Edgar Filing: NORTHEAST UTILITIES - Form 4

March 05, 2010 OME APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION if no longer subject to Section 16. Form 4 or Form 4 or Form 5 OMB STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES OMB Number: 2005 Statement of changes in Beneficial obligations may continue. See Instruction 1(b). STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES OMB Number: 2005 Print or Type Responses. Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, 30(h) of the Investment Company Act of 1940 Sec Instruction 10(h). Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 Section 17(a) of the Public Utility Holding Symbol Section 17(a) of the Public Utility H	NORTHEAS	T UTILITIES											
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Washington, D.C. 20549 Number: 3235-0287 Check this box if no longer subject to subject to STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Section 16. STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Expire:: 2005 Form 4 or Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations may continue. Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 Statistical average burden hours per response 0.5 1. Name and Address of Reporting Person 2 2. Issuer Name and Ticker or Trading Symbol NORTHEAST UTILITIES [NU] 5. Relationship of Reporting Person(s) to Issuer Issuer (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) Director 10% Owner CO NORTHEAST 03/03/2010 Officer (give title Two for (specify below) Tustee 10% Owner SPRINGFIELD, MA 01105 Case 5. Accurities Acquired, Disposed of, or Beneficially Owned 6. Ownership 7. Nature of Security (Month/Day/Year) 3. 4. Securities Acquired (State) 6. Ownership 7. Nature of Security (Month/Day/Year) 6. Ownership 7. Nature of Security (Month/Day/Year) <td colspan="7">FORM 4</td> <td></td> <td>PROVAL</td>	FORM 4								PROVAL				
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* (1)	value							(1)					

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Expiration D (Month/Day, e	6. Date Exercisable and Expiration Date (Month/Day/Year)		le and int of rlying ities . 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
WRAASE DENNIS R C/O NORTHEAST UTILITIES, ONE FEDERAL STREET, BUILDING 111-4 SPRINGFIELD, MA 01105				Trustee			
Signatures							
Dennis R. Wraase By: O. Kay Comendul/POA	03/05	5/2010					
**Signature of Reporting Person	E	Date					

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The price reported in Column 4 is a weighted average price. These shares were purchased in multiple transactions at prices ranging from \$26.16 to \$26.17, inclusive. The reporting person undertakes to provide Northeast Utilities, any security holder, or the staff of the

- Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth above.
- (2) The reporting person holds these 4,000 shares jointly with his spouse.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.