

STRAKA DONALD J  
Form 4  
December 06, 2018

**FORM 4** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

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**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
STRAKA DONALD J

(Last) (First) (Middle)

C/O GREAT WESTERN BANCORP, INC., 225 SOUTH MAIN AVENUE

(Street)

SIOUX FALLS, SD 57104

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol

Great Western Bancorp, Inc. [GWB]

3. Date of Earliest Transaction (Month/Day/Year)

12/04/2018

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_ Director \_\_\_ 10% Owner  
\_X\_ Officer (give title below) \_\_\_ Other (specify below)  
General Counsel and Secretary

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting Person  
\_\_\_ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3)          | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|--|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
|  |                                      |  |                                | (A) or (D)<br>Code V Amount (D) Price                             |   |  |                                   |
| Common Stock, par value \$0.01 per share |                                      |  |                                |   | 200   | I  | IRA                               |
| Common Stock, par value \$0.01 per share | 12/04/2018                           |  | M                              | 109 A \$ 0  | 2,609   | D  |                                   |
| Common Stock, par value \$0.01           | 12/04/2018 <sup>(1)</sup>            |  | A                              | 481 A \$ 0  | 3,090   | D  |                                   |

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per share

|   |                           |   |    |   |             |       |   |
|---|---------------------------|---|----|---|-------------|-------|---|
| Common<br>Stock, par<br>value \$0.01<br>per share | 12/04/2018 <sup>(2)</sup> | F | 27 | D | \$<br>34.51 | 3,063 | D |
|---|---------------------------|---|----|---|-------------|-------|---|

|   |                           |   |     |   |             |       |   |
|---|---------------------------|---|-----|---|-------------|-------|---|
| Common<br>Stock, par<br>value \$0.01<br>per share | 12/04/2018 <sup>(3)</sup> | F | 118 | D | \$<br>34.51 | 2,945 | D |
|---|---------------------------|---|-----|---|-------------|-------|---|

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.** SEC 1474 (9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|
|  |  |                                      |  | Code                           | V (A) (D)   | Date Exercisable Expiration Date                         | Title   | Amount or Number of Shares                 |
| Restricted Stock Units - 2015              | (4)  | 12/04/2018                           |  | M                              | 109   | (5) (5)  | Common Stock, par value \$0.01 per share                      | 109 \$                                     |
| Restricted Stock Units - 2016              | (4)  |                                      |  |                                |   | (6) (6)  | Common Stock, par value \$0.01 per share                      | 107  |
| Restricted Stock Units - 2017              | (4)  |                                      |  |                                |   | (7) (7)  | Common Stock, par value \$0.01 per share                      | 203  |

|   |     |     |     |  |     |
|---|-----|-----|-----|--|-----|
| Restricted<br>Stock<br>Units -<br>2018        | (4) | (8) | (8) | Common<br>Stock, par<br>value<br>\$0.01 per<br>share | 335 |
| Restricted<br>Stock<br>Units<br>(2018<br>STI) | (4) | (9) | (9) | Common<br>Stock, par<br>value<br>\$0.01 per<br>share | 658 |

## Reporting Owners

| Reporting Owner Name / Address   | Relationships |           |                               |       |
|--|---------------|-----------|-------------------------------|-------|
|  | Director      | 10% Owner | Officer                       | Other |
| STRAKA DONALD J<br>C/O GREAT WESTERN BANCORP, INC.<br>225 SOUTH MAIN AVENUE<br>SIOUX FALLS, SD 57104 |               |           | General Counsel and Secretary |       |

## Signatures

Donald J. Straka                      12/06/2018

Signature of                      Date  
Reporting Person

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The vesting and issuance of performance stock units granted in December 2015 and based on the achievement of pre-established performance objectives over a three year period ended September 30, 2018.
- (2) The units representing these shares were surrendered to satisfy tax withholding obligations on the vesting of restricted stock unit awards.
- (3) The units representing these shares were surrendered to satisfy tax withholding obligations on the vesting of performance stock unit awards.
- (4) Each restricted stock unit represents a contingent right to receive one share of the common stock, par value \$0.01 per share, of Great Western Bancorp, Inc.
- (5) The restricted stock units vest in three annual equal installments beginning on December 4, 2016. The restricted stock units have no expiration date.
- (6) The restricted stock units vest in three annual equal installments beginning on December 2, 2017. The restricted stock units have no expiration date.
- (7) The restricted stock units vest in three equal installments beginning on December 1, 2018. The restricted stock units have no expiration date.
- (8) The restricted stock units vest in three annual installments beginning on November 30, 2019. The restricted stock units have no expiration date.
- (9) The restricted stock units vest on November 30, 2019. The restricted stock units have no expiration date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.