

KAUCHAK JOHN J  
Form 4  
April 29, 2019

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
KAUCHAK JOHN J

2. Issuer Name and Ticker or Trading Symbol  
UNITY BANCORP INC /NJ/  
[UNTY]

5. Relationship of Reporting Person(s) to Issuer  
  
(Check all applicable)

(Last) (First) (Middle)  
UNITY BANK, 64 OLD  
HIGHWAY 22  
  
(Street)

3. Date of Earliest Transaction  
(Month/Day/Year)  
04/25/2019

\_\_\_\_ Director  
\_\_\_\_ Officer (give title below)  
\_\_\_\_ 10% Owner  
\_\_\_\_ Other (specify below)  
EVP/COO

CLINTON, NJ 08809

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
|                                 |                                      |  | Code                           | V Amount (D) Price  |   |  |                                   |
| Common Stock                    | 04/25/2019                           |  | M                              | 2,426 A \$ 6.06   | 55,016  | D  |                                   |
| Common Stock                    | 04/25/2019                           |  | S                              | 2,426 D \$ 20.6828  | 52,590  | D  |                                   |
| Common Stock                    | 04/26/2019                           |  | M                              | 74 A \$ 6.06  | 52,664  | D  |                                   |
| Common Stock                    | 04/26/2019                           |  | S                              | 74 D \$ 20.65   | 52,590 <sup>(1)</sup>   | D  |                                   |
| Restricted Stock                |                                      |  |                                |   | 10,050 <sup>(2)</sup>   | D  |                                   |

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Amount or Number of Shares |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|-------------------------------|
| Stock Option (Right to Buy)                | \$ 6.06  | 04/25/2019                           |  | M                              | 2,426   | <sup>(3)</sup> 05/26/2021                                | Common Stock  | 2,426                         |
| Stock Option (Right to Buy)                | \$ 6.06  | 04/26/2019                           |  | M                              | 74  | <sup>(3)</sup> 05/26/2021                                | Common Stock  | 74                            |

## Reporting Owners

| Reporting Owner Name / Address   | Relationships |           |         |       |
|--|---------------|-----------|---------|-------|
|  | Director      | 10% Owner | Officer | Other |
| KAUCHAK JOHN J<br>UNITY BANK<br>64 OLD HIGHWAY 22<br>CLINTON, NJ 08809 |               |           | EVP/COO |       |

## Signatures

John J. Kauchak, POA Laurie Cook,  
Controller/SVP

04/29/2019

\_\_\_\_\_\*Signature of Reporting Person

\_\_\_\_\_\*Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 52,590 shares consisting of 51,117 shares held in an account at Shareworks, and 1,473 shares held in an account at Computershare.
- (2) 10,050 shares held in an account at Computershare, which have upcoming vesting dates.
- (3) Vests 3,667 share(s) on 26-May-2012, 3,666 share(s) on 26-May-2013, 3,667 share(s) on 26-May-2014
- (4) 89,800 stock options were granted under various dates and vesting periods of which 69,801 are currently exercisable.

### Remarks:

Total Beneficial Ownership: 132,441

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.