Sharma Arjun Form 4 February 26, 2019

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

3235-0287

Expires:

January 31, 2005

0.5

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**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5 obligations

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

02/23/2019

02/23/2019

02/23/2019

Stock

Stock

Stock

Common

Common

may continue.

See Instruction

1. Name and A Sharma Arju	Symbol	CIRCOR INTERNATIONAL INC				5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)				
(Last) 30 CORPOR	(First) (M	(Month/D	3. Date of Earliest Transaction (Month/Day/Year) 02/23/2019				Director 10% Owner Officer (give title Other (specify below)  Sr VP, Business Development			
	(Street)		4. If Amendment, Date Original Filed(Month/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			
BURLINGT	ON, MA 01803-4	1238								
(City)	(State) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned									
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securities onAcquired (A) or Disposed of (D) (Instr. 3, 4 and 5)  (A) or Amount (D) Price		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)  7. Nature Beneficial Ownership (Instr. 4)			
Common Stock	02/23/2019	02/23/2019	M	54	A	<u>(1)</u>	13,718	D		
Common										

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

02/23/2019

02/23/2019

02/23/2019

F

M

F

17

343

120

D

A

D

<u>(1)</u>

<u>(2)</u>

<u>(2)</u>

13,701

14,044

13,924

D

D

D

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SEC 1474 (9-02)

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number on f Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4,	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	and 5) (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Management Stock Purchase	\$ 0	02/23/2019	02/23/2019	M	54	02/23/2019	02/23/2026	Common Stock	54
Restricted Stock Unit	\$ 0	02/23/2019	02/23/2019	M	343	02/23/2019	02/23/2026	Common Stock	343

### **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Sharma Arjun 30 CORPORATE DR. SUITE 200 BURLINGTON, MA 01803-4238

Sr VP, Business Development

#### **Signatures**

/s/ Tanya Dawkins, attorney-in-fact 02/26/2019

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The Restricted Stock Units (RSUs), the conversion of which are reported herein, were issued to the Reporting Person pursuant to issuers Management Stock Purchase Plan (MSPP) on 2/23/2016 utilizing a fair market value (FMV) of a share of the issuers stock of \$38.89. RSUs are issued in whole units on the basis of a 33 percent discount from FMV of the issuers common stock on the date the underlying bonus is determined (\$26.06 in this case) and generally vest 3 years from date of grant, at which time they convert into shares of common

Reporting Owners 2

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stock unless the executive has previously elected a longer deferral period. Acquisition price reflects the 33% discount to FMV of issuers stock on grant date. This report reflects the acquisition by the Reporting Person of the common stock underlying the RSUs minus sufficient shares withheld to pay applicable income taxes.

- The Restricted Stock Units (RSUs), the conversion of which are reported herein, were granted to the Reporting Person by the issuer as part of equity incentive grants made by the issuer on 2/23/2016 utilizing a fair market value (FMV) of a share of the issuers stock of
- (2) \$38.89. The RSU grant vests in equal portions over a three year period, and are received by the Reporting Person upon vesting, on a one-for-one basis. This report reflects the final vesting of one-third portion of the original RSU grant and the acquisition by the Reporting Person of the underlying shares minus sufficient shares withheld to pay applicable income taxes.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.