

Firsthand Technology Value Fund, Inc.  
 Form 3  
 February 26, 2014

**FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

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**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
 Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

|   |  |  |   |   |  |
|---|--|--|---|---|--|
| <p>1. Name and Address of Reporting Person *</p> <p><b>Â Bulldog Investors General Partnership</b></p> <p>(Last) (First) (Middle)</p> <p><b>PARK 80 WEST - PLAZA TWO,Â 250 PEHLE AVE., SUITE 708</b></p> <p>(Street)</p> <p><b>SADDLE BROOK,Â NJÂ 07663</b></p> <p>(City) (State) (Zip)</p> | <p>2. Date of Event Requiring Statement</p> <p>(Month/Day/Year)</p> <p><b>02/07/2014</b></p> | <p>3. Issuer Name <b>and</b> Ticker or Trading Symbol</p> <p><b>Firsthand Technology Value Fund, Inc. [SVVC]</b></p> | <p>4. Relationship of Reporting Person(s) to Issuer</p> <p>(Check all applicable)</p> <p><input type="checkbox"/> Director <input checked="" type="checkbox"/> 10% Owner<br/> <input type="checkbox"/> Officer <input type="checkbox"/> Other<br/>                 (give title below) (specify below)</p> | <p>5. If Amendment, Date Original Filed(Month/Day/Year)</p> | <p>6. Individual or Joint/Group Filing(Check Applicable Line)</p> <p><input type="checkbox"/> Form filed by One Reporting Person<br/> <input checked="" type="checkbox"/> Form filed by More than One Reporting Person</p> |
|---|--|--|---|---|--|

**Table I - Non-Derivative Securities Beneficially Owned**

| 1. Title of Security<br>(Instr. 4) | 2. Amount of Securities Beneficially Owned<br>(Instr. 4) | 3. Ownership Form:<br>Direct (D)<br>or Indirect (I)<br>(Instr. 5) | 4. Nature of Indirect Beneficial Ownership<br>(Instr. 5) |
|------------------------------------|--|---|--|
| Common Stock <u>(1)</u>            | 981,592  | D   | Â  |
| Common Stock <u>(2)</u>            | 16,420   | D   | Â  |
| Common Stock <u>(3)</u>            | 282,829  | I   | See footnote   |
| Common Stock <u>(4)</u>            | 88,849   | I   | See footnote   |
| Common Stock <u>(5)</u>            | 40,022   | I   | See footnote   |
| Common Stock <u>(6)</u>            | 32,145   | I   | See footnote   |
| Common Stock <u>(7)</u>            | 255,778  | I   | See footnote   |
| Common Stock <u>(8)</u>            | 200  | D   | Â  |
| Common Stock <u>(9)</u>            | 28,690   | I   | See footnote   |

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|                              |         |   |              |
|------------------------------|---------|---|--------------|
| Common Stock <sup>(10)</sup> | 154,345 | I | See footnote |
| Common Stock <sup>(11)</sup> | 98,934  | I | See footnote |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. SEC 1473 (7-02)

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**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

| 1. Title of Derivative Security<br>(Instr. 4) | 2. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) | 3. Title and Amount of<br>Securities Underlying<br>Derivative Security<br>(Instr. 4) | 4. Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 5. Ownership<br>Form of<br>Derivative<br>Security:<br>Direct (D)<br>or Indirect<br>(I)<br>(Instr. 5) | 6. Nature of Indirect<br>Beneficial Ownership<br>(Instr. 5) |
|---|--|--|--|--|---|
|   | Date<br>Exercisable  | Expiration<br>Date   | Title  | Amount or<br>Number of<br>Shares   |   |

