

Edgar Filing: Clough Global Allocation Fund - Form NSAR-A

Clough Global Allocation Fund  
Form NSAR-A  
June 29, 2016

PAGE 1  
000 A000000 04/30/2016  
000 C000000 0001288795  
000 D000000 N  
000 E000000 NF  
000 F000000 Y  
000 G000000 N  
000 H000000 N  
000 I000000 6.1  
000 J000000 A  
001 A000000 CLOUGH GLOBAL ALLOCATION FUND  
001 B000000 811-21583  
001 C000000 8772568445  
002 A000000 1290 BROADWAY, SUITE 1100  
002 B000000 DENVER  
002 C000000 CO  
002 D010000 80203  
003 000000 N  
004 000000 N  
005 000000 N  
006 000000 N  
007 A000000 N  
007 B000000 0  
008 A000001 CLOUGH CAPITAL PARTNERS LP  
008 B000001 A  
008 C000001 801-63142  
008 D010001 BOSTON  
008 D020001 MA  
008 D030001 02109  
010 A000001 ALPS FUND SERVICES, INC.  
010 B000001 84-05730  
010 C010001 DENVER  
010 C020001 CO  
010 C030001 80203  
011 A000001 MERRILL LYNCH, PIERCE, FENNER & SMITH, INC.  
011 B000001 8-07721  
011 C010001 NEW YORK  
011 C020001 NY  
011 C030001 10080  
012 A000001 DST SYSTEMS, INC.  
012 B000001 84-00448  
012 C010001 Kansas City  
012 C020001 MO  
012 C030001 64105  
013 A000001 COHEN FUND AUDIT SERVICES, LTD.  
013 B010001 CLEVELAND  
013 B020001 OH  
013 B030001 44115  
015 A000001 Deutsche Bank AG  
015 B000001 S  
015 C010001 Eschborn  
015 D010001 Germany  
PAGE 2  
015 E040001 X  
015 A000002 Deutsche Bank AG  
015 B000002 S  
015 C010002 Kuala Lumpur  
015 D010002 Malaysia  
015 D020002 50250

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015 E040002 X  
015 A000003 HSBC Shanghai  
015 B000003 S  
015 C010003 Shanghai  
015 D010003 China  
015 D020003 200120  
015 E040003 X  
015 A000004 Deutsche Bank AG  
015 B000004 S  
015 C010004 Milan  
015 D010004 Italy  
015 D020004 20121  
015 E040004 X  
015 A000005 Royal Bank of Canada  
015 B000005 S  
015 C010005 Toronto  
015 D010005 ON Canada  
015 D020005 M5V 3L3  
015 E040005 X  
015 A000006 Citibank N.A., Brazilian Branch  
015 B000006 S  
015 C010006 Sao Paulo  
015 D010006 Brazil  
015 D020006 01311  
015 E040006 X  
015 A000007 Citibank N.A.  
015 B000007 S  
015 C010007 Singapore  
015 D010007 Singapore  
015 D020007 486026  
015 E040007 X  
015 A000008 Deutsche Bank Sociedad Anonima ESPA  
015 B000008 S  
015 C010008 Madrid  
015 D010008 Spain  
015 D020008 28020  
015 E040008 X  
015 A000009 Deutsche Bank AG  
015 B000009 S  
015 C010009 Seoul  
015 D010009 Korea  
015 D020009 110-752  
015 E040009 X  
015 A000010 Deutsche Bank AG  
015 B000010 S  
PAGE 3  
015 C010010 Moscow  
015 D010010 Russia  
015 D020010 115035  
015 E040010 X  
015 A000011 Standard Chartered Bank (Thailand) PLC  
015 B000011 S  
015 C010011 Bangkok  
015 D010011 Thailand  
015 D020011 10500  
015 E040011 X  
015 A000012 BNP Paribas Securities Services  
015 B000012 S  
015 C010012 Athens  
015 D010012 Greece  
015 E040012 X  
015 A000013 Deutsche Bank AG

