SIMMONS HAROLD C

Form 5

value per share

February 03, 2012

FORM	1 5							OMB AF	PPROVAL		
. •	_	STATES SECU				GE C	OMMISSION	OMB Number:	3235-0362		
Check the no longe		W	Washington, D.C. 20549					Expires:	January 31, 2005		
to Section 16. Form 4 or Form 5 obligations may continue. See Instruction ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES									average rs per		
1(b).	Filed pur Holdings Section 17(I	suant to Section (a) of the Public (30(h) of the 1	Utility Holdi	ng Comp	any A	Act of	1935 or Section	1			
	Address of Reporting S HAROLD C	Symbol	r Name and Tio		ding		5. Relationship of Issuer	Reporting Pers	son(s) to		
(Last)	(First)	Middle) 3. State	3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year)X_ D				(Check all applicable)				
5430 LBJ 1	FREEWAY, SUIT	12/31/					below)	X 10% Owner e title Other (specify below) man of the Board			
	(Street)								oint/Group Reporting		
Filed(Month/Day/Year) (check applic					applicable line)	•					
DALLAS,	TX 75240						Form Filed by O _X_ Form Filed by M Person				
(City)	(State)	(Zip) Ta	ble I - Non-De	rivative Se	curitio	es Acqu	iired, Disposed of,	or Beneficial	ly Owned		
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquire (A) or Disposed of (D) (Instr. 3, 4 and 5) (A) or		d of	Securities Beneficially Owned at end of Issuer's Fiscal Year	or Indirect (I)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
				Amount	(D)	Price	(Instr. 3 and 4)	(Instr. 4)			
Common stock, \$0.01 par value per share	07/05/2011	Â	G <u>(1)</u>	51,100	D	\$ <u>(1)</u>	104,677,716	I	by VHC		
Common stock, \$0.01 par value per	09/27/2011	Â	G <u>(1)</u>	84,500	D	\$ <u>(1)</u>	104,677,716	I	by VHC		

Edgar Filing: SIMMONS HAROLD C - Form 5

Reminder: Report on a separate line for each class of			Persons who respond to the collection of information						SEC 2270
Common Stock, \$0.01 par value per share	Â	Â	Â	Â	Â	Â	25,915	Ι	by Contran
Common Stock, \$0.01 par value per share	Â	Â	Â	Â	Â	Â	221,447	I	by Spouse (5)
Common stock, \$0.01 par value per share	Â	Â	Â	Â	Â	Â	366,847	I	by CDCT
Common Stock, \$0.01 par value per share	Â	Â	Â	Â	Â	Â	393,486	D	Â
Common stock, \$0.01 par value per share	Â	Â	Â	Â	Â	Â	2,122,339	I	by TFMC

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

contained in this form are not required to respond unless

the form displays a currently valid OMB control number.

securities beneficially owned directly or indirectly.

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Amou Unde Secur	rlying	8. Price of Derivative Security (Instr. 5)	9. of D So Bo O En Is Fi
					(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

(9-02)

Reporting Owners

Reporting Owner Name / Address	Relationships						
reporting owner runte, runtess	Director	10% Owner	Officer	Other			
SIMMONS HAROLD C 5430 LBJ FREEWAY, SUITE 1700 DALLAS, TX 75240	ÂX	ÂX	Chairman of the Boar	rd Â			
CONTRAN CORP 5430 LBJ FREEWAY, SUITE 1700 DALLAS, TX 75240	Â	ÂX	Â	Â			
VALHI HOLDING CO 5430 LBJ FREEWAY, SUITE 1700 DALLAS, TX 75240	Â	ÂX	Â	Â			
DIXIE RICE AGRICULTURE CORP INC 5430 LBJ FREEWAY, SUITE 1700 DALLAS, TX 75240	Â	ÂX	Â	Â			
Signatures							
A. Andrew R. Louis, Secretary, for Contran	Corporat	ion	02/03/20	012			
**Signature of Reporting Pe	rson		Date				
A. Andrew R. Louis, Secretary, for Valhi Ho	olding Co	mpany	02/03/20	02/03/2012			
**Signature of Reporting Pe	rson		Date				
A. Andrew R. Louis, Secretary, for Dixie Ric Corporation, Inc.	ce Agricu	ıltural	02/03/20	012			
**Signature of Reporting Pe	rson		Date	Date			
A. Andrew R. Louis, Attorney-in-fact, for Ha	arold C. S	Simmons	02/03/20	012			
**Signature of Reporting Pe	Date	Date					

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Charitable gift(s) by Valhi Holding Company. See the Additional Information filed as Exhibit 99 to this statement for a description of the relationship among the persons joining in this filing.
- (2) Directly held by Valhi Holding Company. See the Additional Information filed as Exhibit 99 to this statement for a description of the relationship among the persons joining in this filing.
- (3) Directly held by TIMET Finance Management Company. See the Additional Information filed as Exhibit 99 to this statement for a description of the relationships among the persons joining in this filing.
- (4) Directly held by Contran Amended and Restated Deferred Compensation Trust. See the Additional Information filed as Exhibit 99 to this statement for a description of the relationships among the persons joining in this filing.
- Directly held by the reporting person's spouse. Mr. Simmons disclaims beneficial ownership of any shares of the issuer's common stock (5) that his spouse holds. See the Additional Information filed as Exhibit 99 to this statement for a description of the relationships among the persons joining in this filing.
- (6) Directly held by Contran Corporation. See the Additional Information filed as Exhibit 99 to this statement for a description of the relationship of an additional person who may be deemed to beneficially own these shares.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, see Instruction 6 for procedure.

Reporting Owners 3

Edgar Filing: SIMMONS HAROLD C - Form 5

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.