

Edgar Filing: Guggenheim Credit Allocation Fund - Form NSAR-B

Guggenheim Credit Allocation Fund
Form NSAR-B
July 30, 2018

PAGE 1
000 B000000 05/31/2018
000 C000000 0001551949
000 D000000 N
000 E000000 NF
000 F000000 Y
000 G000000 N
000 H000000 N
000 I000000 6.1
000 J000000 A
001 A000000 Guggenheim Credit Allocation Fund
001 B000000 811-22715
001 C000000 3128270100
002 A000000 227 WEST MONROE STREET
002 B000000 CHICAGO
002 C000000 IL
002 D010000 60606
003 000000 N
004 000000 N
005 000000 N
006 000000 N
007 A000000 N
007 B000000 0
008 A000001 GUGGENHEIM FUNDS INVESTMENT ADVISORS, LLC
008 B000001 A
008 C000001 801-000000
008 D010001 CHICAGO
008 D020001 IL
008 D030001 60606
008 A000002 GUGGENHEIM PARTNERS INVESTMENT MANAGEMENT LLC
008 B000002 S
008 C000002 801-000000
008 D010002 SANTA MONICA
008 D020002 CA
008 D030002 90401
010 A000001 MUFG Investor Services (US), LLC
010 C010001 ROCKVILLE
010 C020001 MD
010 C030001 20850
011 A000001 UBS SECURITIES LLC
011 B000001 8-00000000
011 C010001 NEW YORK
011 C020001 NY
011 C030001 10171
011 A000002 WELLS FARGO SECURITIES LLC
011 B000002 8-00000000
011 C010002 CHARLOTTE
011 C020002 NC
011 C030002 28202
012 A000001 COMPUTERSHARE TRUST COMPANY, N.A.
012 B000001 84-0000000
012 C010001 COLLEGE STATION
PAGE 2
012 C020001 TX
012 C030001 77842
012 C040001 3170
013 A000001 ERNST & YOUNG LLP
013 B010001 TYSONS
013 B020001 VA

Edgar Filing: Guggenheim Credit Allocation Fund - Form NSAR-B

013 B030001 22102
014 A000001 GUGGENHEIM INVESTOR SERVICES, LLC
014 B000001 8-44742
014 A000002 GUGGENHEIM SECURITIES, LLC
014 B000002 8-49107
014 A000003 GUGGENHEIM FUNDS DISTRIBUTORS, LLC
014 B000003 8-48842
014 A000004 SAMMONS FINANCIAL NETWORK, LLC
014 B000004 8-68905
014 A000005 GLM SECURITIES, LLC
014 B000005 8-69770
014 A000006 GUGGENHEIM PARTNERS JAPAN, LTD.
014 B000006 8-0
014 A000007 GUGGENHEIM SECURITIES INTERNATIONAL LTD
014 B000007 8-0
014 A000008 GUGGENHEIM KBBO PARTNERS LTD
014 B000008 8-0
015 A000001 THE BANK OF NEW YORK MELLON
015 B000001 C
015 C010001 NEW YORK
015 C020001 NY
015 C030001 10286
015 E010001 X
018 000000 Y
019 A000000 N
019 B000000 0
021 000000 0
022 A000001 BNP PARIBAS
022 B000001 UNKNOWN
022 C000001 8542
022 D000001 145817
022 A000002 NOMURA SECURITIES CO.
022 B000002 UNKNOWN
022 C000002 299
022 D000002 117582
022 A000003 JPMORGAN CHASE & CO.
022 B000003 UNKNOWN
022 C000003 9295
022 D000003 65266
022 A000004 HSBC SECURITIES, INC.
022 B000004 13-2650272
022 C000004 2810
022 D000004 71008
022 A000005 RBC CAPITAL MARKETS
022 B000005 UNKNOWN
PAGE 3
022 C000005 5605
022 D000005 61233
022 A000006 SOCIETE GENERAL GROUP
022 B000006 13-1976032
022 C000006 0
022 D000006 50867
022 A000007 BARCLAYS CAPITAL INC.
022 B000007 UNKNOWN
022 C000007 8716
022 D000007 27777
022 A000008 BANK OF AMERICA MERRILL LYNCH
022 B000008 UNKNOWN
022 C000008 21488
022 D000008 14810
022 A000009 CREDIT SUISSE SECURITIES (USA) LLC
022 B000009 UNKNOWN