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015 B000013 S  
015 C010013 Jakarta  
015 D010013 Indonesia  
015 D020013 10310  
015 E040013 X  
015 A000014 Standard Chartered Bank (Taiwan) Limited  
015 B000014 S  
015 C010014 Taipei  
015 D010014 Taiwan  
015 E040014 X  
015 A000015 Standard Chartered Bank (Hong Kong) Limited  
015 B000015 S  
015 C010015 Kwun Tong  
015 D010015 Hong Kong  
015 E040015 X  
015 A000016 Firststrand Bank Limited  
015 B000016 S  
015 C010016 Johannesburg  
015 D010016 South Africa  
015 E040016 X  
015 A000017 Hongkong & Shanghai Banking Corporation Ltd.  
015 B000017 S  
015 C010017 Auckland  
015 D010017 New Zealand  
015 D020017 1010  
015 E040017 X  
015 A000018 Deutsche Bank AG  
015 B000018 S  
015 C010018 Makati City  
015 D010018 Phillipines  
015 D020018 1226  
015 E040018 X  
015 A000019 Nordea Bank AB  
015 B000019 S  
015 C010019 Stockholm  
PAGE 4  
015 D010019 Sweden  
015 E040019 X  
015 A000020 Deutsche Bank AG  
015 B000020 S  
015 C010020 Amsterdam  
015 D010020 The Netherlands  
015 E040020 X  
015 A000021 State Street Bank and Trust Company  
015 B000021 S  
015 C010021 Edinburgh  
015 D010021 Scotland  
015 D020021 EH5 2AW  
015 E040021 X  
015 A000022 Credit Suisse AG  
015 B000022 S  
015 C010022 Zurich  
015 D010022 Switzerland  
015 D020022 8070  
015 E040022 X  
015 A000023 Nordea Bank Finland PLC  
015 B000023 S  
015 C010023 Helsinki  
015 D010023 Finland  
015 E040023 X  
015 A000024 HSBC Sydney  
015 B000024 S

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015 C010024 Sydney  
015 D010024 Australia  
015 E040024 X  
015 A000025 The Hongkong and Shanghai Banking Corporation  
015 B000025 S  
015 C010025 Tokyo  
015 D010025 Japan  
015 D020025 1030027  
015 E040025 X  
015 A000026 Nordea Bank Norge ASA  
015 B000026 S  
015 C010026 Oslo  
015 D010026 Norway  
015 E040026 X  
015 A000027 Citibank N.A. Mexico  
015 B000027 S  
015 C010027 Santa Fe  
015 D010027 Mexico  
015 E040027 X  
018 000000 Y  
019 A000000 N  
019 B000000 0  
020 A000001 KNIGHT EQUITY MARKETS LP  
020 B000001 22-3660471  
020 C000001 93

PAGE 5

020 A000002 MORGAN STANLEY & CO., INC.  
020 B000002 13-2655998  
020 C000002 51  
020 A000003 MERRILL LYNCH PROFESSIONAL CLEARING CORP.  
020 B000003 12-3247006  
020 C000003 42  
020 A000004 STATE STREET GLOBAL MARKETS LLC  
020 B000004 04-3464336  
020 C000004 38  
020 A000005 JEFFERIES & CO., INC.  
020 B000005 95-2622900  
020 C000005 32  
020 A000006 CREDIT SUISSE SECURITIES (USA) LLC  
020 B000006 05-0546650  
020 C000006 23  
020 A000007 CITIGROUP GLOBAL MARKETS, INC.  
020 B000007 11-2418191  
020 C000007 18  
020 A000008 DEUTSCHE BANK SECURITIES, INC.  
020 B000008 13-2730828  
020 C000008 16  
020 A000009 UBS FINANCIAL SERVICES, INC.  
020 B000009 13-2638166  
020 C000009 16  
020 A000010 INSTINET LLC  
020 B000010 13-2625132  
020 C000010 16  
021 000000 597  
022 A000001 BLACKROCK INSTITUTIONAL LIQUIDITY FUNDS  
022 C000001 91614  
022 D000001 99515  
022 A000002 ROBERT W. BAIRD & CO., INC.  
022 B000002 39-6037917  
022 C000002 41219  
022 D000002 41249  
022 A000003 DEUTSCHE BANK SECURITIES, INC.

