Sullivan Timothy J Form 4 January 08, 2019

# FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

APACHE CORP [APA]

**OMB** 

3235-0287 Number: January 31,

**OMB APPROVAL** 

Expires: 2005 Estimated average

0.5

burden hours per response...

5. Relationship of Reporting Person(s) to

(Check all applicable)

Issuer

if no longer subject to Section 16. Form 4 or Form 5

obligations

Check this box

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue.

Symbol

See Instruction 1(b).

(Print or Type Responses)

Sullivan Timothy J

Stock

1. Name and Address of Reporting Person \*

| (T)                               | (E' 1) (M           | . 1 11 \ 0                              | <b>D</b>         |  | .•          |        |                               | (                                    | F F                    | <i>'</i>                              |  |
|-----------------------------------|---------------------|---|------------------|--|-------------|--------|-------------------------------|--------------------------------------|------------------------|---------------------------------------|--|
| (Last)                            | (First) (M          |   |                  | Earliest T                                   | ransaction  |        |                               |                                      |                        |                                       |  |
|                                   |                     |   | (Month/Day/Year) |  |             |        |                               | Director                             |                        | Owner                                 |  |
| 2000 POST OAK BLVD., SUITE<br>100 |                     |   | 01/05/2019       |  |             |        |                               | X Officer (give title Other (specify |                        |                                       |  |
|                                   |                     |   |                  |  |             |        |                               | below) below)                        |                        |                                       |  |
|                                   |                     |   |                  |  |             |        | Exec. VP - Operations Support |                                      |                        |                                       |  |
|                                   | (Street)            | 4.                                      | If Ame           | ndment, D                                    | ate Origina | .1     |                               | 6. Individual or Jo                  | oint/Group Filin       | g(Check                               |  |
|                                   |                     | Fi                                      | led(Mon          | th/Day/Yea                                   | r)          |        |                               | Applicable Line)                     |                        |                                       |  |
|                                   |                     |   |                  |  |             |        |                               | _X_ Form filed by                    | One Reporting Pe       | rson                                  |  |
| HOUSTON,                          | , TX 77056          |   |                  |  |             |        |                               | Form filed by N<br>Person            | More than One Re       | porting                               |  |
| (City)                            | (State) (           | Zip)                                    | <b>7</b> 2.1.1   | T N T  | ·           | C      | •,•                           |                                      | e D e l                |                                       |  |
| (5)                               | ()                  | —- <b>-</b>                             | Table            | e I - Non-I                                  | Jerivative  | Secur  | ities Acq                     | uired, Disposed of                   | i, or Beneficial       | ly Owned                              |  |
| 1.Title of                        | 2. Transaction Date | 2A. Deemed                              | l                | 3.   | 4. Securi   | ties A | cquired                       | 5. Amount of                         | 6. Ownership           | 7. Nature of                          |  |
| Security                          | (Month/Day/Year)    | Execution Date, if any (Month/Day/Year) |                  | Transacti                                    | on(A) or D  | ispose | d of (D)                      | Securities                           | Form: Direct           | Indirect                              |  |
| (Instr. 3)                        |                     |   |                  | Code (Instr. 3, 4 and 5)  //Year) (Instr. 8) |             |        |                               | Beneficially<br>Owned                | (D) or<br>Indirect (I) | Beneficial<br>Ownership<br>(Instr. 4) |  |
|                                   |                     |   |                  |  |             |        |                               |                                      |                        |                                       |  |
|                                   |                     |   |                  |  |             |        |                               | Following (Instr. 4)                 |                        |                                       |  |
|                                   |                     |   |                  | ( A )  |             |        |                               | Reported                             |                        |                                       |  |
|                                   |                     |   |                  | (A)  |             |        |                               | Transaction(s)                       |                        |                                       |  |
|                                   |                     |   |                  | C 1 1  | or          |        | ъ.                            | (Instr. 3 and 4)                     |                        |                                       |  |
|                                   |                     |   |                  | Code V                                       | Amount      | (D)    | Price                         |                                      |                        |                                       |  |
| Common                            | 01/05/2019          | <u>(1)</u>                              |                  | M  | 4,035       | Α      | \$ 0                          | 49,918.628                           | D                      |                                       |  |
| Stock                             | 01/03/2017          | <u></u>                                 |                  | 171  | 7,055       | 11     | ΨΟ                            | 77,710.020                           | Ъ                      |                                       |  |
|                                   |                     |   |                  |  |             |        | Φ.                            |                                      |                        |                                       |  |
| Common                            | 01/05/2019          | (2)                                     |                  | F  | 1,802       | D      | \$                            | 48,116.628                           | D                      |                                       |  |
| Stock                             | 01/03/2017          | <u></u>                                 |                  | 1  | 1,002       | ט      | 28.32                         | 70,110.020                           | Ъ                      |                                       |  |
|                                   |                     |   |                  |  |             |        |                               |                                      |                        | **                                    |  |
|                                   |                     |   |                  |  |             |        |                               |                                      |                        | Held by                               |  |
| Common                            |                     |   |                  |  |             |        |                               | 22.572                               | т                      | Trustee                               |  |
| Stock                             |                     |   |                  |  |             |        |                               | 23.572                               | I                      | 401(k)                                |  |
|                                   |                     |   |                  |  |             |        |                               |                                      |                        | Plan                                  |  |
|                                   |                     |   |                  |  |             |        |                               |                                      |                        | 1 1411                                |  |
|                                   |                     |   |                  |  |             |        |                               |                                      |                        | Held by                               |  |
| Common                            |                     |   |                  |  |             |        |                               | 12,788.212                           | I                      | Trustee                               |  |
| Stock                             |                     |   |                  |  |             |        |                               | 12,700.212                           | 1                      | Trustee                               |  |

NQ Plan

#### Edgar Filing: Sullivan Timothy J - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4. 5. Number Transaction Derivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) |         | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                    | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |  | 8. Pr<br>Deriv<br>Secu<br>(Inst |
|---|---|---|---|---|---------|--|--------------------|---|--|---------------------------------|
|   |   |   |   | Code V  | (A) (D) | Date<br>Exercisable                                      | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |                                 |
| Restricted<br>Stock /<br>Units (3)                  | \$ 0 (4)  | 01/05/2019                              |   | M   | 4,035   | <u>(1)</u>   | <u>(1)</u>         | Common<br>Stock   | 4,035                                  | \$                              |

### **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Sullivan Timothy J 2000 POST OAK BLVD. SUITE 100 HOUSTON, TX 77056

Exec. VP - Operations Support

## **Signatures**

Raj Sharma, 01/08/2019 Attorney-in-Fact

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Vesting on 01/05/2019 of restricted stock units under employer plan. Vesting occurs ratably over three years.
- (2) Shares withheld to cover required tax withholding on vesting of restricted stock as of 01/05/2019.
- (3) With tandem tax withholding right.
- (4) One share of Apache common stock for each restricted stock unit.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Reporting Owners 2

# Edgar Filing: Sullivan Timothy J - Form 4

| Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number. |
|---|
|   |
|   |
|   |
|   |
|   |
|   |
|   |
|   |
|   |
|   |
|   |
|   |
|   |
|   |
|   |
|   |
|   |
|   |
|   |
|   |
|   |