

Edgar Filing: ENTERGY CORP /DE/ - Form U-9C-3

ENTERGY CORP /DE/  
Form U-9C-3  
May 30, 2002

UNITED STATES

SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

FORM U-9C-3

QUARTERLY REPORT PURSUANT TO RULE 58

For the Quarterly Period Ended March 31, 2002

ENTERGY CORPORATION  
(a Delaware corporation)  
639 Loyola Avenue  
New Orleans, Louisiana 70113  
Telephone (504) 576-4000

---

(Name of registered holding company and address of principal executive offices)

ITEM 1 - ORGANIZATION CHART

Name of reporting company	Energy or gas related company	Date of organization	State of organization	Percentage of voting securities held	Nature of business
Entergy Holdings, Inc. (EHI)	Energy related business	November 3, 1997	Delaware	a 100% owned subsidiary of Entergy Corporation	Holding company investing in miscellaneous energy related companies.
Entergy Thermal, LLC (Entergy Thermal)	Energy related business	January 28, 1999	Delaware	a 100% owned subsidiary of EHI	Production, sale, and distribution of thermal energy products.
Entergy Business Solutions,	Energy related business	January 28, 1999	Delaware	a 100% owned subsidiary	Energy management services.

Edgar Filing: ENTERGY CORP /DE/ - Form U-9C-3

LLC (EBS)					of EHI
Entergy Power Holdings USA Corporation (EPH)	Energy related business	February 25, 1999	Delaware	a 100% owned subsidiary of Entergy Corporation	Holding company investing in qualifying facilities.
Entergy Power RS Corporation (EPRS)	Energy related business	February 25, 1999	Delaware	a 100% owned subsidiary of EPH	Development and ownership of qualifying facilities.
TLG Services, Inc. (TLG)	Energy related business	December 30, 1986	Connecticut	a 100% owned subsidiary of Entergy Nuclear, Inc.	Provide field services for the decommissioning of fossil and nuclear plants
Entergy Solutions Ltd. (ESLTD)	Energy related business	August 30, 2000	Texas	a 99% limited partner interest held by Entergy Retail Holding Company and a 1% general partner interest held by Entergy Retail Texas, Inc.	Provide competitive energy service and electric sales

ITEM 1 - ORGANIZATION CHART (cont'd)

Name of reporting company	Energy or gas related company	Date of organization	State of organization	Percentage of voting securities held	Nature of business
Entergy-Koch Trading, LP (EKTLP)	Energy related business	January 25, 2001	Delaware	a 49.5% limited partner interest and a 0.5% general partner interest, in each case indirectly held by Entergy Power	Energy marketing and brokering.

Edgar Filing: ENTERGY CORP /DE/ - Form U-9C-3

Name of reporting company	Energy or gas related business	Date of organization	State of organization	Percentage of voting securities held	Nature of business
EWO GP LLC (EWOGP)	Energy related business	October 30, 2000	Delaware	a 100% owned subsidiary of EWO Marketing Holding, LLC	International Holding Corp. Holding company investing in energy commodity brokering and related services.
EWO Marketing, L.P. (EWOM)	Energy related business	October 30, 2000	Delaware	a 99% limited partner interest held by EWO Marketing Holding, LLC and a 1% general partner interest held by EWO GP LLC	Energy marketing and brokering.
Entergy Solutions Select Ltd. (ESSL)	Energy related business	March 5, 2001	Texas	a 99% limited partner interest held by Entergy PTB Holding Company and a 1% general partner interest held by Entergy Select LLC	Price-to-Beat retail electric provider

ITEM 1 - ORGANIZATION CHART (cont'd)

Name of reporting company	Energy or gas related business	Date of organization	State of organization	Percentage of voting securities held	Nature of business
Entergy Solutions Essentials Ltd. (ESEL)	Energy related business	June 5, 2001	Texas	a 99% limited partner interest held by Entergy PTB Holding Company and a 1% general partner	Provider of Last Resort retail electric provider

Edgar Filing: ENTERGY CORP /DE/ - Form U-9C-3

				interest held by Entergy Select LLC	
Entergy Thermal-UNO, LLC (Entergy Thermal-UNO)	Energy related business	July 16, 2001	Delaware	a 100% owned subsidiary of Entergy Corporation	Production, sale, and distribution of thermal energy products.
Entergy Nuclear PFS Company (ENPFS) (new)	Energy related business	February 15, 2002	Delaware	a 100% owned subsidiary of Entergy Nuclear, Inc.	Holding company for investment in Private Fuel Storage, LLC
Private Fuel Storage LLC (Private Fuel Storage) (new)	Energy related business	February 21, 2002	Delaware	a 9.9% Class A member interest held by ENPFS	Development, ownership, and operation of a spent nuclear fuel storage facility
Tradespark (new)	Entergy related business	-	Delaware	a 5.53% limited partner interest indirectly held by EKTLP	Electronic commodity marketplace for North America wholesale transactions in physical and financial products.

