Natural Grocers by Vitamin Cottage, Inc.

Form 4/A

December 01, 2014

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

Estimated average

5 Relationship of Reporting Person(s) to

**OMB APPROVAL** 

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

January 31, Expires: 2005

Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

2 Jasuar Nama and Tiakar or Trading

**SECURITIES** 

burden hours per response... 0.5

See Instruction 1(b).

Stock

Common

11/24/2014

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Buffa Sandra			Symbo Natu	Symbol Natural Grocers by Vitamin Cottage, Inc. [NGVC]				Issuer (Check all applicable)			
(Last) (First) (Middle) 12612 W. ALAMEDA PARKWAY			(Mont	UVIOHII/DAV/TEAD				Director 10% Owner Officer (give title Other (specify below) Chief Financial Officer			
	LAKEWOO	(Street) OD, CO 80228	Filed(	mendment, D Month/Day/Yea 5/2014	Č	ıl	<i>F</i> - -	5. Individual or Join Applicable Line) X_ Form filed by On Form filed by Mo Person	ne Reporting Per	rson	
	(City)	(State)	(Zip) T	able I - Non-	Derivative	Secui	rities Acqui	ired, Disposed of,	or Beneficiall	y Owned	
	1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		Code	Order Dispose (Instr. 3, 4)  Amount	ed of (		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
	Common Stock	11/21/2014		S	22,000 (1)	D	22.7078	167,357	D		

2,800

(1)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

S

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

164,557

D

(2) \$

(3)

D

23.5126

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#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Title	and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	TransactionNumber		Expiration D	ate	Amount	t of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Underly	ing	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securitie	es	(Instr. 5)	Bene
	Derivative				Securities Acquired				and 4)		Own
	Security										Follo
	•				(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
								^	mount		
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						Date Expiration	Expiration	Title N			
						Exercisable	Date	Title Number of			
				C + V	(A) (D)						
				Code V	(A) (D)			S	hares		

# **Reporting Owners**

Relationships Reporting Owner Name / Address

> Officer Other Director 10% Owner

Buffa Sandra 12612 W. ALAMEDA PARKWAY LAKEWOOD, CO 80228

Chief Financial Officer

# **Signatures**

/s/ Sandra Buffa 12/01/2014 \*\*Signature of Date Reporting Person

### **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Footnote 1 at line 1 of the Form 4 filed on November 25, 2014 is amended by this Form 4A to read as follows: "The sales reported on this (1) Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person on August 7, 2014. Natural Grocers by Vitamin Cottage, Inc. announced this plan in a Current Report on Form 8-K on August 8, 2014."
- The price reported is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$22.00 to \$23.27, inclusive. The Reporting Person undertakes to provide Natural Grocers by Vitamin Cottage, Inc., any of its security holders or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each price within the ranges set forth in this footnote.
- The price reported is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$23.50 to \$23.56, inclusive. The Reporting Person undertakes to provide Natural Grocers by Vitamin Cottage, Inc., any of its security holders or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each price within the ranges set forth in this footnote.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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