Weissmann Jeffrey Form 4 February 28, 2019

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Weissmann Jeffrey

(First) (Middle)

C/O NGHC, 59 MAIDEN LANE, 38TH FLOOR

NEW YORK, NY 10038

(Street)

2. Issuer Name and Ticker or Trading

Symbol

National General Holdings Corp. [NGHC]

3. Date of Earliest Transaction

(Month/Day/Year) 02/26/2019

4. If Amendment, Date Original

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

**OMB APPROVAL** 

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January 31,

2005

0.5

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Number:

Expires:

response...

Estimated average

burden hours per

Director 10% Owner Other (specify \_X\_\_ Officer (give title below)

General Counsel & Secretary

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

(City)	(State) (Zip)	Table I - No	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned							
Title of	2 Transaction Date 2A Dec	emed 3.	4 Securities Acquired 5 Amount of	6 Ownership 7 Nature o						

1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	ransaction(A) or Disposed of ode (D)			5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		
Common Stock, \$.01 par value	02/26/2019		M	10,322 (1)	A	\$0	31,130	D	
Common Stock, \$.01 par value	02/26/2019		F	3,485 (2)	D	\$0	27,645	D	
Common Stock, \$.01 par value	02/26/2019		M	2,002 (3)	A	\$0	29,647	D	
Common	02/26/2019		F	776 <u>(4)</u>	D	\$0	28,871	D	

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Stock, \$.01 par value Common 8,367 Stock, \$.01 02/28/2019 M \$ 0 37,238 D (5) par value Common 2,843 Stock, \$.01 02/28/2019 F D \$0 34,395 D (6) par value

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of ctionDerivative Securities Acquired 8) (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Share
Restricted Stock Units	(7)	02/26/2019		M		10,322	<u>(8)</u>	(8)	Common Stock, \$.01 par value	10,322
Restricted Stock Units	(7)	02/26/2019		M		2,002	<u>(9)</u>	<u>(9)</u>	Common Stock, \$.01 par value	2,002
Restricted Stock Units	(7)	02/28/2019		M		8,367	(10)	(10)	Common Stock, \$.01 par value	8,367
Restricted Stock Units	(7)	02/26/2019		A	46,796		<u>(11)</u>	<u>(11)</u>	Common Stock, \$.01 par value	46,796

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Weissmann Jeffrey C/O NGHC 59 MAIDEN LANE, 38TH FLOOR NEW YORK, NY 10038

General Counsel & Secretary

#### **Signatures**

/s/ Jeffrey

Weissmann 02/28/2019

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Acquisition of common stock resulting from vesting of one-third of the restricted stock units granted to Mr. Weissmann on February 26, 2018.
- (2) Disposition of common stock resulting from the withholding of securities for the payment of tax liability relating to the vesting of one-third of the restricted stock units granted to Mr. Weissmann on February 26, 2018.
- (3) Acquisition of common stock resulting from vesting of one-third of the restricted stock units granted to Mr. Weissmann on February 26, 2016
- (4) Disposition of common stock resulting from the withholding of securities for the payment of tax liability relating to the vesting of one-third of the restricted stock units granted to Mr. Weissmann on February 26, 2016.
- (5) Acquisition of common stock resulting from vesting of one-third of the restricted stock units granted to Mr. Weissmann on February 28, 2017.
- (6) Disposition of common stock resulting from the withholding of securities for the payment of tax liability relating to the vesting of one-third of the restricted stock units granted to Mr. Weissmann on February 28, 2017.
- (7) Each restricted stock unit represents a contingent right to receive one share of National General Holdings Corp.'s common stock.
- On February 26, 2018, Mr. Weissmann received restricted stock units representing 30,966 shares subject to a three-year vesting schedule, vesting 33 1/3% on the first, second and third anniversaries of the grant date. As restricted stock units vest, the vested units are automatically converted to vested common stock on a one-for-one basis on the vesting date.
- On February 26, 2016, Mr. Weissmann received restricted stock units representing 6,003 shares subject to a three-year vesting schedule, vesting 33 1/3% on the first, second and third anniversaries of the grant date. As restricted stock units vest, the vested units are automatically converted to vested common stock on a one-for-one basis on the vesting date.
- On February 28, 2017, Mr. Weissmann received restricted stock units representing 25,101 shares subject to a three-year vesting (10) schedule, vesting 33 1/3% on the first, second and third anniversaries of the grant date. As restricted stock units vest, the vested units are automatically converted to vested common stock on a one-for-one basis on the vesting date.
- On February 26, 2019, Mr. Weissmann received restricted stock units representing 46,796 shares subject to a three-year vesting (11) schedule, vesting 33 1/3% on the first, second and third anniversaries of the grant date. As restricted stock units vest, the vested units are automatically converted to vested common stock on a one-for-one basis on the vesting date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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