Edgar Filing: Guggenheim Credit Allocation Fund - Form NSAR-B

022 C000009 12715
 022 D000009 20324
 022 A000010 CANADIAN IMPERIAL BANK OF COMMERCE
 022 B000010 UNKNOWN
 022 C000010 0
 022 D000010 29940
 023 C000000 117801
 023 D000000 644424
 024 000000 Y
 025 A000001 Bank of America
 025 B000001 unknown
 025 C000001 D
 025 D000001 1196
 025 A000002 Citigroup Global Markets
 025 B000002 unknown
 025 C000002 D
 025 D000002 1122
 025 A000003 Jeffries & Company, Inc.
 025 B000003 95-2622900
 025 C000003 D
 025 D000003 4736
 026 A000000 N
 026 B000000 Y
 026 C000000 N
 026 D000000 Y
 026 E000000 N
 026 F000000 N
 026 G010000 N
 026 G020000 N
 026 H000000 N
 027 000000 N
 045 000000 Y
 046 000000 N
 047 000000 Y
 048 000000 1.000
 PAGE 4
 048 A010000 0
 048 A020000 0.000
 048 B010000 0
 048 B020000 0.000
 048 C010000 0
 048 C020000 0.000
 048 D010000 0
 048 D020000 0.000
 048 E010000 0
 048 E020000 0.000
 048 F010000 0
 048 F020000 0.000
 048 G010000 0
 048 G020000 0.000
 048 H010000 0
 048 H020000 0.000
 048 I010000 0
 048 I020000 0.000
 048 J010000 0
 048 J020000 0.000
 048 K010000 0
 048 K020000 0.000
 049 000000 N
 050 000000 N
 051 000000 N
 052 000000 N

Edgar Filing: Guggenheim Credit Allocation Fund - Form NSAR-B

| | | | |
|-----|---------|---|------|
| 053 | A000000 | N | |
| 054 | A000000 | Y | |
| 054 | B000000 | Y | |
| 054 | C000000 | N | |
| 054 | D000000 | N | |
| 054 | E000000 | N | |
| 054 | F000000 | N | |
| 054 | G000000 | N | |
| 054 | H000000 | Y | |
| 054 | I000000 | N | |
| 054 | J000000 | Y | |
| 054 | K000000 | N | |
| 054 | L000000 | N | |
| 054 | M000000 | Y | |
| 054 | N000000 | N | |
| 054 | O000000 | N | |
| 055 | A000000 | Y | |
| 055 | B000000 | Y | |
| 056 | 000000 | N | |
| 057 | 000000 | N | |
| 058 | A000000 | N | |
| 059 | 000000 | Y | |
| 060 | A000000 | Y | |
| 060 | B000000 | Y | |
| 061 | 000000 | | 0 |
| | PAGE | 5 | |
| 062 | A000000 | Y | |
| 062 | B000000 | | 0.0 |
| 062 | C000000 | | 0.0 |
| 062 | D000000 | | 0.0 |
| 062 | E000000 | | 0.0 |
| 062 | F000000 | | 0.0 |
| 062 | G000000 | | 0.0 |
| 062 | H000000 | | 0.0 |
| 062 | I000000 | | 0.0 |
| 062 | J000000 | | 0.0 |
| 062 | K000000 | | 0.0 |
| 062 | L000000 | | 0.0 |
| 062 | M000000 | | 0.0 |
| 062 | N000000 | | 0.0 |
| 062 | O000000 | | 0.0 |
| 062 | P000000 | | 86.9 |
| 062 | Q000000 | | 54.1 |
| 062 | R000000 | | 7.5 |
| 063 | A000000 | | 0 |
| 063 | B000000 | | 7.0 |
| 064 | A000000 | N | |
| 064 | B000000 | N | |
| 065 | 000000 | | |
| 066 | A000000 | N | |
| 066 | B000000 | | |
| 066 | C000000 | | |
| 066 | D000000 | | |
| 066 | E000000 | | |
| 066 | F000000 | | |
| 066 | G000000 | | |
| 067 | 000000 | N | |
| 068 | A000000 | N | |
| 068 | B000000 | N | |
| 069 | 000000 | N | |
| 070 | A010000 | Y | |
| 070 | A020000 | N | |

