

CATHAY GENERAL BANCORP  
 Form 5  
 August 03, 2006

**FORM 5**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 Washington, D.C. 20549**

OMB APPROVAL

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 Form 3 Holdings Reported Form 4 Transactions Reported

**ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person \*  
**LEE PATRICK**

2. Issuer Name and Ticker or Trading Symbol  
**CATHAY GENERAL BANCORP [CATY]**

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year)  
 12/30/2005

Director  10% Owner  
 Officer (give title below)  Other (specify below)

**777 NORTH BROADWAY**

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Reporting

(check applicable line)

**LOS ANGELES, CA 90012**

(City) (State) (Zip)

Form Filed by One Reporting Person  
 Form Filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|--|--|-----------------------------------|
| Common Stock                    | 11/21/2005                           | Â  | G                              | 5,500 D \$ 0  | 252,894 (1)  | I  | Family Trust                      |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 2270 (9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

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| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                           | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |              |                            |
|--|--|--------------------------------------|--|--------------------------------|---|--|---------------------------|---|--------------|----------------------------|
|  |  |                                      |  |                                | (A)   | (D)  | Date Exercisable          | Expiration Date   | Title        | Amount or Number of Shares |
| Stock Option                               | \$ 10.625  | Â                                    | Â  | Â                              | Â   | Â  | 01/20/2001 <sup>(2)</sup> | 01/20/2010  | Common Stock | 2,000                      |
| Stock Option                               | \$ 15.0475   | Â                                    | Â  | Â                              | Â   | Â  | 01/18/2002 <sup>(3)</sup> | 01/18/2011  | Common Stock | 2,000                      |
| Stock Option                               | \$ 16.275  | Â                                    | Â  | Â                              | Â   | Â  | 02/21/2003 <sup>(4)</sup> | 02/21/2012  | Common Stock | 2,000                      |
| Stock Option                               | \$ 19.925  | Â                                    | Â  | Â                              | Â   | Â  | 01/16/2004 <sup>(5)</sup> | 01/16/2013  | Common Stock | 3,800                      |
| Stock Option                               | \$ 24.8  | Â                                    | Â  | Â                              | Â   | Â  | 11/20/2004 <sup>(6)</sup> | 11/20/2013  | Common Stock | 11,000                     |
| Stock Option                               | \$ 37  | Â                                    | Â  | Â                              | Â   | Â  | 02/17/2006 <sup>(7)</sup> | 02/17/2015  | Common Stock | 3,500                      |

## Reporting Owners

| Reporting Owner Name / Address                             | Relationships |           |         |       |
|--|---------------|-----------|---------|-------|
|  | Director      | 10% Owner | Officer | Other |
| LEE PATRICK<br>777 NORTH BROADWAY<br>LOS ANGELES, CA 90012 | Â X           | Â         | Â       | Â     |

## Signatures

Patrick S.D. Lee                      08/03/2006

\*\*Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Since the reporting person's last report, 2,000 shares under his name were transferred to the Family Trust.

(2) The option is fully exercisable.

(3) The option vests in 5 equal annual installments beginning 1/18/02.

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- (4) The option vests in 5 equal annual installments beginning 2/21/03.
- (5) The option vests in 5 equal annual installments beginning 1/16/04.
- (6) The option vests in 5 equal annual installments beginning 11/20/04.
- (7) The option vests in 5 equal annual installments beginning 2/17/06.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.