

CASTLE A M & CO  
Form 4  
May 07, 2002

<p><b>FORM 4</b></p> <p>[ ] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).</p>	<p><b>UNITED STATES SECURITIES AND EXCHANGE COMMISSION</b></p> <p>Washington, D.C. 20549</p> <p><b>STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP</b></p> <p>Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(f) of the Investment Company Act of 1940</p>	<p>OMB APPROVAL</p> <hr/> <p>OMB Number: 3235-0287 Expires: December 31, 2001 Estimated average burden hours per response. . . . . 0.5</p>
---	---	--

<p>1. Name and Address of Reporting Person* <b>Hooks, Stephen V.</b></p> <hr/> <p>(Last) (First) (Middle)</p> <p><b>3400 North Wolf Road</b></p> <hr/> <p>(Street)</p> <p><b>Franklin Park, IL 60131</b></p> <hr/> <p>(City) (State) (Zip)</p>	<p>2. Issuer Name and Ticker or Trading Symbol <b>A. M. Castle &amp; Co. CAS</b></p> <hr/> <p>3. I.R.S. Identification Number of Reporting Person, if an entity (voluntary)</p>	<p>4. Statement for (Month/Year) <b>April 2002</b></p> <hr/> <p>5. If Amendment, Date of Original (Month/Year)</p>	<p>6. Relationship of Reporting Person(s) to Issuer (Check all applicable)</p> <p>_____ Director _____ 10%</p> <p>Owner _____ <input checked="" type="checkbox"/> Officer _____</p> <p>Other _____</p> <p>Officer/Other Description <b>Vice President</b></p> <hr/> <p>7. Individual or Joint/Group Filing (Check Applicable Line)</p> <p><input checked="" type="checkbox"/> Individual Filing <input type="checkbox"/> Joint/Group Filing</p>
--	---	--	---

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned						
1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	3. Transaction Code and Voluntary Code (Instr. 8)	4. Securities Acquired (A) or Disposed (D) Of (Instr. 3, 4, and 5)	5. Amount of Securities Beneficially Owned at End of Month (Instr. 3 and 4)	6. Ownership Form: Direct(D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
		Code   V	Amount   A/D   Price			
Common Stock	04/05/2002	S   &nbsp;	2,800.0000   D   \$11.2500		D	
Common Stock	04/09/2002	S   &nbsp;	1,000.0000   D   \$11.2500		D	
Common Stock	04/10/2002	S   &nbsp;	1,700.0000   D   \$11.2500		D	
Common Stock	04/11/2002	S	2,877.0000   D   \$11.2500	18,960.0000	D	

Edgar Filing: CASTLE A M & CO - Form 4

Common Stock				566.7506	I	By PAYSOP Plan

(over)  
SEC 1474 (3-99)

Hooks, Stephen V. - April 2002

Form 4 (continued)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)										
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transaction Code and Voluntary Code (Instr.8)	5. Number of Derivative Securities Acquired (A) or Disposed (D) Of (Instr. 3,4 and 5)	6. Date Exercisable(DE) and Expiration Date(ED) (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr.5)	9. Number of Derivative Securities Beneficially Owned at End of Month (Instr.4)	10. Ownership Form of Derivative Security: Direct (D) or Indirect (I)	11. Nature of Indirect Beneficial Ownership (Instr.4)
			Code   V		(DE)   (ED)					
1996 Stock Option	\$18.75		A		07/25/1997   07/25/2006	Common Stock - 7,550.00			D	
1996 Stock Option	\$18.75	04/01/2002	J (1)   V	(D) 7,550.00	07/25/1997   07/25/2006	Common Stock - 7,550.00		0.00	D	
1997 Stock Option	\$21.88		A		07/25/1998   07/25/2007	Common Stock - 7,100.00			D	
1997 Stock Option	\$21.88	04/01/2002	J (1)   V	(D) 7,100.00	07/25/1998   07/25/2007	Common Stock - 7,100.00		0.00	D	
1998 Stock Option	\$20.25		A		07/24/1999   07/24/2008	Common Stock - 9,900.00			D	
1998 Stock Option	\$20.25	04/01/2002	J (1)   V	(D) 9,900.00	07/24/1999   07/24/2008	Common Stock - 9,900.00		0.00	D	
1999 Stock Option	\$16.00	04/01/2002	J (1)   V	(D) 20,000.00	07/22/2000   07/21/2009	Common Stock - 20,000.00		0.00	D	
2000 Stock Option	\$10.00				07/27/2001   07/27/2010	Common Stock - 25,000.00		25,000.00	D	
2001 Stock Option	\$11.00				07/26/2002   07/26/2011	Common Stock - 25,000.00		25,000.00	D	

Explanation of Responses :

Edgar Filing: CASTLE A M & CO - Form 4

\*\* Intentional misstatements or omissions of facts \_\_\_\_\_  
constitute Federal Criminal Violations.

See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).      \*\* Signature of Reporting Person  
Date

Note: File three copies of this Form, one of  
which must be manually signed. If space is  
insufficient,  
See Instruction 6 for procedure.

**Stephen V. Hooks**

Potential persons who are to respond to the  
collection of information contained in this form  
are not  
required to respond unless the form displays a  
currently valid OMB number.

Page 2  
SEC 1474 (3-99)

---

**Hooks, Stephen V. - April 2002**

**Form 4 (continued)**

**FOOTNOTE Descriptions for A. M. Castle & Co. CAS**

Form 4 - April 2002

**Stephen V. Hooks  
3400 North Wolf Road**

**Franklin Park, IL 60131**

---

**Explanation of responses:**

**(1) Purchase pursuant to dividend reinvestment plan**

Page 3