

Edgar Filing: BAB, INC. - Form 8-K

BAB, INC.
Form 8-K
May 21, 2018

Form 8-K

U.S. SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Date of Report

May 21, 2018

BAB, Inc.

(Name of small business issuer in its charter)

Delaware	0-31555	36-4389547
(State or other jurisdiction of	Commission	(I.R.S.
incorporation or organization)	file number	Employer
		Identification
		Number)

500 Lake Cook Road, Suite 475, Deerfield, IL	60015
(Address of principal executive offices)	(Zip Code)

Issuer's telephone number (847) 948-7520

Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 or Rule 12b-2 of the Securities Exchange Act of 1934.

Emerging growth company

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

Item 5.07 Submission of Matters to a Vote of Security Holders

The annual meeting of shareholders of BAB, Inc. was held on Friday, May 17, 2018. The shareholders voted, either in person or by proxy on the following proposals, with the final result of the shareholder vote as follows:

1. To elect four Directors to serve for a one-year term expiring when their successors are elected and qualified at the annual meeting in 2019.

	Votes For	Votes Withheld	Broker Non-Votes
01 Michael Evans	3,276,006	1,195,223	2,388,166
02 Steven Feldman	3,341,915	1,129,314	2,388,166
03 James Lentz	3,340,119	1,131,110	2,388,166
04 Michael Murtaugh	3,303,947	1,167,282	2,388,166

2. To act upon a proposal to ratify the appointment of Sasseti LLC as independent auditors of the Company for the fiscal year ending November 30, 2018.

Votes For	Against	Abstain	Broker Non-Votes
6,203,819	320,049	335,527	-

3. To provide, on an advisory basis, approval on the compensation of the Company's Named Executive Officers:

Votes For	Against	Abstain	Broker Non-Votes
3,157,226	358,020	995,983	2,388,166

4. To provide, on an advisory basis, a recommendation to select a frequency of future advisory votes on executive compensation:

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1 Year 2 Year 3 Year Abstain

1,266,929 49,454 2,523,104 631,742

The Company will include a proposal to provide, on an advisory basis, approval of the compensation of the Company's Named Executive Officers every three years, following the nonbinding frequency recommendation of the shareholders.

5. To vote, in the discretion of the proxy holder, on all other business as may properly come before the meeting or any adjournment thereof.

Votes For Against Abstain Broker Non-Votes

3,392,843 578,971 499,415 2,388,166

Signatures

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

BAB, Inc.

(Registrant)

By: /s/ Michael W. Evans

Michael W. Evans, Chief Executive Officer

Date: May 21, 2018