

TRI Pointe Homes, Inc.  
Form 4  
June 04, 2015

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2015  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**Krivanec Ken**

(Last) (First) (Middle)

**C/O TRI POINTE HOMES,  
INC., 19540 JAMBOREE ROAD,  
SUITE 300**

(Street)

**IRVINE, CA 92612**

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
**TRI Pointe Homes, Inc. [TPH]**

3. Date of Earliest Transaction  
(Month/Day/Year)  
**06/02/2015**

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
**President of Quadrant Homes**

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
|                                 |                                      |  | Code                           | V   | Amount or Price (A) or (D)  |  |                                   |
| Common Stock                    | 06/02/2015                           |  | M                              |   | 5,883 A \$ 9.68 (1)   | 41,432   | D                                 |
| Common Stock                    | 06/02/2015                           |  | S                              |   | 5,883 D \$ 14.74 (2)  | 35,549   | D                                 |
| Common Stock                    | 06/02/2015                           |  | M                              |   | 5,805 (3) A \$ 11.45  | 41,354   | D                                 |
| Common Stock                    | 06/02/2015                           |  | S                              |   | 5,805 (3) D \$ 14.74 (2)  | 35,549   | D                                 |

Edgar Filing: TRI Pointe Homes, Inc. - Form 4

|                 |            |  |   |       |   |                           |        |  |   |
|-----------------|------------|--|---|-------|---|---------------------------|--------|--|---|
| Common<br>Stock | 06/02/2015 |  | S | 5,432 | D | \$<br>14.74<br><u>(2)</u> | 30,117 |  | D |
|-----------------|------------|--|---|-------|---|---------------------------|--------|--|---|

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Amount or Number of Shares |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|-------------------------------|
| Stock option (right to buy)                | \$ 9.68 <sup>(1)</sup>                                 | 06/02/2015                           |  | M                              | 5,883   | 07/07/2014 02/08/2022                                    | Common Stock  | 5,883                         |
| Stock option (right to buy)                | \$ 11.45   | 06/02/2015                           |  | M                              | 5,805 <sup>(3)</sup>  | 07/07/2014 02/09/2021                                    | Common Stock  | 5,805 <sup>(3)</sup>          |

## Reporting Owners

| Reporting Owner Name / Address   | Relationships |           |                             |       |
|--|---------------|-----------|-----------------------------|-------|
|  | Director      | 10% Owner | Officer                     | Other |
| Krivanec Ken<br>C/O TRI POINTE HOMES, INC.<br>19540 JAMBOREE ROAD, SUITE 300<br>IRVINE, CA 92612 |               |           | President of Quadrant Homes |       |

## Signatures

Glenn J. Keeler,  
attorney-in-fact

06/04/2015

        Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Adjusted due to rounding, originally reported as \$9.67.

The sales price is a weighted average price. The shares were sold in multiple transactions at prices ranging from \$14.70 to \$14.7675,

(2) inclusive. The reporting person undertakes to provide TRI Pointe Homes, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range.

(3) Adjusted due to rounding, originally reported as 5,804.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.