PACCAR INC Form 4 January 10, 2017

## FORM 4

#### **OMB APPROVAL**

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

OMB 3235-0287 Number:

Check this box if no longer subject to Section 16.

Washington, D.C. 20549

January 31, Expires: 2005

Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Estimated average burden hours per response... 0.5

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * BARKLEY MICHAEL T			2. Issuer Name and Ticker or Trading Symbol PACCAR INC [PCAR]	5. Relationship of Reporting Person(s) to Issuer		
			FACCAR INC [FCAR]	(Check all applicable)		
(Last)	(First)	(Middle)	3. Date of Earliest Transaction			
777 106TH AVENUE NE		,	(Month/Day/Year) 01/06/2017	Director 10% Owner Officer (give title Other (specify below) sr. VICE PRESIDENT/CONTROLLER		
	(Street)		4. If Amendment, Date Original	6. Individual or Joint/Group Filing(Check		
BELLEVUE	E, WA 98004		Filed(Month/Day/Year)	Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person		
(City)	(State)	(Zip)	Toble I Non Derivative Securities Acc	wired Disposed of ar Reneficially Owned		

(City)	(State) (Z	Table Table	I - Non-De	erivative Sec	uritie	s Acquire	ed, Disposed of, o	or Beneficially	Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securitie oper Disposed (Instr. 3, 4 a	d of (Ľ	<b>)</b> )	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
COMMON STOCK			0000		(2)	2 2200	15,914	D	
COMMON STOCK (ESPP) (1)							5.85	D	
COMMON STOCK (SIP) (2)	01/06/2017		J <u>(3)</u>	166.775	A	\$ 66.05	18,526.53	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)

#### Edgar Filing: PACCAR INC - Form 4

required to respond unless the form displays a currently valid OMB control number.

 $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$ 

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number out Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amou or Numb of Sha
STOCK OPTION (4)	\$ 50.5					01/01/2014	02/03/2021	COMMON STOCK	8,78
STOCK OPTION (4)	\$ 43.24					01/01/2015	02/02/2022	COMMON STOCK	13,6
STOCK OPTION (4)	\$ 47.81					01/01/2016	02/06/2023	COMMON STOCK	11,7
STOCK OPTION (4)	\$ 59.15					01/01/2017	02/07/2024	COMMON STOCK	9,23
STOCK OPTION (4)	\$ 62.46					01/01/2018	02/04/2025	COMMON STOCK	8,56
STOCK OPTION (4)	\$ 50					01/01/2019	02/04/2026	COMMON STOCK	17,3
COMMON STOCK (DCP) (5)	<u>(5)</u>	01/06/2017		J <u>(6)</u>	8.176	<u>(5)</u>	(5)	COMMON STOCK	8.17

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

BARKLEY MICHAEL T 777 106TH AVENUE NE BELLEVUE, WA 98004

SR. VICE PRESIDENT/CONTROLLER

# **Signatures**

Michael T. 01/10/2017 Barkley

Reporting Owners 2

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares held in PACCAR Inc Employee Stock Purchase Plan (ESPP).
- (2) Shares held in PACCAR Savings Investment Plan (SIP).
- (3) January 6, 2017 dividend on PACCAR Savings Investment Plan (SIP) shares reinvested pursuant to SIP.
- (4) Option to buy awarded under PACCAR Long Term Incentive Plan (LTIP).
- (5) Share units held in deferred phantom stock account under PACCAR Deferred Compensation Plan (DCP) convertible to common stock on a one-for-one basis upon satisfaction of all applicable vesting conditions.
- (6) Dividend on share units held in deferred phantom stock account under DCP reinvested pursuant to DCP.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3