

Edgar Filing: CLAY ROBERT N - Form 4

CLAY ROBERT N
 Form 4
 April 01, 2003

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
 WASHINGTON, D.C. 20549
 FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

() Check this box if no longer subject to Section 16.
 Form 4 or Form 5 obligations may continue. See Instructions 1(b).

1. Name and Address of Reporting Person
 Robert N. Clay
 President and Chief Executive Officer
 Clay Holding Company
 Three Chimneys Farm
 KY, Versailles 40383
2. Issuer Name and Ticker or Trading Symbol
 The PNC Financial Services Group, Inc. (PNC)
3. IRS or Social Security Number of Reporting Person (Voluntary)
4. Statement for Month/Day/Year
 3/31/2003
5. If Amendment, Date of Original (Month/Day/Year)
6. Relationship of Reporting Person(s) to Issuer (Check all applicable)
 (X) Director () 10% Owner () Officer (give title below) () Other
 (specify below)
7. Individual or Joint/Group Filing (Check Applicable Line)
 (X) Form filed by One Reporting Person
 () Form filed by More than One Reporting Person

Table I -- Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security | 2. Trans- action Date | 2A. Exec- ution Date | 3. Trans- action Code | 4. Securities Acquired (A) or Disposed of (D) Amount | A/ D | Price | 5. Amount of Securities Beneficially Owned Following Reported Trans(s) |
|----------------------|-----------------------------|----------------------------|-----------------------------|--|---------|-------|--|
| \$5 Par Common Stock | 1/24/ 2003 | | J 1 | V 57.00 | A | | 5793.00 |
| \$5 Par Common Stock | | | 2 | | | | 3653.00 |
| \$5 Par Common Stock | | | 3 | | | | 3652.00 |

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Derivative Security | 2. Con- version or Exer- cise Price of Deriva- tive | 3. Trans- action | 3A. Deemed Execu- tion | 4. Trans- action | 5. Number of De rivative Secu rities Acqui red(A) or Dis posed of (D) | 6. Date Exer- cisable and Expiration Date (Month/ Day/Year) Date Expir- | 7. Title and Amount of Underlying Securities Title and Number | 8. P of vat Sec rit |
|---------------------------------------|---|---------------------|------------------------------|---------------------|---|--|--|---------------------------------|
|---------------------------------------|---|---------------------|------------------------------|---------------------|---|--|--|---------------------------------|

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| Security | (Month/Day/Year) | (Month/Day/Year) | Code | V | Amount | D | cisa-ble | Date | of Shares |
|------------------------------|------------------|------------------|------|---|--------|---|----------|------|-----------------------------|
| Phantom Stock Unit (1-for-1) | 3/31/2003 | 2/14/03 | A | | 454.00 | A | | | \$5 Par Common Stock 454.00 |
| Phantom Stock Unit (1-for-1) | 1/24/2003 | 2/11/03 | J | V | 21.00 | A | | | \$5 Par Common Stock 21.00 |

Explanation of Responses:

1. Dividend Reinvestment Shares acquired.
2. Subject assumed control of securities held by LLC upon father's death on 8/21/02. Subject disclaims ownership. This report shall not be deemed an admission that he owns such securities for purposes of Sec. 16 or any other purpose.
3. Subject first assumed investment control over the securities held by this limited liability company upon his father's death on August 21, 2002.
4. Phantom stock units received under the PNC Directors Deferred Compensation Plan during 2003.

SIGNATURE OF REPORTING PERSON

Robert N. Clay

Mark C. Joseph, Attorney-in-Fact for Robert N. Clay