Edgar Filing: Guggenheim Credit Allocation Fund - Form NSAR-B

070 B010000 Y
 070 B020000 N
 070 C010000 Y
 070 C020000 N
 070 D010000 Y
 070 D020000 N
 070 E010000 Y
 070 E020000 N
 070 F010000 Y
 070 F020000 N
 070 G010000 Y
 070 G020000 N
 070 H010000 Y
 070 H020000 N
 070 I010000 Y

PAGE 6

070 I020000 N
 070 J010000 Y
 070 J020000 Y
 070 K010000 Y
 070 K020000 Y
 070 L010000 Y
 070 L020000 Y
 070 M010000 Y
 070 M020000 Y
 070 N010000 Y
 070 N020000 N
 070 O010000 Y
 070 O020000 Y
 070 P010000 Y
 070 P020000 Y
 070 Q010000 N
 070 Q020000 N
 070 R010000 Y
 070 R020000 N

| | |
|-------------|--------|
| 071 A000000 | 144187 |
| 071 B000000 | 105485 |
| 071 C000000 | 227979 |
| 071 D000000 | 46 |
| 072 A000000 | 12 |
| 072 B000000 | 18891 |
| 072 C000000 | 353 |
| 072 D000000 | 0 |
| 072 E000000 | 0 |
| 072 F000000 | 2304 |
| 072 G000000 | 61 |
| 072 H000000 | 0 |
| 072 I000000 | 19 |
| 072 J000000 | 35 |
| 072 K000000 | 0 |
| 072 L000000 | 45 |
| 072 M000000 | 93 |
| 072 N000000 | 24 |
| 072 O000000 | 48 |
| 072 P000000 | 1394 |
| 072 Q000000 | 78 |
| 072 R000000 | 53 |
| 072 S000000 | 78 |
| 072 T000000 | 0 |
| 072 U000000 | 0 |
| 072 V000000 | 0 |
| 072 W000000 | 8 |

Edgar Filing: Guggenheim Credit Allocation Fund - Form NSAR-B

072 X000000 4240
 072 Y000000 0
 072 Z000000 15004
 072AA000000 0
 072BB000000 1460

PAGE 7

072CC010000 0
 072CC020000 6161
 072DD010000 15894
 072DD020000 0
 072EE000000 0
 073 A010000 2.1800
 073 A020000 0.0000
 073 B000000 0.0000
 073 C000000 0.0000
 074 A000000 667
 074 B000000 0
 074 C000000 0
 074 D000000 228144
 074 E000000 3205
 074 F000000 2039
 074 G000000 0
 074 H000000 0
 074 I000000 1648
 074 J000000 2047
 074 K000000 0
 074 L000000 3099
 074 M000000 128
 074 N000000 240977
 074 O000000 9866
 074 P000000 199
 074 Q000000 0
 074 R010000 71919
 074 R020000 0
 074 R030000 0
 074 R040000 759
 074 S000000 0
 074 T000000 158234
 074 U010000 7370
 074 U020000 0
 074 V010000 21.47
 074 V020000 0.00
 074 W000000 0.0000
 074 X000000 5769
 074 Y000000 96321
 075 A000000 0
 075 B000000 162253
 076 000000 22.70

077 A000000 Y
 077 B000000 Y
 077 C000000 N
 077 D000000 N
 077 E000000 N
 077 F000000 N
 077 G000000 N
 077 H000000 N
 077 I000000 N

PAGE 8

077 J000000 N
 077 K000000 N
 077 L000000 N
 077 M000000 N

Edgar Filing: Guggenheim Credit Allocation Fund - Form NSAR-B

077 N000000 N
077 O000000 N
077 P000000 N
077 Q010000 N
077 Q020000 N
077 Q030000 N
078 000000 N
080 A000000 ICI Mutual Insurance Company
080 C000000 15225
081 A000000 Y
081 B000000 9
082 A000000 N
082 B000000 0
083 A000000 N
083 B000000 0
084 A000000 N
084 B000000 0
085 A000000 Y
085 B000000 N
086 A010000 356
086 A020000 8081
086 B010000 0
086 B020000 0
086 C010000 0
086 C020000 0
086 D010000 0
086 D020000 0
086 E010000 0
086 E020000 0
086 F010000 0
086 F020000 0
087 A010000 COMMON SHARES
087 A020000 40168G108
087 A030000 GGM
088 A000000 N
088 B000000 N
088 C000000 N
088 D000000 N
SIGNATURE Kimberly Scott
TITLE Assistant Treasurer