

HOOPER ANTHONY C  
Form 3/A  
August 02, 2007

**FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0104  
Expires: January 31, 2005  
Estimated average burden hours per response... 0.5

**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Â HOOPER ANTHONY C  
(Last) (First) (Middle)

2. Date of Event Requiring Statement  
(Month/Day/Year)  
05/24/2007

3. Issuer Name and Ticker or Trading Symbol  
BRISTOL MYERS SQUIBB CO [(BMY)]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)  
06/01/2007

(Check all applicable)

Director  10% Owner  
 Officer  Other  
(give title below) (specify below)  
President US Pharmaceuticals

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

BRISTOL-MYERS SQUIBB COMPANY,Â RT. 206 & PROVINCELINE ROAD

(Street)

PRINCETON,Â NJÂ 08540

(City) (State) (Zip)

**Table I - Non-Derivative Securities Beneficially Owned**

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock, \$0.10 par value	110,088 <sup>(1)</sup>	D	Â
Common Stock, \$0.10 par value <sup>(2)</sup>	2,784.97	I	By BMY Savings & Investment Program
Common Stock, \$0.10 par value	3,675	I	By Spouse

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

Edgar Filing: HOOPER ANTHONY C - Form 3/A

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares			
Executive Option (right to buy)	01/04/2000 <sup>(3)</sup>	01/03/2009	Common Stock, \$0.10 par value	30,813	\$ 62.95	D	Â
Executive Option (right to buy)	03/06/2002 <sup>(4)</sup>	03/05/2011	Common Stock, \$0.10 par value	36,150	\$ 59.78	D	Â
Executive Option (right to buy)	Â <sup>(5)</sup>	02/28/2015	Common Stock, \$0.10 par value	55,833	\$ 25.45	D	Â
Executive Option (right to buy)	Â <sup>(6)</sup>	03/06/2016	Common Stock, \$0.10 par value	78,750	\$ 22.73	D	Â
Executive Option (right to buy)	Â <sup>(7)</sup>	11/30/2016	Common Stock, \$0.10 par value	200,000	\$ 24.74	D	Â
Executive Option (right to buy)	Â <sup>(8)</sup>	03/05/2017	Common Stock, \$0.10 par value	104,616	\$ 27.01	D	Â
Option (right to buy)	03/03/1999 <sup>(3)</sup>	03/02/2008	Common Stock, \$0.10 par value	25,660	\$ 48.45	D	Â
Option (right to buy)	01/03/2001 <sup>(3)</sup>	01/02/2010	Common Stock, \$0.10 par value	2,524	\$ 61.09	D	Â
Option (right to buy)	03/07/2001 <sup>(3)</sup>	03/06/2010	Common Stock, \$0.10 par value	33,127	\$ 42.64	D	Â
Option (right to buy)	03/05/2003 <sup>(3)</sup>	03/04/2012	Common Stock,	40,000	\$ 48.08	D	Â

Option (right to buy)	06/04/2005 <sup>(3)</sup>	06/03/2007	\$0.10 par value Common Stock, \$0.10 par value	30,000	\$ 28.9	D	Â
Option (right to buy)	03/04/2004 <sup>(3)</sup>	03/03/2013	\$0.10 par value Common Stock, \$0.10 par value	68,000	\$ 23.14	D	Â
Option (right to buy)	Â <sup>(9)</sup>	03/01/2014	\$0.10 par value Common Stock, \$0.10 par value	63,333	\$ 28.11	D	Â
Restricted Stock Units	Â <sup>(10)</sup>	Â <sup>(10)</sup>	\$0.10 par value Common Stock, \$0.10 par value	26,151	\$ <sup>(11)</sup>	D	Â

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
HOOPER ANTHONY C BRISTOL-MYERS SQUIBB COMPANY RT. 206 & PROVINCELINE ROAD PRINCETON, NJ 08540	Â	Â	Â President US Pharmaceuticals	Â

## Signatures

By: /s/ Sonia Vora,  
Attorney-in-Fact

08/02/2007

        \*\*Signature of Reporting Person

        Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This Form 3 is being amended to correct the number of shares directly held. The total includes 83,578 shares of unvested restricted stock.
- (2) Based on a plan statement as of the end of the most recent fiscal quarter.
- (3) Currently Exercisable.
- (4) 18,075 shares are currently exercisable. The remaining 18,075 shares are exercisable on the earlier to occur of (i) the closing share price achieving a price threshold and remaining at that threshold for a given number of days or (ii) March 6, 2009.
- (5) 27,916 shares are currently exercisable. An additional 13,958 shares will become exercisable on each of March 1, 2008 and March 1, 2009.
- (6)

## Edgar Filing: HOOPER ANTHONY C - Form 3/A

19,687 shares are currently exercisable. The remaining shares will vest 25% on each of March 7, 2008, March 7, 2009 and March 7, 2010.

- (7) One-third of the options will become exercisable on each of December 1, 2009, December 1, 2010 and December 1, 2011.  
Option is not exercisable until the closing share price of common stock achieves a price of at least 15% above the option grant price and
- (8) remains at that price for seven consecutive trading days. 25% of the shares vest annually, on a cumulative basis, on March 6, 2008, March 6, 2009, March 6, 2010 and March 6, 2011.
- (9) 47,499 shares are currently exercisable and the remaining 15,834 shares will become exercisable on March 2, 2008.
- (10) One-third of the restricted stock units will vest on each of March 6, 2010, March 6, 2011 and March 6, 2012 and will be converted into shares of common stock.
- (11) Each restricted stock unit converts into one share of common stock upon vesting.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.