Gaines George

| Form 3<br>February 21,  | 2018       |                        |   |   |  |   |   |                          |
|---|------------|------------------------|---|---|--|---|---|--------------------------|
| FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION                               |            |                        |   |   |  | OMB APPROVAL                            |   |                          |
| . •   | . •        | Washington, D.C. 20549 |   |   |  | OMB<br>Number:                          | 3235-0104   |                          |
|   |            | INITIAL S              |   |   | EFICIAL OWNERSHIP OF   |   |   | January 31<br>2005       |
|   |            | ion 17(a) of           | SECUR<br>t to Section 16(a) of the<br>the Public Utility Hold<br>0(h) of the Investment ( | e Securities I<br>ing Compan  | y Act of 193   |   | Estimated a<br>burden hou<br>response<br>n  | average<br>Irs per       |
| (Print or Type I  | Responses) |                        |   |   |  |   |   |                          |
| 1. Name and Address of Reporting<br>Person <u>*</u><br>CRYO CELL<br>INTERNATIONAL INC |            |                        | 2. Date of Event Requiring<br>Statement<br>(Month/Day/Year)<br>02/13/2018                 | 3. Issuer Name <b>and</b> Ticker or Trading Symbol<br>Cord Blood America, Inc. [CBAI] |  |   |   |                          |
| (Last)  | (First)    | (Middle)               |   | 4. Relationsh<br>Person(s) to   | nip of Reporting<br>Issuer   |   | Amendment, D<br>l(Month/Day/Yea   | e                        |
| 700 BROOF<br>SUITE 1800   |            | EK BLVD.,              |   |   | k all applicable)  |   | (Monul/Day/1ea  | 11)                      |
| OLDSMAR   | (Street)   | 4677                   |   | Directo<br>Officer<br>(give title belo  | •Other   | r Filin<br>ow)F<br>Perso<br>_X_1        | dividual or Joir<br>g(Check Applica<br>form filed by Ond<br>n<br>Form filed by Mo<br>rting Person | ble Line)<br>e Reporting |
| (City)  | (State)    | (Zip)                  | Table I -   | Non-Deriva  | tive Securiti  | ies Benefic                             | ially Owned   | 1                        |
| 1.Title of Secu<br>(Instr. 4)   | ırity      |                        | 2. Amount<br>Beneficially<br>(Instr. 4)   | of Securities<br>y Owned  | 3.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I)<br>(Instr. 5) | 4. Nature of<br>Ownership<br>(Instr. 5) | f Indirect Benef  | ficial                   |
| Common St   | ock        |                        | 114,207,4   | 146 <u>(1)</u> <u>(5)</u>   | D  | Â                                       |   |                          |
| Common Stock  |            |                        | 16,268,55   | 16,268,554 <u>(2)</u> <u>(5)</u>  |  | Â                                       |   |                          |
| Common Stock  |            |                        | 4,061,770   | 4,061,770 <u>(3)</u> <u>(5)</u>   |  | Â                                       |   |                          |
| Common St   | ock        |                        | 25,000,00   | $00 \ \underline{(4)} \ \underline{(5)}$  | D  | Â                                       |   |                          |
| Reminder: Rep<br>owned directly   |            |                        | ach class of securities benefic   | cially  | SEC 1473 (7-02   | 2)                                      |   |                          |

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 Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of Derivative Security<br>(Instr. 4) | 2. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                    | 3. Title and Amount of<br>Securities Underlying<br>Derivative Security<br>(Instr. 4) |                                  | 4.<br>Conversion<br>or Exercise<br>Price of | 5.<br>Ownership<br>Form of<br>Derivative                    | 6. Nature of Indirect<br>Beneficial Ownership<br>(Instr. 5) |
|---|--|--------------------|--|----------------------------------|---|---|---|
|   | Date<br>Exercisable  | Expiration<br>Date |  | Amount or<br>Number of<br>Shares | Derivative<br>Security                      | Security:<br>Direct (D)<br>or Indirect<br>(I)<br>(Instr. 5) |   |

## **Reporting Owners**

| <b>Reporting Owner Name / Address</b>   | Relationships |           |         |       |  |
|---|---------------|-----------|---------|-------|--|
| Reporting Office Function and the   | Director      | 10% Owner | Officer | Other |  |
| CRYO CELL INTERNATIONAL INC<br>700 BROOKER CREEK BLVD., SUITE 1800<br>OLDSMAR, FL 34677 | Â             | ÂX        | Â       | Â     |  |
| PORTNOY DAVID<br>700 BROOKER CREEK BLVD., SUITE 1800<br>OLDSMAR, FL 34677               | Â             | ÂX        | Â       | Â     |  |
| Portnoy Mark L.<br>700 BROOKER CREEK BLVD.<br>ST. 1800<br>OLDSMAR, FL 34677             | Â             | ÂX        | Â       | Â     |  |
| Gaines George<br>700 BROOKER CREEK BLVD., SUITE 1800<br>OLDSMAR, FL 34677               | Â             | ÂX        | Â       | Â     |  |

## Signatures

/s/ David Portnoy, Individual, and Chief Executive Officer and Authorized Representative of Cryo-Cell, International, Inc. 02/21/2018

|                   | **Signature of Reporting Person | Date       |
|-------------------|---------------------------------|------------|
| /s/ David Portnoy |                                 | 02/21/2018 |
|                   | **Signature of Reporting Person | Date       |
| /s/ Mark Portnoy  |                                 | 02/21/2018 |
|                   | **Signature of Reporting Person | Date       |
| /s/ George Gaines |                                 | 02/21/2018 |
|                   | **Signature of Reporting Person | Date       |

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares owned solely by CRYO-CELL International, Inc.
- (2) Shares owned solely by David I. Portnoy.
- (3) Shares owned solely by Mark L. Portnoy.

- (4) Shares owned solely by George Gaines.
- (5) Messrs. Portnoy, Portnoy and Gaines, along with CRYO-CELL International, Inc. are deemed to be members of a "group" for purposes of Section 13(d) of the Securities Exchange Act of 1934, as amended.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.