## Reporting Owners

| Reporting Owner Name / Address   | Relationships |           |         |       |
|--|---------------|-----------|---------|-------|
|  | Director      | 10% Owner | Officer | Other |
| Bulldog Investors General Partnership<br>PARK 80 WEST - PLAZA TWO<br>250 PEHLE AVE., SUITE 708<br>SADDLE BROOK, NJ 07663 | ^             | ^ X       | ^       | ^     |
| Opportunity Income Plus LP<br>PARK 80 WEST - PLAZA TWO<br>250 PEHLE AVE., SUITE 708<br>SADDLE BROOK, NJ 07663            | ^             | ^ X       | ^       | ^     |
| OPPORTUNITY PARTNERS LP<br>PARK 80 WEST - PLAZA TWO<br>250 PEHLE AVE., SUITE 708<br>SADDLE BROOK, NJ 07663               | ^             | ^ X       | ^       | ^     |
| Calapasas West Partners LP<br>PARK 80 WEST - PLAZA TWO<br>250 PEHLE AVE., SUITE 708<br>SADDLE BROOK, NJ 07663            | ^             | ^ X       | ^       | ^     |
| Full Value Special Situations Fund LP<br>PARK 80 WEST - PLAZA TWO<br>250 PEHLE AVE., SUITE 708<br>SADDLE BROOK, NJ 07663 | ^             | ^ X       | ^       | ^     |
| Full Value Offshore Fund, Ltd.<br>PARK 80 WEST - PLAZA TWO   | ^             | ^ X       | ^       | ^     |

P250 PEHLE AVE., SUITE 708  
SADDLE BROOK, NJ 07663

FULL VALUE PARTNERS LP  
PARK 80 WEST - PLAZA TWO  
250 PEHLE AVE., SUITE 708  
SADDLE BROOK, NJ 07663

^ ^ X ^ ^

MCM Opportunity Partners LP  
PARK 80 WEST - PLAZA TWO  
250 PEHLE AVE., SUITE 708  
SADDLE BROOK, NJ 07663

^ ^ X ^ ^

STEADY GAIN PARTNERS LP  
PARK 80 WEST - PLAZA TWO  
250 PEHLE AVE., SUITE 708  
SADDLE BROOK, NJ 07663

^ ^ X ^ ^

MERCURY PARTNERS LP

^ ^ X ^ ^

^

## Signatures

/s/ Phillip Goldstein - Manager of the Managing General Partner - Bulldog Investors General Partnership 02/19/2014

\_\_Signature of Reporting Person Date

/s/ Phillip Goldstein - Manager of the General Partner - Opportunity Income Plus, LP 02/19/2014

\_\_Signature of Reporting Person Date

/s/ Phillip Goldstein - Manager of the General Partner - Opportunity Partners, LP 02/19/2014

\_\_Signature of Reporting Person Date

/s/ Phillip Goldstein - Manager of the General Partner - Calapasas West Partners, LP 02/19/2014

\_\_Signature of Reporting Person Date

/s/ Phillip Goldstein - Manager of the General Partner - Full Value Special Situations Fund, LP 02/19/2014

\_\_Signature of Reporting Person Date

/s/ Phillip Goldstein - Manager of the General Partner - Full Value Offshore Fund, Ltd. 02/19/2014

\_\_Signature of Reporting Person Date

/s/ Phillip Goldstein - Manager of the General Partner - Full Value Partners, LP 02/19/2014

\_\_Signature of Reporting Person Date

/s/ Phillip Goldstein - Manager of the General Partner - MCM Opportunity Partners, LP 02/19/2014

\_\_Signature of Reporting Person Date

/s/ Barry Swidler - Member of the General Partner - Steady Gain Partners, LP 02/19/2014

\_\_Signature of Reporting Person Date

/s/ Glenn Goodstein - Member of the General Partner - Mercury Partners, LP 02/19/2014

\_\_Signature of Reporting Person Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Shares are held by Bulldog Investors General Partnership.
- (2) Shares are held by Opportunity Income Plus, LP.
- (3) Shares are held indirectly by Opportunity Partners, LP through Bulldog Investors General Partnership.
- (4) Shares are held indirectly by Calapasas West Partners, LP through Bulldog Investors General Partnership.
- (5) Shares are held indirectly by Full Value Special Situations Fund, LP through Bulldog Investors General Partnership.
- (6) Shares are held indirectly by Full Value Offshore Fund, Ltd. through Bulldog Investors General Partnership.
- (7) Shares are held indirectly by Full Value Partners, LP through Bulldog Investors General Partnership.
- (8) Shares are held by Full Value Partners, LP.
- (9) Shares are held indirectly by MCM Opportunity Partners, LP through Bulldog Investors General Partnership.
- (10) Shares are held indirectly by Steady Gain Partners, LP through Bulldog Investors General Partnership.
- (11) Shares are held indirectly by Mercury Partners, LP through Bulldog Investors General Partnership.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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