Edgar Filing: ALLIANCE RESOURCE PARTNERS LP - Form 4

ALLIANCE RESOURCE PARTNERS LP

Form 4

November 02, 2005

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287

Expires: January 31, 2005
Estimated average

0.5

burden hours per response...

if no longer subject to Section 16. Form 4 or Form 5

obligations

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

may continue.

See Instruction

30(h) of the Investment Company Act of 1940

1(b).

Common

Unit

11/01/2005

(Print or Type Responses)

1. Name and A MARSHAL	2. Issuer Name and Ticker or Trading Symbol ALLIANCE RESOURCE PARTNERS LP [ARLP]					ng	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
(Last) 1717 S. BO AVENUE,	ULDER	Middle)	3. Date of Earliest Transaction (Month/Day/Year) 11/01/2005					Director 10% Owner _X Officer (give title Other (specify below) VP Corporate Finance				
(Street) 4. If Amendment Filed(Month/Date TULSA, OK 74119					lment, Date Original /Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City)	(State)	(Zip)	Table	e I - Non-	-D	erivative	Secur	ities Aco	quired, Disposed o	f, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Executio	med in Date, if Day/Year)	Code (Instr. 8))	4. Securities Acquired n(A) or Disposed of (D) (Instr. 3, 4 and 5) (A) or Amount (D) Price			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
Common Unit									2,754 <u>(6)</u>	D		
Common Unit	11/01/2005			M		8,000	A	<u>(7)</u>	10,754	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

F

3,200

Persons who respond to the collection of information contained in this form are not (9-02)

D

42.24

(8)

7,554

Edgar Filing: ALLIANCE RESOURCE PARTNERS LP - Form 4

required to respond unless the form displays a currently valid OMB control number.

De Sec (In

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number conf Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Phantom unit	<u>(2)</u>					<u>(1)</u>	<u>(4)</u>	Common unit	3,424 (6)
Restricted unit	<u>(7)</u>	11/01/2005		M	8,000 (<u>6)</u>	11/01/2005	<u>(4)</u>	Common Unit	8,000 (6)
Restricted unit	<u>(2)</u>					(3)	<u>(4)</u>	Common unit	8,000 (6)
Restricted unit	<u>(2)</u>					(5)	<u>(4)</u>	Common unit	5,000 (6)

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

MARSHALL CARY P 1717 S. BOULDER AVENUE SUITE 600 TULSA, OK 74119

VP Corporate Finance

Signatures

Reporting Person

Cary P.
Marshall

**Signature of Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1)

Reporting Owners 2

Edgar Filing: ALLIANCE RESOURCE PARTNERS LP - Form 4

The Phantom units are to be settled in either cash or ARLP common units, at the election of the Compensation Committee, upon the reporting person's death or termination.

- (**2**) 1 for 1
- The Restricted units will vest on December 31, 2006 provided that the issuer meets certain financial tests. Once vested the restricted units are to be settled in either cash or ARLP common units, at the election of the Compensation Committee.
- (4) Not applicable
- (5) The Restricted units will vest on January 1, 2008 provided that the issuer meets certain financial tests. Once vested the restricted units are to be settled in either cash or ARLP common units, at the election of the Compensation Committee.
- This common unit amount has been adjusted to reflect the completion by the issuer of a two-for-one split of its common units representing limited partner interests effective September 15, 2005.
- On October 25, 2005, the Partnership's Compensation Committee determined that the vesting requirement for the 2003 Long-Term Incentive Plan grants had been satisfied as of September 30, 2005. As a result restricted units were vested on November 1, 2005 based upon the closing price of the common units at October 31, 2005 of \$42.24.
- (8) Upon vesting, restricted units are withheld by the Partnership for payment of tax liability at the vesting price of \$42.24.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.