EHI Activities

During the quarterly period ended March 31, 2002, EHI acted as a holding company engaged in investment in energy related companies consistent with Rule 58.

Entergy Thermal Activities

During the quarterly period ended March 31, 2002, Entergy Thermal operated a district cooling and heating system in New Orleans, Louisiana.

EBS Activities

During the quarterly period ended March 31, 2002, EBS was inactive, but in the future intends to engage in energy management services.

EPH Activities

During the quarterly period ended March 31, 2002, EPH acted as a holding company with respect to Entergy's investments in "qualifying facilities" (QFs) under the Public Utility Regulatory Policies Act of 1978 (PURPA).

EPRS Activities

During the quarterly period ended March 31, 2002, EPRS engaged in the development, acquisition and ownership of a 50%

## Edgar Filing: ENTERGY CORP /DE/ - Form U-9C-3

interest in RS Cogen LLC. RS Cogen LLC is developing, and will own and operate, a 425 MW cogeneration facility in Louisiana which is a QF under PURPA.

### TLG Activities

During the quarterly period ended March 31, 2002, TLG provided field services in decontamination, decommissioning, radiological health and safety, industrial safety, radiological and hazardous/toxic waste management and disposal.

### ESLTD Activities

ESLTD is currently serving 1,900 pilot customer in the ERCOT market in Texas. ESLTD is purchasing power on the ERCOT imbalance market for its Texas Pilot customers. During the quarterly period ended March 31, 2002, ESLTD had immaterial revenues.

### EKTLP Activities

During the quarterly period ended March 31, 2002, EKTLP engaged in the business of brokering and marketing of energy commodities, including but not limited to electricity, natural or manufactured gas, and other combustible fuels. These services were provided to electric utilities, power marketers, electric and municipal cooperatives, and various other customers.

### EWOGP Activities

During the quarterly period ended March 31, 2002, EWO acted as a holding company for investing in energy commodity brokering and related services.

### EWOM Activities

During the quarterly period ended March 31, 2002, EWOM engaged in the business of managing the power produced by electric generation assets of affiliates and third-party customers as well as fulfilling the electric energy needs of third party customers under long-term contracts. The third-party customers are principally electric utilities and municipal cooperatives.

### ESSL Activities

During the quarterly period ended March 31, 2002, ESSL was in its formative stage and in the future intends to engage in providing price-to-beat energy service and electric sales.

### ESEL Activities

During the quarterly period ended March 31, 2002, ESEL was in its formative stage and in the future intends to engage in providing energy service and electric sales as the provider of last resort.

### Entergy Thermal-UNO Activities

During the quarterly period ended March 31, 2002, Entergy Thermal-UNO was in its formative state and in the future intends to engage in the production, sale, and distribution of thermal energy products at the University of New Orleans.

### ENPFS Activities

During the quarterly period ended March 31, 2002, ENPFS was formed to hold Entergy's 12.9% member interest in Private Fuel Storage (including a 9.9% Class A member interest with voting rights).

## Edgar Filing: ENTERGY CORP /DE/ - Form U-9C-3

### Private Fuel Storage Activities

During the quarterly period ended March 31, 2002, Private Fuel Storage continued efforts relating to obtaining a Nuclear Regulatory Commission license for the proposed spent nuclear fuel storage facility.

### Tradespark

Electronic commodity marketplace for North America wholesale transactions in physical and financial products.

### ITEM 2 - ISSUANCES AND RENEWALS OF SECURITIES AND CAPITAL CONTRIBUTIONS

Company issuing security	Type of security issued	Principal amount of security	Issue or renewal	Cost of capital	Person to whom security was issued	Collateral given with security	Consideration received for each security
ENPFS	Common Stock	N/A	Issue	N/A	Entergy Nuclear, Inc.	N/A	\$1.00 per share  \$1,000

Company contributing capital	Company receiving capital	Amount of capital contribution
Entergy Retail Holding Company	ESLTD	\$ 990,000
Entergy Retail Texas, Inc.	ESLTD	\$ 10,000
Entergy PTB Holding Company	ESSL	\$1,732,500
Entergy Select LLC	ESSL	\$ 17,500
Entergy Corporation	EHI	\$ 300,000
EHI	EBS	\$ 100,000
Entergy Nuclear, Inc.	TLG	\$2,750,000
Entergy Nuclear, Inc.	ENPFS	\$ 627,366
ENPFS	Private Fuel Storage	\$ 628,366

### ITEM 3 - ASSOCIATE TRANSACTIONS

#### Part I - Transactions Performed by Reporting Companies on Behalf of Associate Companies

Reporting company rendering services	Associate company receiving services	Types of services rendered	Direct costs charged	Indirect costs charged	Cost of capital	Total amount billed
EHI	EBS	Professional services and back office	\$(6,442)	-0-	N/A	\$(6,442)

