

Platform Specialty Products Corp

Form 4

April 04, 2017

**FORM 4****UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
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(Print or Type Responses)

1. Name and Address of Reporting Person \*  
ASHKEN IAN G H

(Last) (First) (Middle)

C/O PLATFORM SPECIALTY  
PRODUCTS CORP., 1450  
CENTREPARK BLVD, SUITE 210

(Street)

WEST PALM BEACH, FL 33401

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol  
Platform Specialty Products Corp  
[PAH]

3. Date of Earliest Transaction  
(Month/Day/Year)  
04/04/2017

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner  
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of<br>Security<br>(Instr. 3)                | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3. Transaction<br>Code<br>(Instr. 8) | 4. Securities Acquired<br>or Disposed of<br>(D)<br>(Instr. 3, 4 and 5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------|-------------------------------------------------------------------|
| Common<br>Stock, par<br>value<br>\$0.01 per<br>share | 04/04/2017                              |                                                             | M                                    | 11,325                                                                 | A 11,325                                                                                                           | D                                                                       |                                                                   |
| Common<br>Stock, par<br>value<br>\$0.01 per<br>share | 04/04/2017                              |                                                             | J                                    | 11,325                                                                 | D 0                                                                                                                | D                                                                       |                                                                   |

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|                                                      |            |   |        |   |     |           |   |                                    |
|------------------------------------------------------|------------|---|--------|---|-----|-----------|---|------------------------------------|
| Common<br>Stock, par<br>value<br>\$0.01 per<br>share | 04/04/2017 | J | 11,325 | A | (1) | 11,325    | I | By Trust (2)                       |
| Common<br>Stock, par<br>value<br>\$0.01 per<br>share |            |   |        |   |     | 171,555   | I | By Tasburgh<br>LLC                 |
| Common<br>Stock, par<br>value<br>\$0.01 per<br>share |            |   |        |   |     | 1,182,937 | I | By Mariposa<br>Acquisition,<br>LLC |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)                 | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transaction<br>Code<br>(Instr. 8) | 5. Number of<br>Derivative<br>Securities<br>Acquired (A)<br>or Disposed of<br>(D)<br>(Instr. 3, 4,<br>and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |     | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |                    | 8               |                                  |
|---------------------------------------------------------------------|-----------------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|-----------------------------------------|--------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------|-----|---------------------------------------------------------------------|--------------------|-----------------|----------------------------------|
|                                                                     |                                                                       |                                         |                                                             | Code                                    | V                                                                                                            | (A)                                                            | (D) | Date<br>Exercisable                                                 | Expiration<br>Date | Title           | Amount or<br>Number of<br>Shares |
| Restricted<br>Stock<br>Units                                        | (1)                                                                   | 04/04/2017                              |                                                             | M                                       |                                                                                                              | 11,325                                                         |     | (1)                                                                 | (1)                | Common<br>Stock | 11,325                           |
| Series A<br>Preferred<br>Stock, par<br>value<br>\$0.01 per<br>share | (3)                                                                   |                                         |                                                             |                                         |                                                                                                              |                                                                |     | (3)                                                                 | (3)                | Common<br>Stock | 119,992                          |

## Reporting Owners

| Reporting Owner Name / Address                                                                                          | Relationships |           |         |       |
|-------------------------------------------------------------------------------------------------------------------------|---------------|-----------|---------|-------|
|                                                                                                                         | Director      | 10% Owner | Officer | Other |
| ASHKEN IAN G H<br>C/O PLATFORM SPECIALTY PRODUCTS CORP.<br>1450 CENTREPARK BLVD, SUITE 210<br>WEST PALM BEACH, FL 33401 | X             |           |         |       |

## Signatures

/s/ John E. Capps as Attorney-in-Fact for Ian G.H.  
Ashken

04/04/2017

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) This transaction represents the settlement of restricted stock units ("RSUs") previously reported which vested on 04/04/2017. Each RSU represented a contingent right to receive one share of the Issuer's common stock.
- (2) The shares are held by a revocable trust. Mr. Ashken is the trustee of this trust and may be considered to have beneficial ownership of the trust's interests in the Issuer.  
  
Each share of Series A Preferred Stock is convertible at any time at the election of the holder, on a one-for-one basis, into shares of the Issuer's common stock for no additional consideration. The Series A Preferred Stock shall automatically convert into the Issuer's common stock upon the earlier to occur of (i) a change of control of the Issuer or (ii) December 31, 2020 (unless extended in accordance with the terms of the Series A Preferred Stock).
- (3)

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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