

BROWN FORMAN CORP
Form 4
March 31, 2016

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Barzun Brooke Brown

2. Issuer Name and Ticker or Trading Symbol
BROWN FORMAN CORP [BFA, BFB]

5. Relationship of Reporting Person(s) to Issuer
(Check all applicable)

(Last) (First) (Middle)
850 DIXIE HIGHWAY
(Street)

3. Date of Earliest Transaction (Month/Day/Year)
09/08/2015

____ Director
____ Officer (give title below)
 10% Owner
____ Other (specify below)

LOUISVILLE, KY 40210
(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
____ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned or Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | | |
|---------------------------------|--------------------------------------|--|--------------------------------|---|--|--|---|---------|---|-------------------------------------|
| | | | Code | V | Amount | (D) | Price | | | |
| Class A Common | 12/10/2015 | | G | | 792 | D | \$ 0 | 236,963 | D | |
| Class A Common | 12/08/2015 | | G | | 125 | A | \$ 0 | 237,088 | D | |
| Class A Common | 12/08/2015 | | G | | 125 | A | \$ 0 | 3,646 | I | By Spouse |
| Class A Common | 12/09/2015 | | G | | 13,626 | A | \$ 0 | 30,472 | I | OB3 GST Exempt Trust ⁽¹⁾ |
| Class A Common | 12/09/2015 | | G | | 5,358 | A | \$ 0 | 5,358 | I | OB3 GST Non-Exempt Trust |

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| | | | | | | | | |
|-------------------|------------|---|--------|---|------|---------|---|----------------------------------|
| Class A Common | 12/08/2015 | G | 125 | A | \$ 0 | 4,740 | I | Trust fbo Child-1 |
| Class A Common | 12/08/2015 | G | 125 | A | \$ 0 | 8,554 | I | Trust fbo Child-2 |
| Class A Common | 12/08/2015 | G | 125 | A | \$ 0 | 8,554 | I | Trust fbo Child-3 |
| Class A Common | 09/08/2015 | G | 67,714 | D | \$ 0 | 0 | I | Trust fbo Owsley Brown III |
| Class B Common | | | | | | 27,381 | D | |
| Class A Common | | | | | | 126,948 | I | BBB 2002 GRAT |
| Class B Common | | | | | | 22,897 | I | BBB 2002 GRAT |
| Class A Common | | | | | | 9,813 | I | BBB 2010 GRAT |
| Class A Common | | | | | | 41,748 | I | BBB 2011 GRAT |
| Class B Common | | | | | | 4,225 | I | BBB 2011 GRAT |
| Class A Common | | | | | | 205,527 | I | BBB Revocable Trust |
| Class B Common | | | | | | 17,250 | I | BBB Revocable Trust |
| Class B Common | | | | | | 946 | I | By Spouse |
| Class B Common | | | | | | 45,671 | I | CLB 2012 Trust |
| Class A Common | | | | | | 2,290 | I | CLB 2012 Trust |
| Class A Common | | | | | | 196,272 | I | CLB Grandchildren Eq. Tr. |
| Class B Common | | | | | | 122,685 | I | CLB Grandchildren Eq. Tr. |
| Class A Common | | | | | | 18 | I | Eleanor Lee Trusts |
| Class B Common | | | | | | 12,895 | I | Eleanor Lee Trusts |

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| | | | |
|-------------------|---------|---|--------------------------------------|
| Class B Common | 51,440 | I | GST Exempt QTIP Trust |
| Class B Common | 201,736 | I | GST Non-Exempt QTIP Trust |
| Class A Common | 740,610 | I | Guilford-Brown LP |
| Class A Common | 150,000 | I | Hackberry 2010 LLC |
| Class B Common | 546 | I | Hebe Exempt Trust |
| Class A Common | 316,837 | I | Hebe Three Limited Partnership |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Owned Following Reporting Transaction (Instr. 6) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|---|
| | | | | | | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| | | | | | | Code | V | (A) | (D) |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|---------|-------|
| | Director | 10% Owner | Officer | Other |
| Barzun Brooke Brown 850 DIXIE HIGHWAY LOUISVILLE, KY 40210 | | X | | |

Signatures

Kelly A. Bowen, Atty in Fact for Brooke Brown
Barzun

03/31/2016

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Formerly known as Trust fbo OB3.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.
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