Edgar Filing: ENTERGY CORP /DE/ - Form U-9C-3

support

EHI	Entergy Thermal	Same as above	\$ (15,033)	-0-	N/A	\$ (15,033)
-----	-----------------	---------------	-------------	-----	-----	-------------

Part II - Transactions Performed by Associate Companies on Behalf of Reporting Companies

Associate company rendering services	Reporting company receiving services	Types of services rendered	Direct costs charged	Indirect costs charged	Cost of capital	Total amount billed
Entergy Enterprises, Inc. (EEI)	EHI	Professional services and back office support	\$ 49,133	\$-0-	N/A	\$ 49,133
EEI	Entergy Thermal	Same as above	\$ 89,584	\$-0-	N/A	\$ 89,584
EEI	EBS	Same as above	\$ 5,576	\$-0-	N/A	\$ 5,576
EEI	ESSL	Same as above	\$960,952	\$-0-	N/A	\$960,952
EEI	ESEL	Same as above	\$ 6,696	\$-0-	N/A	\$ 6,696
EEI	ESLTD	Same as above	\$703,577	\$-0-	N/A	\$703,577
EEI	EPRS	Same as above	\$109,484	\$-0-	N/A	\$109,484
EEI	EWOM	Same as above	\$189,927	\$-0-	N/A	\$189,927
EEI	TLG	Same as above	\$ 57,114	\$-0-	N/A	\$ 57,114

Part II - Transactions Performed by Associate Companies on Behalf of Reporting Companies - Continued

Associate company rendering services	Reporting company receiving services	Types of services rendered	Direct costs charged	Indirect costs charged	Cost of capital	Total amount billed
Entergy Solutions Management Services LLC	ESLTD	Same as above	\$ 7,046	\$-0-	N/A	\$ 7,046
Entergy Solutions Management Services LLC	ESSL	Same as above.	\$134,586	\$-0-	N/A	\$134,586

Edgar Filing: ENTERGY CORP /DE/ - Form U-9C-3

Entergy Solutions Supply LTD.	ESLTD	Same as above	\$(15,607)	\$-0-	N/A	\$(15,607)
Entergy Solutions Supply LTD.	ESSL	Same as above	\$495,709	\$-0-	N/A	\$495,709
Entergy Retail Texas, Inc.	ESLTD	Same as above	\$(45,257)	\$-0-	N/A	\$(45,257)
Entergy Retail Texas, Inc.	ESSL	Same as above	\$(6,783)	\$-0-	N/A	\$(6,783)
Entergy Nuclear Operations, Inc.	TLG	Same as above	\$359,042	\$-0-	N/A	\$359,042
Entergy Nuclear, Inc.	TLG	Same as above	\$318,601	\$-0-	N/A	\$318,601
Entergy Power Operations U.S., Inc.	EPRS	Same as above	\$1,090	\$-0-	N/A	\$1,090

ITEM 4 - SUMMARY OF AGGREGATE INVESTMENT

Investments in energy-related companies:

Total consolidated capitalization as of March 31, 2002	\$16,385,044,000	Line 1
Total capitalization multiplied by 15% (line 1 multiplied by 0.15)	2,457,756,600	Line 2
Greater of \$50 million or line 2	2,457,756,600	Line 3

Total current aggregate investment:

Energy marketing and brokering (ESLTD, EKTLP, EWO, EWOM, ESSL, Tradespark, and ESEL)	335,974,092
Energy related technical and similar services (EHI, Entergy Thermal, Entergy Thermal - UNO, EBS, and TLG)	57,872,121
Development and ownership of QFs (EPH and EPRS)	30,207,000
Ownership, operation, and servicing of fuel procurement, transportation handling, and storage facilities (ENPFS and Private Fuel Storage)	628,366
Total current aggregate investment*	424,681,579

Difference between the greater of \$50 million or 15% of capitalization and the total aggregate investment of the registered holding company system (line 3 less line 4)	\$2,033,075,021	Line 5
--	-----------------	--------



Edgar Filing: ENTERGY CORP /DE/ - Form U-9C-3

ITEM 5 - OTHER INVESTMENTS

Major line of energy-related business	Other investment in last U-9C-3 report	Other investment in this U-9C-3 report	Reason for difference in other investment
N/A	-0-	-0-	N/A

ITEM 6 - FINANCIAL STATEMENTS AND EXHIBITS

A. Financial Statements

Filed under confidential treatment pursuant to Rule 104(b).

B. Exhibits

1. Certificate of filing of Form U-9C-3 for the 4th Quarter of 2001 with interested state commissions and municipal regulator.

SIGNATURE

Pursuant to the requirements of the Public Utility Holding Company Act of 1935, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Entergy Corporation

By: /s/ Nathan E. Langston  
Nathan E. Langston  
Senior Vice President and Chief  
Accounting Officer

Dated: May 29, 2002