

Edgar Filing: FORT DEARBORN INCOME SECURITIES INC - Form NSAR-B/A

FORT DEARBORN INCOME SECURITIES INC

Form NSAR-B/A

October 11, 2013

000 B000000 09/30/2012
000 C000000 0000038188
000 D000000 N
000 E000000 NF
000 F000000 Y
000 G000000 Y
000 H000000 N
000 I000000 6.1
000 J000000 B
001 A000000 FORT DEARBORN INCOME SECURITIES, INC.
001 B000000 811-02319
001 C000000 2128213000
002 A000000 ONE NORTH WACKER DRIVE
002 B000000 CHICAGO
002 C000000 IL
002 D010000 60606
002 D020000 2807
003 000000 N
004 000000 N
005 000000 N
006 000000 N
007 A000000 N
007 B000000 0
008 A000001 UBS GLOBAL ASSET MANAGEMENT (AMERICAS) INC.
008 B000001 A
008 C000001 801-34910
008 D010001 NEW YORK
008 D020001 NY
008 D030001 10019
008 D040001 6028
010 A000001 J.P. MORGAN INVESTOR SERVICES, CO.
010 B000001 84-1719
010 C010001 BOSTON
010 C020001 MA
010 C030001 02108
010 C040001 3913
012 A000001 Computershare Trust Company, N.A.
012 B000001 84-0000
012 C010001 PROVIDENCE
012 C020001 RI
012 C030001 02940
012 C040001 3069
013 A000001 ERNST & YOUNG LLP
013 B010001 NEW YORK
013 B020001 NY
013 B030001 10036
014 A000001 BELL FINANCIAL GROUP LTD
014 B000001 8-00000
014 A000002 BIDS LLC
014 B000002 8-00000
014 A000003 BONDS.COM GROUP INC.
014 B000003 8-50451
014 A000004 EQUILEND
014 B000004 8-53738
014 A000005 HYANNIS PORT RESEARCH INC.
014 B000005 8-00000
014 A000006 ICAP IEB Z LIMITED
014 B000006 8-00000
014 A000007 MANATARA INC.

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014 B000007 8-00000
014 A000008 OOO UBS BANK
014 B000008 8-00000
014 A000009 OTCDERIV LIMITED
014 B000009 8-00000
014 A000010 SIX GROUP AG
014 B000010 8-00000
014 A000011 THEMARKETS LLC
014 B000011 8-00000
014 A000012 TURQUOISE GLOBAL HOLDINGS LIMITED
014 B000012 8-00000
014 A000013 UBS (LUXEMBOURG) S.A. AUSTRIA BRANCH
014 B000013 8-00000
014 A000014 UBS (LUXEMBOURG) SA
014 B000014 8-00000
014 A000015 UBS AG
014 B000015 8-00000
014 A000016 UBS AG DUBAI BRANCH
014 B000016 8-00000
014 A000017 UBS ASESORES MEXICO, S.A. DE C.V.
014 B000017 8-00000
014 A000018 UBS BELGIUM SA/NV
014 B000018 8-00000
014 A000019 UBS DEUTSCHLAND AG
014 B000019 8-00000
014 A000020 UBS FINANCIAL SERVICES, INC.
014 B000020 8-00000
014 A000021 UBS FUND SERVICES (CAYMAN) LTD.
014 B000021 8-00000
014 A000022 UBS FUND SERVICES (IRELAND) LTD.
014 B000022 8-00000
014 A000023 UBS FUND SERVICES (USA) LLC
014 B000023 8-00000
014 A000024 UBS GLOBAL ASSET MANAGEMENT (US) INC.
014 B000024 8-00000
014 A000025 UBS GLOBAL ASSET MANAGEMENT FUNDS LTD.
014 B000025 8-00000
014 A000026 UBS INVESTMENT BANK
014 B000026 8-00000
014 A000027 UBS ITALIA SIM SPA
014 B000027 8-00000
014 A000028 UBS LIMITED
014 B000028 8-00000
014 A000029 UBS MENKUL DEGERLER AS
014 B000029 8-00000
014 A000030 UBS NEW ZEALAND LTD.
014 B000030 8-00000
014 A000031 UBS SECURITIES ASIA LTD.
014 B000031 8-00000
014 A000032 UBS SECURITIES AUSTRALIA LTD.
014 B000032 8-00000
014 A000033 UBS SECURITIES CANADA INC.
014 B000033 8-00000
014 A000034 UBS SECURITIES CJSC
014 B000034 8-00000
014 A000035 UBS SECURITIES FRANCE SA
014 B000035 8-00000
014 A000036 UBS SECURITIES INDIA PRIVATE LTD.
014 B000036 8-00000
014 A000037 UBS SECURITIES JAPAN LTD.
014 B000037 8-00000
014 A000038 UBS SECURITIES LLC

