## Edgar Filing: MAST ERLE T - Form 4

Form 4 May 24, 20										
FORM	ЛД						PPROVAL			
	UNITED			AND EXCHANGH a, D.C. 20549	E COMMISSION	OMB Number:	3235-0287			
Check t if no los subject Section Form 4 Form 5	nger to <b>STATEN</b> 16. or	MENT OF CH	Estimated	stimated average urden hours per						
Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940										
(Print or Type	Responses)									
1. Name and Address of Reporting Person <u>*</u> MAST ERLE T			ool	d Ticker or Trading	5. Relationship of Reporting Person(s) to Issuer					
<i>.</i> .			GENIX, INC		(Check all applicable)					
(Last) (First) (Middle) C/O ZOGENIX, INC., 5858 HORTON STREET, SUITE 455			te of Earliest T th/Day/Year) 3/2018	ransaction	X_ Director 10% Owner Officer (give title Other (specify below) below)					
EMERVV	(Street) ILLE, CA 94608		Amendment, D (Month/Day/Yea	-	<ul> <li>6. Individual or Joint/Group Filing(Check</li> <li>Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> <li> Form filed by More than One Reporting</li> </ul>					
	ILLE, CA 94008				Person					
(City)	(State)	(Zip)	Table I - Non-	Derivative Securities A	Acquired, Disposed of	of, or Beneficia	lly Owned			
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, any (Month/Day/Yea	Code	4. Securities onAcquired (A) or Disposed of (D) (Instr. 3, 4 and 5) (A) or	Securities Beneficially Owned	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
				Amount (D) Price						
Reminder: Re	port on a separate line	e for each class of	securities bene	information con required to resp	or indirectly. spond to the collect tained in this form ond unless the for ntly valid OMB col	are not m	SEC 1474 (9-02)			

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number of	6. Date Exercisable and	7. Title and Amount of	8
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	orDerivative	Expiration Date	Underlying Securities	D
Security	or Exercise		any	Code	Securities	(Month/Day/Year)	(Instr. 3 and 4)	S

number.

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(Instr. 3)	Price of Derivative Security		(Month/Day/Year)	(Instr. 8	· ·	Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)					
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to Buy)	\$ 41.68	05/23/2018		A <u>(1)</u>		15,000		<u>(2)</u>	05/22/2028	Common Stock	15,000

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
FB	Director	10% Owner	Officer	Other			
MAST ERLE T C/O ZOGENIX, INC. 5858 HORTON STREET, SUITE 455 EMERYVILLE, CA 94608	Х						
Signatures							
/s/ Thomas Doyle, Attorney-in-fact for Mast	Erle T.	05/24/2018					
**Signature of Reporting Person		Date					

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Annual director stock option grant under the issuer's incentive compensation plan.
- The option was granted on May 23, 2018, the date of the Company's Annual Meeting of Stockholders, and vests in twelve (12) equal
   (2) monthly installments from the grant date such that the option is fully vested on the one-year anniversary of the date of grant, subject to the reporting person's continued service to the Company on each vesting date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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