

BOK FINANCIAL CORP ET AL
Form 5
February 14, 2017

FORM 5

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0362
Expires: January 31, 2005
Estimated average burden hours per response... 1.0

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).
Form 3 Holdings Reported Form 4 Transactions Reported

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *
Maun Marc

2. Issuer Name and Ticker or Trading Symbol
BOK FINANCIAL CORP ET AL [BOKF]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year)
12/31/2016

Director 10% Owner
 Officer (give title below) Other (specify below)
EVP, Chief Credit Officer

C/O FREDERIC DORWART, LAWYERS, 124 EAST 4TH STREET

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Reporting

(check applicable line)

TULSA, OK 74103

Form Filed by One Reporting Person
 Form Filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
Common Stock	12/31/2016	Â	J ⁽¹⁾	3,198 D \$ 0	12,837 ⁽²⁾	D	Â
Common Stock	12/31/2016	Â	J ⁽³⁾	517 D \$ 0	0	I	Theresa Beth Maun Revocable Trust (1997)
Common Stock	12/31/2016	Â	J ⁽¹⁾⁽³⁾	3,715 A \$ 0	13,026	I	Marc Christopher

Maun
Revocable
Trust (1997)

Common Stock 12/31/2016 Â J⁽⁴⁾ 116.4848 A \$ 0 12,953.4848 ⁽⁵⁾ D Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 2270
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. of D Se B O Er Is Fi (I
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Date Exercisable	Expiration Date	Title	Amount or Number of Shares
(A) (D)			

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Maun Marc C/O FREDERIC DORWART, LAWYERS 124 EAST 4TH STREET TULSA, OK 74103	Â	Â	Â	EVP, Chief Credit Officer Â

Signatures

/s/ Frederic Dorwart, Power of Attorney 02/14/2017

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Mr. Maun transferred 3,198 shares from shares directly held to Marc Christopher Maun Revocable Trust.
 - (2) 1,518 shares were previously reported as indirect and held in the name of Marc Maun and Theresa Maun.

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- (3) Mr. Maun transferred 517 shares from shares indirectly held in Theresa Beth Maun Revocable Trust to Marc Christopher Maun Revocable Trust.
- (4) These shares are related to the BOK Thrift Plan for which employees own investment units which hold BOKF common stock.
- (5) 116.4848 shares are related to Mr. Maun's BOK Thrift Plan holdings only. Mr. Maun also owns 12,837 shares directly as of 12/31/2016.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.