Edgar Filing: SIMMONS HAROLD C - Form 4

Form 4	HAROLD C										
November 0									OMB AF	PROVAL	
FORM	4 UNITED S	STATES		RITIES A shington,			NGE (COMMISSION	OMB Number:	3235-0287	
Check th				8,					Expires:	January 31,	
Section 16. Form 4 or			OF CHANGES IN BENEFICIAL OWNERSHIP O SECURITIES						Expires. 2005 Estimated average burden hours per response 0.5		
Form 5 obligatio may con <i>See</i> Instr 1(b).	ons Section 17(a	a) of the l	Public U		ling Cor	npan	y Act of	e Act of 1934, E 1935 or Section 40	1		
(Print or Type	Responses)										
	Address of Reporting B	Person <u>*</u>	Symbol	r Name and		⁻ Tradi	ng	5. Relationship of Issuer	Reporting Pers	on(s) to	
				INC /DE				(Checl	c all applicable)	
(Last)	(First) (N	/liddle)	3. Date of (Month/E	f Earliest Tr	ansaction			X Director	X 10%	Owner	
5430 LBJ F	FREEWAY, SUIT	E 1700	11/09/2	-				X Officer (give below)		er (specify	
	(Street)		4. If Ame	ndment, Da	te Origina	ıl		6. Individual or Jo	int/Group Filin	g(Check	
DALLAS,	TX 75240		Filed(Mo	nth/Day/Year)			Applicable Line) _X_ Form filed by C Form filed by M			
(City)		(Zip)	Tabl	L New D		C		Person	an Dan effetel	ha Orana d	
							-	uired, Disposed of		-	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Execution any		3. Transactio Code (Instr. 8)	4. Securi on(A) or D (Instr. 3,	ispose	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
G				Code V	Amount	(D)	Price	(IIIsu: 5 and 4)			
Common stock, \$0.01 par value per share	11/09/2012			Р	2,000	A	\$ 11.72	1,691,663	D		
Common stock, \$0.01 par value per share	11/09/2012			Р	2,000	A	\$ 11.73	1,693,663	D		
Common stock,								818,514	Ι	By spouse (1)	

\$0.01 par value per share						
Common stock, \$0.01 par value per share	314,033,148	Ι	by VHC (2)			
Common stock, \$0.01 par value per share	6,367,017	Ι	by TFMC (3)			
Common stock, \$0.01 par value per share	1,100,541	I	by CDCT (4)			
Common stock, \$0.01 par value per share	77,745	Ι	by Contran <u>(5)</u>			
Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.						
Table II Deviveding Committing As	wind Discoul of an Darofisially Ormed					

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. ofNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Amou Under Secur	le and int of rlying ities . 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships							
FB	Director 10% Owner		Officer	Other				
SIMMONS HAROLD C 5430 LBJ FREEWAY, SUITE 1700 DALLAS, TX 75240	Х	Х	Chairman of the Board					
Signatures								
A. Andrew R. Louis, Attorney-in-fact	, for Harc	old C.						
Simmons			11/09/2012					
<u>**</u> Signature of Reporting Per		Date						
Explanation of Respo	nses	:						

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Directly held by the reporting person's spouse. The reporting person disclaims beneficial ownership of any shares of the issuer's common stock that his spouse holds.
- (2) Directly held by Valhi Holding Company. See the Additional Information filed as Exhibit 99 to this statement for a description of the relationship to the reporting person.
- (3) Directly held by TIMET Finance Management Company. See the Additional Information filed as Exhibit 99 to this statement for a description of the relationship to the reporting person.
- (4) Directly held by the Contran Amended and Restated Deferred Compensation Trust. See the Additional Information filed as Exhibit 99 to this statement for a description of the relationship to the reporting person.
- (5) Directly held by Contran Corporation. See the Additional Information filed as Exhibit 99 to this statement for a description of the relationship to the reporting person.

Remarks:

Exhibit Index: Exhibit 99 - Additional Information

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.