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014 B000038 8-00000
014 A000039 UBS SECURITIES MALAYSIA
014 B000039 8-00000
014 A000040 UBS SECURITIES PTE. LTD.
014 B000040 8-00000
014 A000041 UBS SECURITIES PTE. LTD. SEOUL BRANCH
014 B000041 8-00000
014 A000042 UBS SECURITIES SINGAPORE PTE. LTD
014 B000042 8-00000
014 A000043 UBS SECURITIES, ESPANA, SV SA
014 B000043 8-00000
014 A000044 UBS SOUTH AFRICA (PTY) LIMITED
014 B000044 8-00000
014 A000045 UBS TRADING SA
014 B000045 8-00000
014 A000046 UNX COMPANIES LLC
014 B000046 8-00000
014 A000047 VOLBROKER.COM LIMITED
014 B000047 8-00000
014 A000048 YELDBROKER PTY LIMITED
014 B000048 8-00000
014 A000049 ZAO UBS SECURITIES
014 B000049 8-00000
015 A000001 JPMORGAN CHASE BANK
015 B000001 C
015 C010001 NEW YORK
015 C020001 NY
015 C030001 10003
015 C040001 9598
015 E010001 X
018 000000 Y
019 A000000 Y
019 B000000 96
019 C000000 UBSGLOBLAM
021 000000 0
022 A000001 J.P. MORGAN CHASE & CO.
022 B000001 13-3379014
022 C000001 99766
022 D000001 93753
022 A000002 MORGAN STANLEY & CO., LLC
022 B000002 13-2655998
022 C000002 41274
022 D000002 42334
022 A000003 BANK OF AMERICA CORP.
022 B000003 56-0906609
022 C000003 47630
022 D000003 35650
022 A000004 DEUTSCHE BANK
022 B000004 52-1319768
022 C000004 26859
022 D000004 30996
022 A000005 BARCLAYS CAPITAL, INC.
022 B000005 06-1031656
022 C000005 17624
022 D000005 26561
022 A000006 CREDIT SUISSE FIRST BOSTON
022 B000006 13-5659485
022 C000006 11214
022 D000006 28741
022 A000007 CITIGROUP INC.
022 B000007 52-1568099
022 C000007 19693

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022 D000007 18374
 022 A000008 BANK OF NEW YORK MELLON CORP.
 022 B000008 13-5160382
 022 C000008 18868
 022 D000008 18310
 022 A000009 JEFFERIES & CO.
 022 B000009 95-2622900
 022 C000009 8668
 022 D000009 4358
 022 A000010 GOLDMAN SACHS & CO.
 022 B000010 13-5108880
 022 C000010 5994
 022 D000010 4826
 023 C000000 340293
 023 D000000 338415
 024 000000 Y
 025 A000001 BANK OF AMERICA CORP.
 025 B000001 56-0906609
 025 C000001 D
 025 D000001 3226
 025 A000002 BARCLAYS CAPITAL, INC.
 025 B000002 06-1031656
 025 C000002 D
 025 D000002 265
 025 A000003 CITIGROUP INC.
 025 B000003 52-1568099
 025 C000003 D
 025 D000003 3404
 025 A000004 GOLDMAN SACHS & CO.
 025 B000004 13-5108880
 025 C000004 D
 025 D000004 2620
 025 A000005 JPMORGAN CHASE & CO.
 025 B000005 13-3379014
 025 C000005 D
 025 D000005 5132
 025 A000006 MORGAN STANLEY & CO., LLC
 025 B000006 13-2655998
 025 C000006 D
 025 D000006 1646
 026 A000000 N
 026 B000000 Y
 026 C000000 N
 026 D000000 Y
 026 E000000 N
 026 F000000 N
 026 G010000 N
 026 G020000 N
 026 H000000 N
 027 000000 N
 045 000000 Y
 046 000000 N
 047 000000 Y
 048 000000 0.000
 048 A010000 100000
 048 A020000 0.500
 048 B010000 0
 048 B020000 0.000
 048 C010000 0
 048 C020000 0.000
 048 D010000 0
 048 D020000 0.000

