/05/2017 below) below) SR. VICE PRESIDENT/CONTROLLER (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting BELLEVUE, WA 98004 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of (D) Securities Ownership Indirect (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially Form: Beneficial Direct (D) Ownership (Month/Day/Year) (Instr. 8) Owned Following or Indirect (Instr. 4) Reported (A) Transaction(s) (Instr. 4) or (Instr. 3 and 4) Code V Amount (D) Price **COMMON**

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

J(3)

66.637 A

Persons who respond to the collection of information contained in this form are not (9-02)

19,000.081

19,295

5.85

D

D

D

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required to respond unless the form displays a currently valid OMB control number.

 $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number on f Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Expiration Dat	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amou or Numb of Sha	
STOCK OPTION (4)	\$ 43.24					01/01/2015	02/02/2022	COMMON STOCK	13,6	
STOCK OPTION (4)	\$ 47.81					01/01/2016	02/06/2023	COMMON STOCK	11,7	
STOCK OPTION (4)	\$ 59.15					01/01/2017	02/07/2024	COMMON STOCK	9,23	
STOCK OPTION (4)	\$ 62.46					01/01/2018	02/04/2025	COMMON STOCK	8,56	
STOCK OPTION (4)	\$ 50					01/01/2019	02/04/2026	COMMON STOCK	17,3	
STOCK OPTION (4)	\$ 67.63					01/01/2020	02/07/2027	COMMON STOCK	12,3	
COMMON STOCK (DCP) (5)	<u>(5)</u>	12/05/2017		J <u>(6)</u>	3.264	<u>(5)</u>	(5)	COMMON STOCK	3.26	

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

BARKLEY MICHAEL T 777 106TH AVENUE NE BELLEVUE, WA 98004

SR. VICE PRESIDENT/CONTROLLER

Signatures

Michael T. 12/07/2017 Barkley

Reporting Owners 2

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares held in PACCAR Inc Employee Stock Purchase Plan (ESPP).
- (2) Shares held in PACCAR Savings Investment Plan (SIP).
- (3) December 5, 2017 dividend on PACCAR Savings Investment Plan (SIP) shares reinvested pursuant to SIP.
- (4) Option to buy awarded under PACCAR LTIP.
- (5) Share units held in deferred phantom stock account under PACCAR Deferred Compensation Plan (DCP) convertible to common stock on a one-for-one basis upon satisfaction of all applicable vesting conditions.
- (6) Dividend on share units held in deferred phantom stock account under DCP reinvested pursuant to DCP.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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