Bank of New York Mellon CORP Form 4

July 03, 2007

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

if no longer subject to Section 16. Form 4 or

Check this box

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

OMB APPROVAL

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

Estimated average

burden hours per

Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

Value

\$0.01)

(Print or Type Responses)

1. Name and Address of Reporting Person * 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading MONKS DONALD R Issuer Symbol Bank of New York Mellon CORP (Check all applicable) [BK] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner X_ Officer (give title Other (specify (Month/Day/Year) below) below) ONE WALL STREET 07/01/2007 Vice Chairman (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting NEW YORK, NY 10286 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired (A) or 5. Amount of 7. Nature Security (Month/Day/Year) Execution Date, if TransactionDisposed of (D) Securities Ownership of Indirect (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially Form: Beneficial Direct (D) (Month/Day/Year) (Instr. 8) Owned Ownership Following or Indirect (Instr. 4) Reported (I) (A) Transaction(s) (Instr. 4) or (Instr. 3 and 4) Code V Amount (D) Price Common \$ Stock (Par 07/01/2007 41.5096 345,454.8226 345,454.8226 D Α A (1) Value \$0.01) Common Stock By 41.5096 401(k) (Par 07/01/2007 A 84,134.083 84,134.083

(2)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Plan

Edgar Filing: Bank of New York Mellon CORP - Form 4

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	e 3A. Deemed Execution Date, if any (Month/Day/Year)	Code	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		te	7. Title and A Underlying S (Instr. 3 and A	Securities
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amoun Numbe Shares
1/12/99 Stock Options \$35.56	\$ 37.7	07/01/2007		A	94,340 (3)	07/01/2007	01/12/2009	Common Stock (Par Value \$0.01)	94,34
1/13/98 Stock Options \$27.47	\$ 29.12	07/01/2007		A	37,736 (<u>3)</u>	07/01/2007	01/13/2008	Common Stock (Par Value \$0.01)	37,73
2/11/03 Stock Options \$23.13	\$ 24.52	07/01/2007		A	306,605 (3)	07/01/2007	02/11/2013	Common Stock (Par Value \$0.01)	306,6
2/13/01 Stock Options \$54.02	\$ 57.26	07/01/2007		A	127,359 (3)	07/01/2007	02/13/2011	Common Stock (Par Value \$0.01)	127,3
2/8/00 Stock Options \$39.31	\$ 41.67	07/01/2007		A	141,510 (<u>3)</u>	07/01/2007	02/08/2010	Common Stock (Par Value \$0.01)	141,5
3/12/02 Stock Options \$41.85	\$ 44.36	07/01/2007		A	235,850 (3)	07/01/2007	03/12/2012	Common Stock (Par Value \$0.01)	235,8

Edgar Filing: Bank of New York Mellon CORP - Form 4

3/13/2007 Stock Options \$38.11	\$ 38.11	07/01/2007	A	111,151 (3)	03/13/2008	03/13/2017	Common Stock (Par Value \$0.01)	111,1
3/14/06 Stock Options \$34.99	\$ 37.09	07/01/2007	A	141,510 (<u>3)</u>	07/01/2007	03/14/2017	Common Stock (Par Value \$0.01)	141,5
3/4/04 Stock Options \$33.09	\$ 35.08	07/01/2007	A	141,510 (<u>3)</u>	07/01/2007	03/04/2014	Common Stock (Par Value \$0.01)	141,5
3/9/2005 Stock Options \$30.39	\$ 32.21	07/01/2007	A	141,510 (<u>3)</u>	07/01/2007	03/09/2015	Common Stock (Par Value \$0.01)	141,5
4/2/2007 Stock Options \$40.41	\$ 42.83	07/01/2007	A	55,189 (<u>3)</u>	04/02/2008	04/02/2018	Common Stock (Par Value \$0.01)	55,18
Restricted Stock Units	\$ 42.83	07/01/2007	A	18,396 (<u>4)</u>	04/02/2010	04/02/2010	Common Stock (Par Value \$0.01)	18,39

Reporting Owners

Reporting Owner Name / Address	Relationships					
roporous o maio rumo / rumo os	Director	10% Owner	Officer	Othe		
MONKS DONALD R ONE WALL STREET NEW YORK, NY 10286			Vice Chairman			

Signatures

Bart R. Schwartz	07/03/2007	
**Signature of Reporting Person	Date	

Reporting Owners 3

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Acquired in exchange for shares of The Bank of New York Mellon Corporation ("BNY Mellon") pursuant to the merger of The Bank of New York Company, Inc. ("BNY") into BNY Mellon. The exchange ratio in the merger was .9434 shares of BNY Mellon for each share of BNY, with fractional shares paid in cash under the merger agreement at a price of \$41.5096 per share, except in the case of certain benefit plans, as to which fractional shares will be rolled over.
- Acquired in exchange for restricted stock units of The Bank of New York Mellon Corporation ("BNY Mellon") pursuant to the merger of (4) The Bank of New York Company, Inc. ("BNY") into BNY Mellon. The exchange ratio in the merger was .9434 shares of BNY Mellon for each share of BNY.
 - Represents number of stock units held indirectly in employer's stock fund in The Bank of New York Mellon Corporation ("BNY
- (2) Mellon")Employee Savings and Investment Plan, a 401(k) Plan, as of July 1, 2007. These units were acquired in exchange for stock units of The Bank of New York Company, Inc. ("BNY") pursuant to the merger of BNY into BNY Mellon.
- Acquired in exchange for options of The Bank of New York Mellon Corporation ("BNY Mellon") pursuant to the merger of The Bank of (3) New York Company, Inc. ("BNY") into BNY Mellon. The exchange ratio in the merger was .9434 shares of BNY Mellon for each share of BNY.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.