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| | | |
|-----|---------|--------|
| 048 | E010000 | 0 |
| 048 | E020000 | 0.000 |
| 048 | F010000 | 0 |
| 048 | F020000 | 0.000 |
| 048 | G010000 | 0 |
| 048 | G020000 | 0.000 |
| 048 | H010000 | 0 |
| 048 | H020000 | 0.000 |
| 048 | I010000 | 0 |
| 048 | I020000 | 0.000 |
| 048 | J010000 | 0 |
| 048 | J020000 | 0.000 |
| 048 | K010000 | 100000 |
| 048 | K020000 | 0.400 |
| 049 | 000000 | N |
| 050 | 000000 | N |
| 051 | 000000 | N |
| 052 | 000000 | N |
| 053 | A000000 | N |
| 054 | A000000 | Y |
| 054 | B000000 | Y |
| 054 | C000000 | N |
| 054 | D000000 | N |
| 054 | E000000 | N |
| 054 | F000000 | N |
| 054 | G000000 | Y |
| 054 | H000000 | N |
| 054 | I000000 | N |
| 054 | J000000 | Y |
| 054 | K000000 | N |
| 054 | L000000 | N |
| 054 | M000000 | Y |
| 054 | N000000 | N |
| 054 | O000000 | N |
| 055 | A000000 | Y |
| 055 | B000000 | N |
| 056 | 000000 | Y |
| 057 | 000000 | N |
| 058 | A000000 | N |
| 059 | 000000 | Y |
| 060 | A000000 | Y |
| 060 | B000000 | Y |
| 061 | 000000 | 0 |
| 062 | A000000 | Y |
| 062 | B000000 | 0.0 |
| 062 | C000000 | 0.0 |
| 062 | D000000 | 0.0 |
| 062 | E000000 | 0.0 |
| 062 | F000000 | 0.0 |
| 062 | G000000 | 0.0 |
| 062 | H000000 | 0.0 |
| 062 | I000000 | 0.0 |
| 062 | J000000 | 0.0 |
| 062 | K000000 | 0.0 |
| 062 | L000000 | 2.1 |
| 062 | M000000 | 15.0 |
| 062 | N000000 | 5.8 |
| 062 | O000000 | 7.6 |
| 062 | P000000 | 62.0 |
| 062 | Q000000 | 6.5 |
| 062 | R000000 | 0.0 |
| 063 | A000000 | 0 |

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| | | |
|-----|---------|--------|
| 063 | B000000 | 17.5 |
| 064 | A000000 | Y |
| 064 | B000000 | Y |
| 065 | 000000 | N |
| 066 | A000000 | N |
| 067 | 000000 | N |
| 068 | A000000 | N |
| 068 | B000000 | N |
| 069 | 000000 | N |
| 070 | A010000 | Y |
| 070 | A020000 | N |
| 070 | B010000 | N |
| 070 | B020000 | N |
| 070 | C010000 | N |
| 070 | C020000 | N |
| 070 | D010000 | N |
| 070 | D020000 | N |
| 070 | E010000 | N |
| 070 | E020000 | N |
| 070 | F010000 | N |
| 070 | F020000 | N |
| 070 | G010000 | N |
| 070 | G020000 | N |
| 070 | H010000 | N |
| 070 | H020000 | N |
| 070 | I010000 | N |
| 070 | I020000 | N |
| 070 | J010000 | Y |
| 070 | J020000 | N |
| 070 | K010000 | Y |
| 070 | K020000 | Y |
| 070 | L010000 | Y |
| 070 | L020000 | Y |
| 070 | M010000 | Y |
| 070 | M020000 | N |
| 070 | N010000 | Y |
| 070 | N020000 | N |
| 070 | O010000 | Y |
| 070 | O020000 | N |
| 070 | P010000 | Y |
| 070 | P020000 | N |
| 070 | Q010000 | N |
| 070 | Q020000 | N |
| 070 | R010000 | N |
| 070 | R020000 | N |
| 071 | A000000 | 260338 |
| 071 | B000000 | 268602 |
| 071 | C000000 | 148534 |
| 071 | D000000 | 175 |
| 072 | A000000 | 12 |
| 072 | B000000 | 6894 |
| 072 | C000000 | 3 |
| 072 | D000000 | 0 |
| 072 | E000000 | 0 |
| 072 | F000000 | 705 |
| 072 | G000000 | 0 |
| 072 | H000000 | 0 |
| 072 | I000000 | 41 |
| 072 | J000000 | 61 |
| 072 | K000000 | 0 |
| 072 | L000000 | 37 |
| 072 | M000000 | 23 |

