

BAXTER INTERNATIONAL INC  
 Form 4  
 July 24, 2013

**FORM 4** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**HOMBACH ROBERT J.**

2. Issuer Name and Ticker or Trading Symbol  
**BAXTER INTERNATIONAL INC [BAX]**

5. Relationship of Reporting Person(s) to Issuer  
 (Check all applicable)

(Last) (First) (Middle)  
**ONE BAXTER PARKWAY**  
 (Street)

3. Date of Earliest Transaction (Month/Day/Year)  
**07/22/2013**

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)  
 Corporate Vice President & CFO

**DEERFIELD, IL 60015**

(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
|                                 |                                      |  | Code                           | V   | Amount  | (A) or (D)   | Price   |
| Common Stock, \$1 par value     | 07/22/2013                           |  | M <sup>(1)</sup>               |   | 5,040   | A  | \$ 38.35  |
| Common Stock, \$1 par value     | 07/22/2013                           |  | M <sup>(1)</sup>               |   | 7,440   | A  | \$ 58.12  |
| Common Stock, \$1 par value     | 07/22/2013                           |  | M <sup>(1)</sup>               |   | 8,488   | A  | \$ 59   |
| Common Stock, \$1               | 07/22/2013                           |  | M <sup>(1)</sup>               |   | 6,877   | A  | \$ 52.5   |
|                                 |                                      |  |                                |   |   |  | 17,652  |
|                                 |                                      |  |                                |   |   |  | 25,092  |
|                                 |                                      |  |                                |   |   |  | 33,580  |
|                                 |                                      |  |                                |   |   |  | 40,457  |

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par value

|                                   |            |  |                        |       |   |             |        |   |
|-----------------------------------|------------|--|------------------------|-------|---|-------------|--------|---|
| Common<br>Stock, \$1<br>par value | 07/22/2013 |  | <u>M<sup>(1)</sup></u> | 7,200 | A | \$<br>51.21 | 47,657 | D |
|-----------------------------------|------------|--|------------------------|-------|---|-------------|--------|---|

|                                   |            |  |                        |        |   |         |        |   |
|-----------------------------------|------------|--|------------------------|--------|---|---------|--------|---|
| Common<br>Stock, \$1<br>par value | 07/22/2013 |  | <u>M<sup>(1)</sup></u> | 12,407 | A | \$ 53.8 | 60,064 | D |
|-----------------------------------|------------|--|------------------------|--------|---|---------|--------|---|

|                                   |            |  |                        |        |   |                           |        |   |
|-----------------------------------|------------|--|------------------------|--------|---|---------------------------|--------|---|
| Common<br>Stock, \$1<br>par value | 07/22/2013 |  | <u>S<sup>(1)</sup></u> | 47,452 | D | \$<br>73.95<br><u>(2)</u> | 12,612 | D |
|-----------------------------------|------------|--|------------------------|--------|---|---------------------------|--------|---|

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|
|  |  |                                      |  | Code                           | V (A) (D)   | Date Exercisable Expiration Date                         | Title Amount or Number of Shares                              |
| Stock Option (Right to Buy)                | \$ 38.35   | 07/22/2013                           |  | <u>M<sup>(1)</sup></u>         | 5,040   | 03/14/2009 03/14/2017                                    | Common Stock, \$1 par value 5,040                             |
| Stock Option (Right to Buy)                | \$ 58.12   | 07/22/2013                           |  | <u>M<sup>(1)</sup></u>         | 1,240   | 03/05/2010 03/05/2018                                    | Common Stock, \$1 par value 1,240                             |
| Stock Option (Right to Buy)                | \$ 58.12   | 07/22/2013                           |  | <u>M<sup>(1)</sup></u>         | 6,200   | 03/05/2011 03/05/2018                                    | Common Stock, \$1 par value 6,200                             |
| Stock Option (Right to Buy)                | \$ 59  | 07/22/2013                           |  | <u>M<sup>(1)</sup></u>         | 2,424   | 03/03/2012 03/03/2020                                    | Common Stock, \$1 par value 2,424                             |

|                             |          |            |                  |        |            |            |                             |        |
|-----------------------------|----------|------------|------------------|--------|------------|------------|-----------------------------|--------|
| Stock Option (Right to Buy) | \$ 59    | 07/22/2013 | M <sup>(1)</sup> | 6,064  | 03/03/2013 | 03/03/2020 | Common Stock, \$1 par value | 6,064  |
| Stock Option (Right to Buy) | \$ 52.5  | 07/22/2013 | M <sup>(1)</sup> | 1,587  | 03/04/2011 | 03/04/2019 | Common Stock, \$1 par value | 1,587  |
| Stock Option (Right to Buy) | \$ 52.5  | 07/22/2013 | M <sup>(1)</sup> | 5,290  | 03/05/2012 | 03/04/2019 | Common Stock, \$1 par value | 5,290  |
| Stock Option (Right to Buy) | \$ 51.21 | 07/22/2013 | M <sup>(1)</sup> | 1,200  | 03/15/2009 | 03/15/2017 | Common Stock, \$1 par value | 1,200  |
| Stock Option (Right to Buy) | \$ 51.21 | 07/22/2013 | M <sup>(1)</sup> | 6,000  | 03/15/2010 | 03/15/2017 | Common Stock, \$1 par value | 6,000  |
| Stock Option (Right to Buy) | \$ 53.8  | 07/22/2013 | M <sup>(1)</sup> | 12,407 | 03/04/2013 | 03/04/2021 | Common Stock, \$1 par value | 12,407 |

## Reporting Owners

| Reporting Owner Name / Address                                 | Relationships |           |                                |       |
|--|---------------|-----------|--------------------------------|-------|
|  | Director      | 10% Owner | Officer                        | Other |
| HOMBACH ROBERT J.<br>ONE BAXTER PARKWAY<br>DEERFIELD, IL 60015 |               |           | Corporate Vice President & CFO |       |

## Signatures

/s/ Robert J.  
Hombach

07/24/2013

\*\*Signature of  
Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Transactions effected pursuant to a Rule 10b5-1 trading plan.

(2) Price reflects weighted average sales price. Range of prices for transactions is \$73.74 through \$74.28. Full information regarding the number of shares sold at each separate price will be provided by the issuer upon request.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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