### Edgar Filing: Rocket Fuel Inc. - Form 4/A

Form 4/A										
February 13, 1								OMB A	PPROVAL	
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549								N OMB Number:	3235-0287	
if no longe subject to Section 16 Form 4 or Form 5 obligation may contin	Section 16.SECURITIESForm 4 orForm 5Form 5Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,obligationsSection 17(a) of the Public Utility Holding Company Act of 1935 or Sectionsee Instruction30(h) of the Investment Company Act of 1940								s: January 31, 2005 Inted average In hours per Inse 0.5	
(Print or Type R	esponses)									
1. Name and Address of Reporting Person <u>*</u> Wootton Emmett Randolph III			Symbol	er Name <b>an</b> Fuel Inc.		Trading	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last) (First) (Middle) 2000 SEAPORT BLVD, 4TH FLOOR			<ul><li>3. Date of Earliest Transaction</li><li>(Month/Day/Year)</li><li>02/07/2017</li></ul>				X Director 10% Owner X Officer (give title Other (specify below) below) Chief Executive Officer			
REDWOOD	4. If Amendment, Date Original Filed(Month/Day/Year) 02/09/2017				<ul> <li>6. Individual or Joint/Group Filing(Check Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> <li> Form filed by More than One Reporting Person</li> </ul>					
(City)	(State)	(Zip)	Tab	le I - Non-l	Derivative	Securities A	cquired, Disposed	of, or Beneficia	lly Owned	
	2. Transaction Date Month/Day/Year)	2A. Deem Execution any (Month/Da	Date, if	Code (Instr. 8)	4. Securit nAcquired Disposed (Instr. 3, 4	(A) or of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Reminder: Repo	ort on a separate line	e for each cl	ass of sec	urities bene	Perso inforn requir	ns who rest nation cont ed to resp ys a curre	or indirectly. spond to the colle ained in this form ond unless the fo ntly valid OMB co	n are not rm	SEC 1474 (9-02)	

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number of	6. Date Exercisable and	7. Title and Amount of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	orDerivative	Expiration Date	Underlying Securities
Security	or Exercise		any	Code	Securities	(Month/Day/Year)	(Instr. 3 and 4)

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(Instr. 3)	Price of Derivative Security		(Month/Day/Year)	(Instr. 8	· ·	Acquired (A Disposed of (Instr. 3, 4, 5)	f (D)				
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount Number Shares
Employee Stock Option (right to buy)	\$ 2.31	02/07/2017		A		230,000		<u>(1)</u>	02/07/2027	Common Stock	230,00

# **Reporting Owners**

Reporting Owner Name / Address	Relationships								
IB	Director	10% Owner	Officer	Other					
Wootton Emmett Randolph III 2000 SEAPORT BLVD, 4TH FLOOR REDWOOD CITY, CA 94063	Chief Executive Officer								
Signatures									
/s/ JoAnn Covington, as attorney-in-fact Wootton III	1	02/13/2017							
<u>**</u> Signature of Reportir		Date							

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) One-fourth of the shares subject to the option vest and become exercisable on February 1, 2018 and one forty-eighth of the shares vest and become exercisable monthly thereafter, subject to Reporting Person's continued service to Issuer as of each such date.

#### **Remarks:**

Form amended to correct the vesting schedule footnote for the stock option granted on February 7, 2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.