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| | | |
|--------|---------|--------|
| 072 | N000000 | 0 |
| 072 | O000000 | 11 |
| 072 | P000000 | 0 |
| 072 | Q000000 | 0 |
| 072 | R000000 | 45 |
| 072 | S000000 | 45 |
| 072 | T000000 | 0 |
| 072 | U000000 | 0 |
| 072 | V000000 | 0 |
| 072 | W000000 | 52 |
| 072 | X000000 | 1020 |
| 072 | Y000000 | 0 |
| 072 | Z000000 | 5877 |
| 072AA | 000000 | 7548 |
| 072BB | 000000 | 0 |
| 072CC0 | 10000 | 4230 |
| 072CC0 | 20000 | 0 |
| 072DD0 | 10000 | 6275 |
| 072DD0 | 20000 | 0 |
| 072EE | 000000 | 6283 |
| 073 | A010000 | 0.7150 |
| 073 | A020000 | 0.0000 |
| 073 | B000000 | 0.7160 |
| 073 | C000000 | 0.0000 |
| 074 | A000000 | 1061 |
| 074 | B000000 | 0 |
| 074 | C000000 | 3251 |
| 074 | D000000 | 151938 |
| 074 | E000000 | 40 |
| 074 | F000000 | 13 |
| 074 | G000000 | 0 |
| 074 | H000000 | 0 |
| 074 | I000000 | 0 |
| 074 | J000000 | 2596 |
| 074 | K000000 | 0 |
| 074 | L000000 | 1668 |
| 074 | M000000 | 29 |
| 074 | N000000 | 160596 |
| 074 | O000000 | 3512 |
| 074 | P000000 | 0 |
| 074 | Q000000 | 0 |
| 074 | R010000 | 0 |
| 074 | R020000 | 0 |
| 074 | R030000 | 0 |
| 074 | R040000 | 293 |
| 074 | S000000 | 0 |
| 074 | T000000 | 156791 |
| 074 | U010000 | 8776 |
| 074 | U020000 | 0 |
| 074 | V010000 | 17.87 |
| 074 | V020000 | 0.00 |
| 074 | W000000 | 0.0000 |
| 074 | X000000 | 1323 |
| 074 | Y000000 | 0 |
| 075 | A000000 | 0 |
| 075 | B000000 | 151119 |
| 076 | 000000 | 17.20 |
| 077 | A000000 | Y |
| 077 | B000000 | Y |
| 077 | C000000 | N |
| 077 | D000000 | Y |
| 077 | E000000 | N |

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077 F000000 N
077 G000000 N
077 H000000 N
077 I000000 N
077 J000000 N
077 K000000 N
077 L000000 N
077 M000000 N
077 N000000 N
077 O000000 Y
077 P000000 N
077 Q010000 Y
077 Q020000 Y
077 Q030000 N
078 000000 N
080 A000000 ICI Mutual Insurance Co.
080 C000000 70000
081 A000000 Y
081 B000000 95
082 A000000 N
082 B000000 0
083 A000000 N
083 B000000 0
084 A000000 N
084 B000000 0
085 A000000 Y
085 B000000 N
086 A010000 0
086 A020000 0
086 B010000 0
086 B020000 0
086 C010000 0
086 C020000 0
086 D010000 0
086 D020000 0
086 E010000 0
086 E020000 0
086 F010000 0
086 F020000 0
087 A010000 COMMON STOCK
087 A020000 347200107
087 A030000 FDI
088 A000000 Y
088 B000000 N
088 C000000 N
088 D000000 N
SIGNATURE THOMAS DISBROW
TITLE Vice President and Treasurer