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022 B000003 13-2730828  
022 C000003 19807  
022 D000003 26714  
022 A000004 MORGAN STANLEY & CO., INC.  
022 B000004 13-2655998  
022 C000004 27788  
022 D000004 10693  
022 A000005 GOLDMAN SACHS & CO.  
022 B000005 13-5108880  
022 C000005 7026  
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022 A000006 CANTOR FITZGERALD & CO.  
022 B000006 13-3680184  
022 C000006 8665  
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022 A000007 LEGG MASON INVESTOR SERVICES, LLC  
022 B000007 13-4146187  
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022 A000008 CREDIT SUISSE SECURITIES (USA) LLC  
022 B000008 05-0546650  
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022 A000009 PIPER JAFFRAY & CO.  
022 B000009 41-0953246  
022 C000009 645  
022 D000009 1742  
022 A000010 CITIGROUP GLOBAL MARKETS, INC.  
022 B000010 11-2418191  
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025 A000001 GOLDMAN SACHS & CO.  
025 B000001 13-5108880  
025 C000001 D  
025 D000001 966  
025 A000002 MORGAN STANLEY & CO., INC.  
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025 D000002 595  
025 A000003 CITIGROUP GLOBAL MARKETS, INC.  
025 B000003 11-2418191  
025 C000003 E  
025 D000003 5425  
025 A000004 BLACKROCK INSITUTIONAL LIQUIDITY FUNDS  
025 C000004 D  
025 D000004 6706  
025 A000005 CITIGROUP GLOBAL MARKETS, INC.  
025 B000005 11-2418191  
025 C000005 D  
025 D000005 2617  
025 A000006 BANK OF AMERICA CORPORATION  
025 B000006 94-1687665  
025 C000006 E  
025 D000006 5900  
025 A000007 BANK OF AMERICA CORPORATION  
025 B000007 94-1687665  
025 C000007 D  
025 D000007 1172

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026 A000000 N  
026 B000000 Y  
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026 E000000 Y  
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026 F000000 N  
026 G010000 N  
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PAGE 8  
055 A000000 Y  
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057 000000 N

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058 A000000 N  
059 000000 Y  
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070 L010000 Y  
070 L020000 Y  
070 M010000 Y  
070 M020000 Y  
070 N010000 Y

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| 070   | O020000 | Y   |        |
| 070   | P010000 | N   |        |
| 070   | P020000 | N   |        |
| 070   | Q010000 | N   |        |
| 070   | Q020000 | N   |        |
| 070   | R010000 | Y   |        |
| 070   | R020000 | Y   |        |
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| 071   | B000000 |     | 257147 |
| 071   | C000000 |     | 226698 |
| 071   | D000000 | 113 |        |
| 072   | A000000 | 6   |        |
| 072   | B000000 |     | 977    |
| 072   | C000000 |     | 1686   |
| 072   | D000000 |     | 0      |
| 072   | E000000 |     | 43     |
| 072   | F000000 |     | 1104   |
| 072   | G000000 |     | 450    |
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| 072   | J000000 |     | 0      |
| 072   | K000000 |     | 0      |
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| 072   | Q000000 |     | 0      |
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|       | PAGE    | 10  |        |
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| 072   | Z000000 |     | -278   |
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| 074   | D000000 |     | 57674  |
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| 074   | F000000 |     | 153835 |
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| 074   | H000000 |     | 0      |
| 074   | I000000 |     | 13744  |
| 074   | J000000 |     | 17425  |
| 074   | K000000 |     | 0      |

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|     |         |        |
|-----|---------|--------|
| 074 | L000000 | 896    |
| 074 | M000000 | 56409  |
| 074 | N000000 | 301891 |
| 074 | O000000 | 11899  |
| 074 | P000000 | 174    |
| 074 | Q000000 | 0      |
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| 074 | R020000 | 51726  |
| 074 | R030000 | 0      |
| 074 | R040000 | 93619  |
| 074 | S000000 | 0      |
| 074 | T000000 | 144473 |
| 074 | U010000 | 10393  |
| 074 | U020000 | 0      |
| 074 | V010000 | 13.90  |
| 074 | V020000 | 0.00   |
| 074 | W000000 | 0.0000 |
| 074 | X000000 | 7      |
| 074 | Y000000 | 222353 |
| 075 | A000000 | 0      |
| 075 | B000000 | 150537 |
| 076 | 000000  | 11.68  |
| 077 | A000000 | N      |
| 078 | 000000  | N      |
| 080 | C000000 | 0      |
| 081 | B000000 | 0      |
| 082 | B000000 | 0      |

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|           |                     |              |
|-----------|---------------------|--------------|
| 086       | A010000             | 0            |
| 086       | A020000             | 0            |
| 086       | B010000             | 0            |
| 086       | B020000             | 0            |
| 086       | C010000             | 0            |
| 086       | C020000             | 0            |
| 086       | D010000             | 0            |
| 086       | D020000             | 0            |
| 086       | E010000             | 0            |
| 086       | E020000             | 0            |
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| 086       | F020000             | 0            |
| 087       | A010000             | COMMON STOCK |
| 087       | A020000             | 18913Y103    |
| 087       | A030000             | GLV          |
| 088       | A000000             | N            |
| 088       | B000000             | N            |
| 088       | C000000             | N            |
| 088       | D000000             | N            |
| SIGNATURE | Alan Gattis         |              |
| TITLE     | Assistant Treasurer |              |