VALHI INC /DE/ Form 4 July 01, 2013

# FORM 4

Check this box

if no longer

subject to

Section 16.

Form 4 or

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

2. Issuer Name and Ticker or Trading

**OMB APPROVAL OMB** 

Number:

3235-0287

Expires:

5. Relationship of Reporting Person(s) to

Issuer

January 31, 2005

0.5

Estimated average burden hours per

response...

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

Symbol

Form 5 obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

SIMMONS HAROLD C

1. Name and Address of Reporting Person \*

			VALHI INC /DE/ [VHI]				(Check all applicable)			
(Last) 5430 LBJ	(First) FREEWAY, SUI	(Middle) TE 1700		of Earliest Day/Year) 2013	Fransaction	1		_X_ Director _X_ Officer (give below)	_X_ 10%	Owner or (specify
	(Street)			endment, I	_	nal		6. Individual or Joi Applicable Line) Form filed by Or		
DALLAS, TX 75240								_X_ Form filed by More than One Reporting Person		
(City)	(State)	(Zip)	Tak	ole I - Non-	Derivativ	e Secu	ırities Acqu	ired, Disposed of,	or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		Date, if	Code (Instr. 8)	4. Securionor Dispo (Instr. 3,	sed of		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common stock, \$0.01 par value per share	07/01/2013			J <u>(1)</u>	800	A	\$ 13.2395	425,414	I	By Contran
Common stock, \$0.01 par value per share	07/01/2013			J <u>(1)</u>	2,294	A	\$ 13.2952	427,708	I	By Contran
Common stock,	07/01/2013			J <u>(1)</u>	200	A	\$ 13.31	427,908	I	By Contran

### Edgar Filing: VALHI INC /DE/ - Form 4

\$0.01 par value per share								(2)
Common stock, \$0.01 par value per share	07/01/2013	J <u>(1)</u>	1,906	A	\$ 13.3499	429,814	I	By Contran
Common stock, \$0.01 par value per share	07/01/2013	J <u>(1)</u>	5,700	A	\$ 13.35	435,514	I	By Contran
Common stock, \$0.01 par value per share	07/01/2013	J <u>(1)</u>	1,917	A	\$ 13.3763	437,431	I	By Contran
Common stock, \$0.01 par value per share	07/01/2013	J <u>(1)</u>	1,500	A	\$ 13.4	438,931	I	By Contran
Common stock, \$0.01 par value per share	07/01/2013	J <u>(1)</u>	5,683	A	\$ 13.4198	444,614	I	By Contran
Common stock, \$0.01 par value per share						1,851,880	D	
Common stock, \$0.01 par value per share						314,033,148	I	by VHC
Common stock, \$0.01 par value per share						1,100,541	I	by CDCT
Common stock, \$0.01 par						818,514	I	by Spouse (5)

value per share

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Titl Amou Under Secur (Instr.	int of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owno Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Othe			
SIMMONS HAROLD C 5430 LBJ FREEWAY, SUITE 1700 DALLAS, TX 75240	X	X	Chairman of the Board				
CONTRAN CORP 5430 LBJ FREEWAY, SUITE 1700 DALLAS, TX 75240		X					
Cianaturas							

## **Signatures**

Andrew B. Nace, Attorney-in-fact, for Harold C. Simmons	07/01/2013		
**Signature of Reporting Person	Date		
Andrew B. Nace, Assistant Secretary, for Contran Corporation	07/01/2013		
**Signature of Reporting Person	Date		

Reporting Owners 3

Edgar Filing: VALHI INC /DE/ - Form 4

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Opern market purchase by Contran Corporation. See the Additional Information filed as Exhibit 99 to this statement for a description of the relationship between the persons joining in this filing.
- (2) Directly held by Contran Corporation. See the Additional Information filed as Exhibit 99 to this statement for a description of the relationship between the persons joining in this filing.
- (3) Directly held by Valhi Holding Company. See the Additional Information filed as Exhibit 99 to this statement for a description of the relationship to the persons joining in this filing.
- (4) Directly owned by the Contran Amended and Restated Deferred Compensation Trust. See the Additional Information filed as Exhibit 99 to this statement for a description of the relationship to the persons joining in this filing.
- Directly held by the reporting person's spouse. Mr. Simmons disclaims beneficial ownership of any shares of the issuer's common stock (5) that his spouse holds. See the Additional Information filed as Exhibit 99 to this statement for a description of the relationships to the persons joining in this filing.

#### **Remarks:**

**Exhibit Index** 

Exhibit 99 - Additional Information

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.