

STEINBERG JOSEPH S
Form 4
April 03, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
STEINBERG JOSEPH S

(Last) (First) (Middle)

C/O CRIMSON WINE GROUP LTD., 2700 NAPA VALLEY CORPORATE DRIVE

(Street)

NAPA, CA 94558

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol

Crimson Wine Group, Ltd [CWGL]

3. Date of Earliest Transaction (Month/Day/Year)

04/02/2018

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V Amount (A) or (D) Price			
Common Stock	04/02/2018		P ⁽¹⁾	1,000 A \$ 9.5	362,746	D	
Common Stock					107,862	I	By Paul S. Steinberg 2004 Trust
Common Stock					107,860	I	By Rachel C. Steinberg 2004 Trust
Common					107,860	I	By Sarah

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Stock				A. Steinberg 2004 Trust
Common Stock	57,403	I		By JSS 2010 Family Trust
Common Stock	14,400	I		By Spouse
Common Stock	720	I		By Daughter
Common Stock	200,000	I		By JSS Holding Corp - 1
Common Stock	70,000	I		By JSS Holding Corp - 2
Common Stock	200,000	I		By JSS Holding Corp - 3
Common Stock	200,000	I		By JSS Holding Corp - 4
Common Stock	200,000	I		By JSS Holding Corp - 5
Common Stock	200,000	I		By JSS Holding Corp - 6
Common Stock	200,000	I		By JSS Holding Corp - 7
Common Stock	114,806	I		By Steinberg Holding Inc - D
Common Stock	114,806	I		By Steinberg Holding Inc - E
Common Stock	114,806	I		By Steinberg Holding Inc - F

Common Stock	114,806	I	By Steinberg Holding Inc - G
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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1474
(9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Owned Following Transaction (Instr. 3 and 4)
						Date Exercisable	Expiration Date	Title	Amount or Number of Shares
						Code	V	(A)	(D)

Reporting Owners

Reporting Owner Name / Address

Relationships

Director	10% Owner	Officer	Other
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STEINBERG JOSEPH S
 C/O CRIMSON WINE GROUP LTD.
 2700 NAPA VALLEY CORPORATE DRIVE
 NAPA, CA 94558

X

Signatures

/s/ Shannon McLaren as
 Attorney-in-Fact

04/03/2018

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Shares purchased pursuant to a Rule 10b5-1 plan entered into by the reporting person.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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